

Practical approach to XANES data analysis:

Shortcuts to understand local
structure and chemistry from XANES
spectra

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<https://www.elettra.trieste.it/Conferences/2019/SILS/>

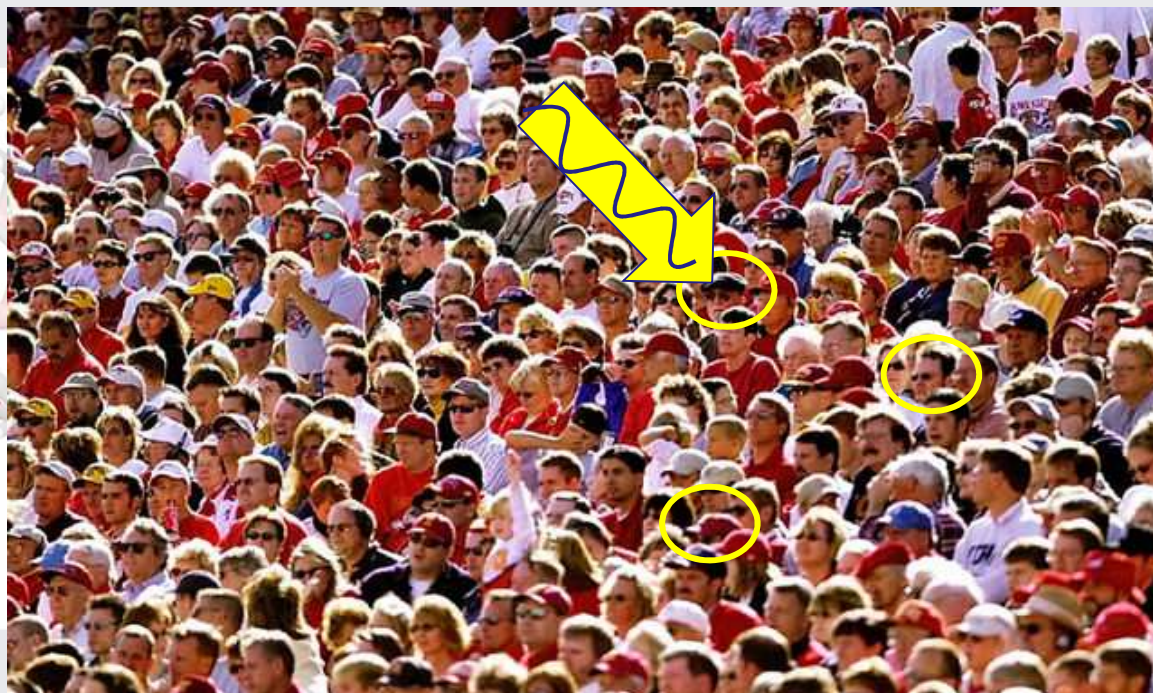
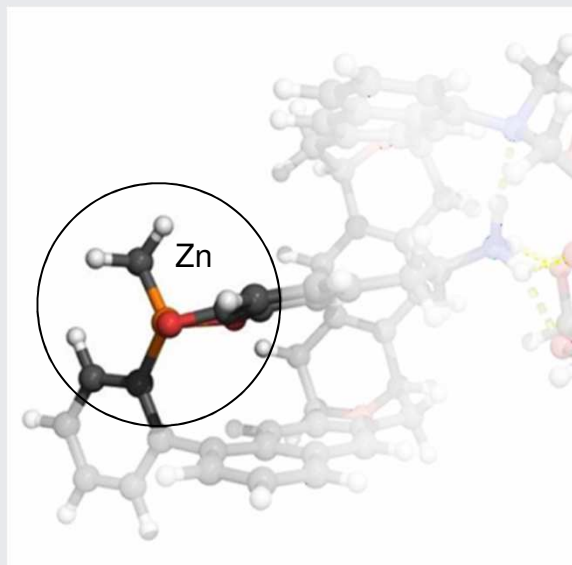


XV School on Synchrotron Radiation:
Fundamentals, Methods and Applications
Muggia, Italy / 16-27 September 2019

SILS
SOCIETÀ ITALIANA DI
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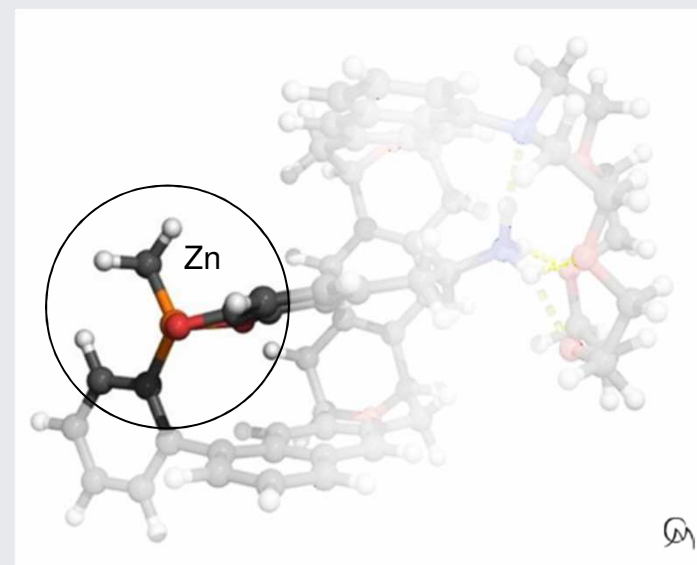
XAFS is a local sensitive, chemical selective probe which may provide **structural**, **electronic** and even **magnetic** details

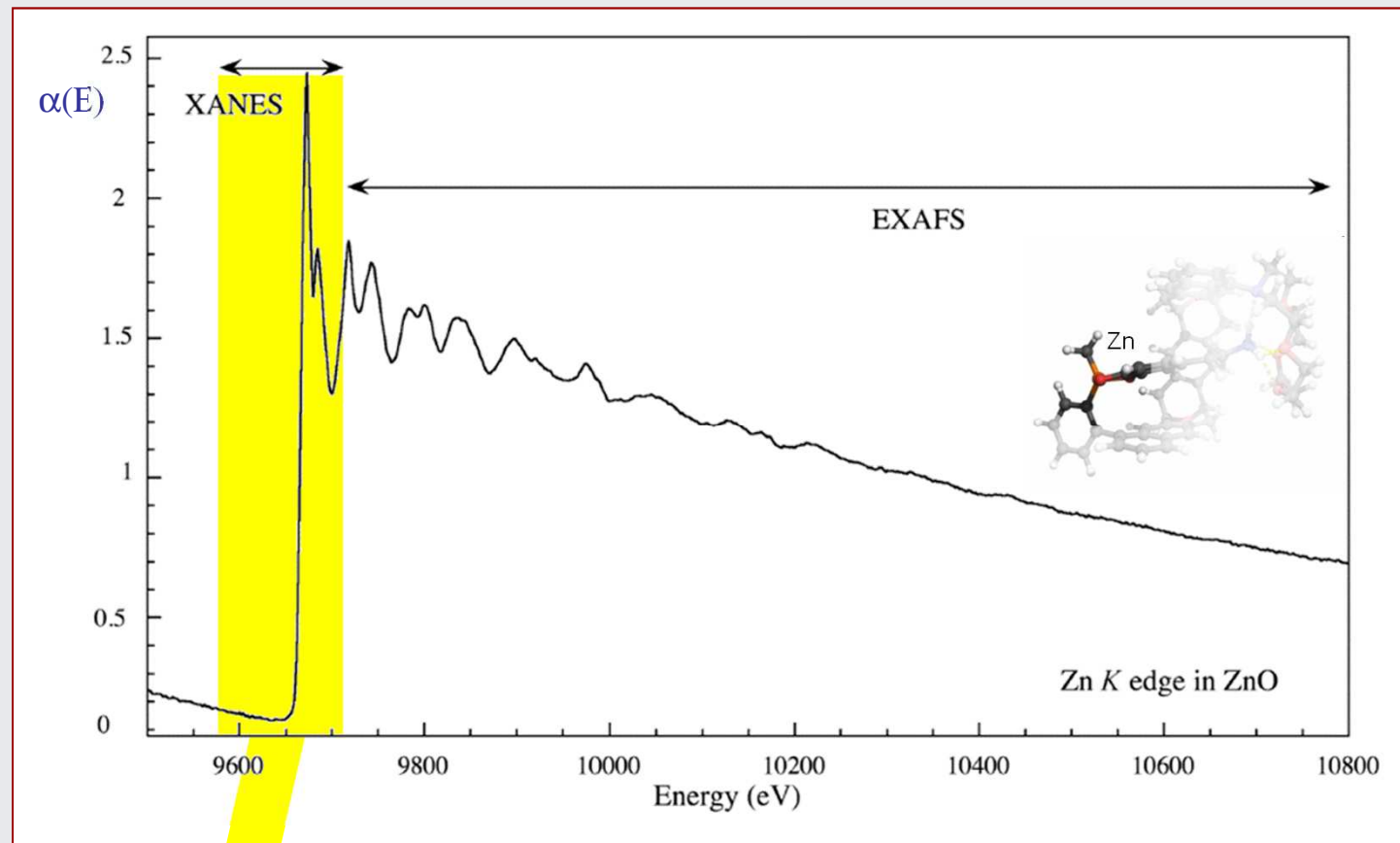


Subjective (Absorber) view of the local atomic structure in your sample

XAFS is a local sensitive, chemical selective probe which may provide **structural**, **electronic** and even **magnetic** details

- Applicable to materials in **any aggregation state**: gas, liquid, solid, single crystals, powders, amorphous, nanostructures, etc....
- Measurable from **bulk** to the highest **diluted** samples (micro- and nano-molar)
- **Versatile** probe (**bulk, surfaces, layered structures, quantum structures**, etc...)
- **Simple** experimental set-up and easy data collection
- **Fast** (quick XAFS) and **ultrafast** (dispersive) data collection
- **Directional sensitivity** (polarized XAS): to probe **structural anisotropy**
- **Element selective** Magnetic state sensitive (**XMCD**)





XANES region:

- **Hard theory**
- **lack of an analytical expression**
- **long computation time**



EXAFS region: simple analytical formula suited for data fitting and *easy* structural refinement

$$\chi(k) = \frac{1}{k} \sum_j A_j(k, r_j) \sin(2kr_j + \psi_j(k))$$



$$A_j(k, r_j) = S_o^2 \frac{N_j}{r_j^2} |f_j(k, r)| e^{-2k^2 \sigma_j^2} e^{-2r_j/\lambda}$$

Advantages of XANES based probes

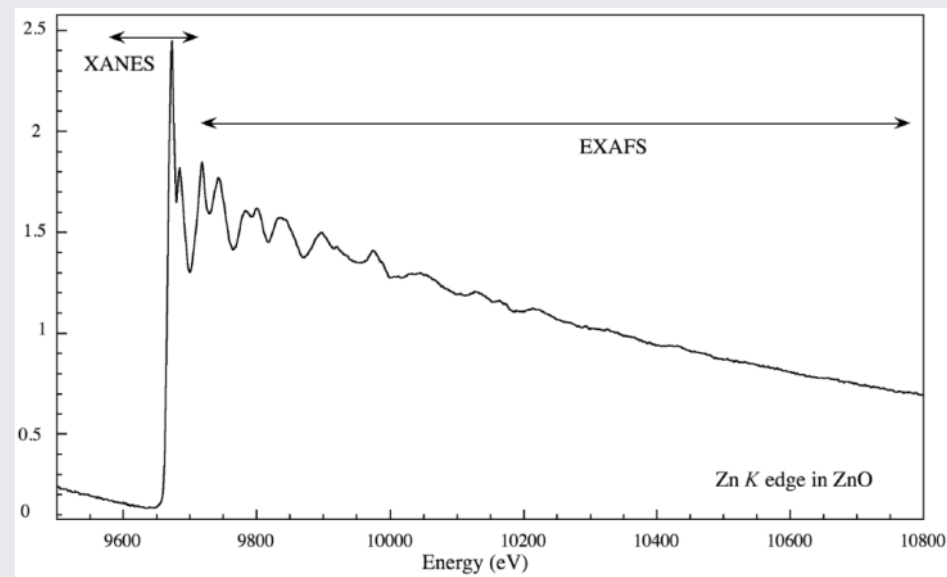
XANES signal is **stronger** than EXAFS

Damping of XANES signal due to **structural disorder** is weak

Simpler/faster data collection respect to EXAFS

Restricted **energy range**

Info on: **Electronic structure**
(empty DoS) and **structural topology**



Applications of XANES spectroscopy systematically increases

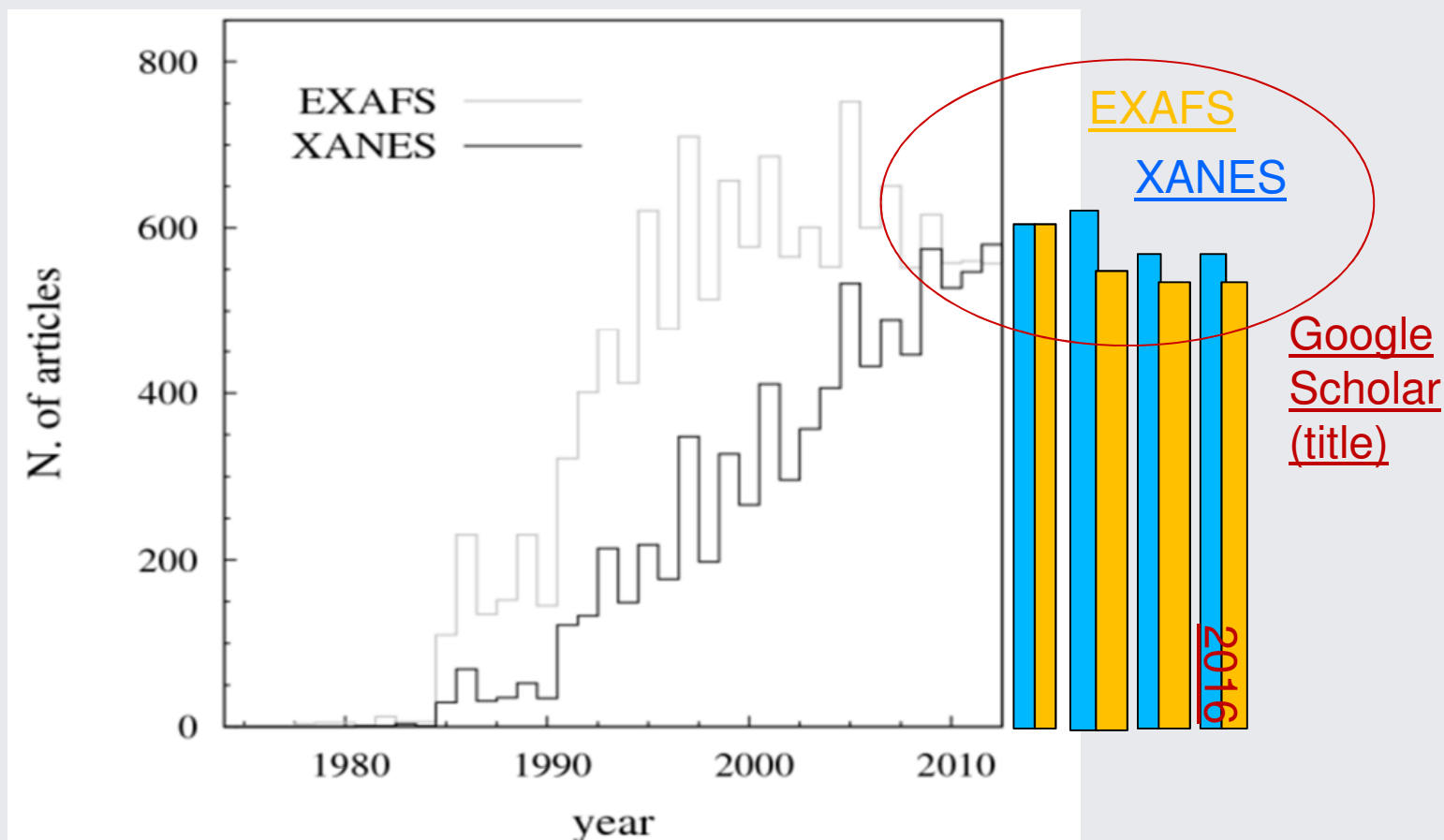


Fig. 1. Results of database search on ISI-web of knowledge using “EXAFS” (“XANES”) in *Topic* or *Title* fields.

XANES signal is dense of electronic, structural and magnetic and information

XANES signal is stronger than EXAFS:

- less sensitive to data statistics, sample quality, beam intensity,
- can be measured on less concentrated samples,
- can be measured faster than EXAFS (time resolved experiments),
- can be measured at low energies (i.e. Carbon, Oxygen, Nitrogen K-edges).

Damping of XANES signal due to structural disorder is weak:

- Applications to extreme condition studies: High **T**, High **P**, High **H**....

Electronic structure (DoS) and structural topology:

- XANES features are specially sensitive to the valence state, coordination chemistry, ligand symmetry of the absorber.
- Can be used as fingerprint for chemical speciation in mixtures and inhomogeneous systems.

Restricted energy range around the edge:

- Measurements at low energies (i.e. Carbon, Oxygen, Nitrogen K-edges)
- Fast data collection (time resolved XAS)
- XANES Microprobes (mapping) with sub-micrometer resolution

Chemical selective Magnetic information

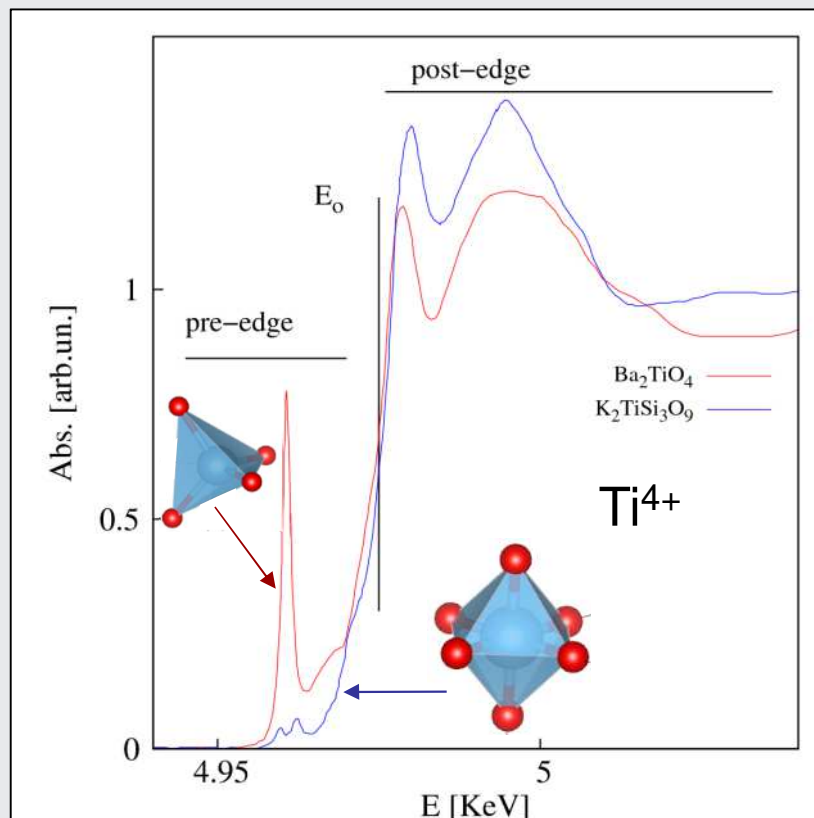
- X ray Magnetic Circular Dichroism (XMCD) signal is an element specific probe for magnetism
- Sum rules at $L_{2,3}$ edges allow distinguishing orbital and spin contributions to the magnetic moment of the photoabsorber

XANES theory
is complex



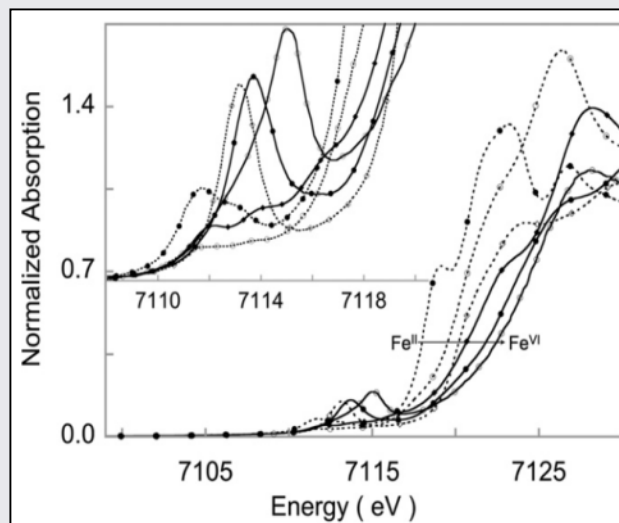
XANES are prone to
simple interpretation
for simple and fast
(semi-)quantitative
analysis

Deeper insight into the XANES region



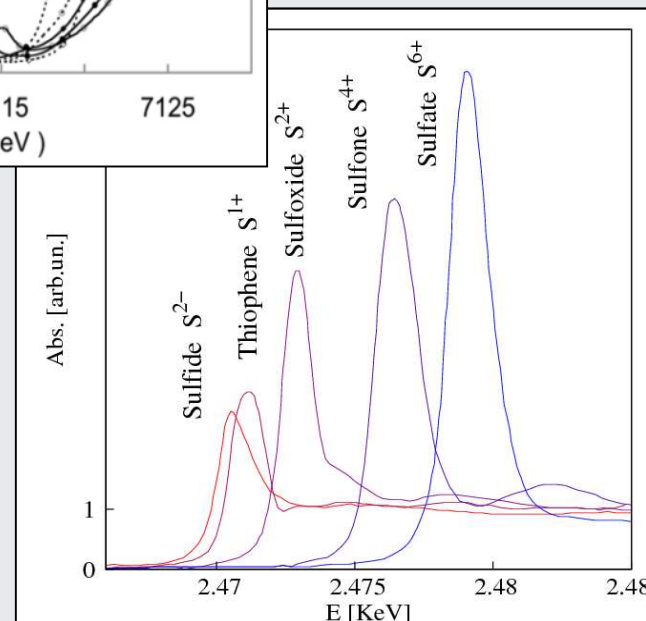
Local symmetry and XANES in Ti^{4+} compounds

XANES features are strongly related to the coordination chemistry & geometry: number, kind and symmetry of ligands



Fe K edges:
representative XANES
Fe in complexes

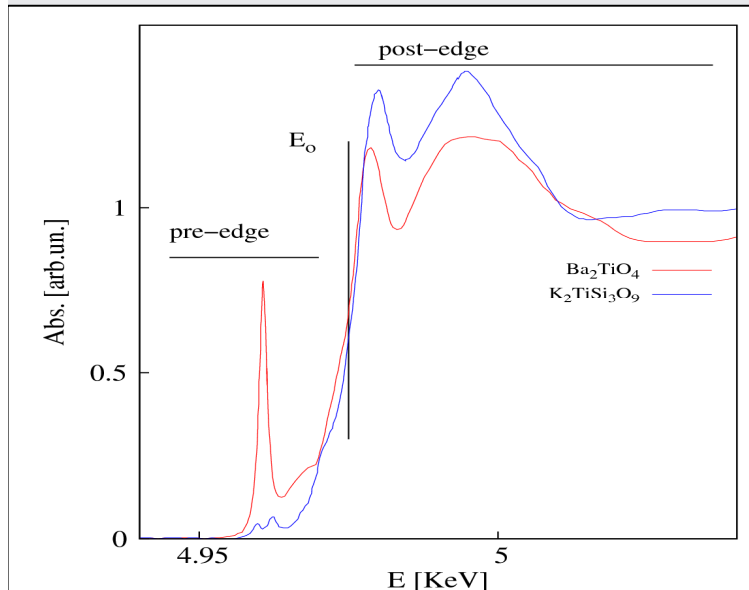
R. Sarangi, Coord. Chem.
Rev. **257** 459–472 (2013)



Sulphur K edges:
chemical shift as a
function of valence
state of S-ions

Absorption Edges (energy position and shape) definitively depend on the oxidation state of the absorber

Origin of the XANES features



Pre-edge

caused by electronic transitions (mainly dipole allowed) to empty bound states near the Fermi level.

Provide information about absorber local geometry and electronic state around the absorber: number of neighbours, ligand symmetry, valence state

Edge (E_0) defines the onset of continuous states (not the Fermi level !)

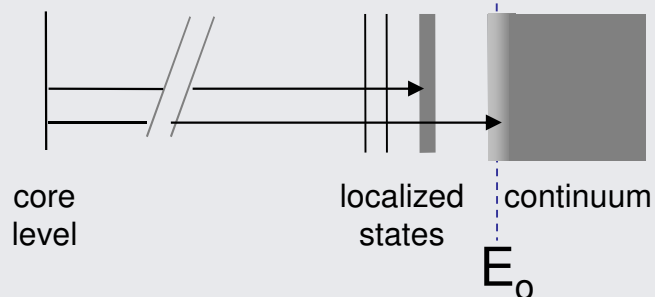
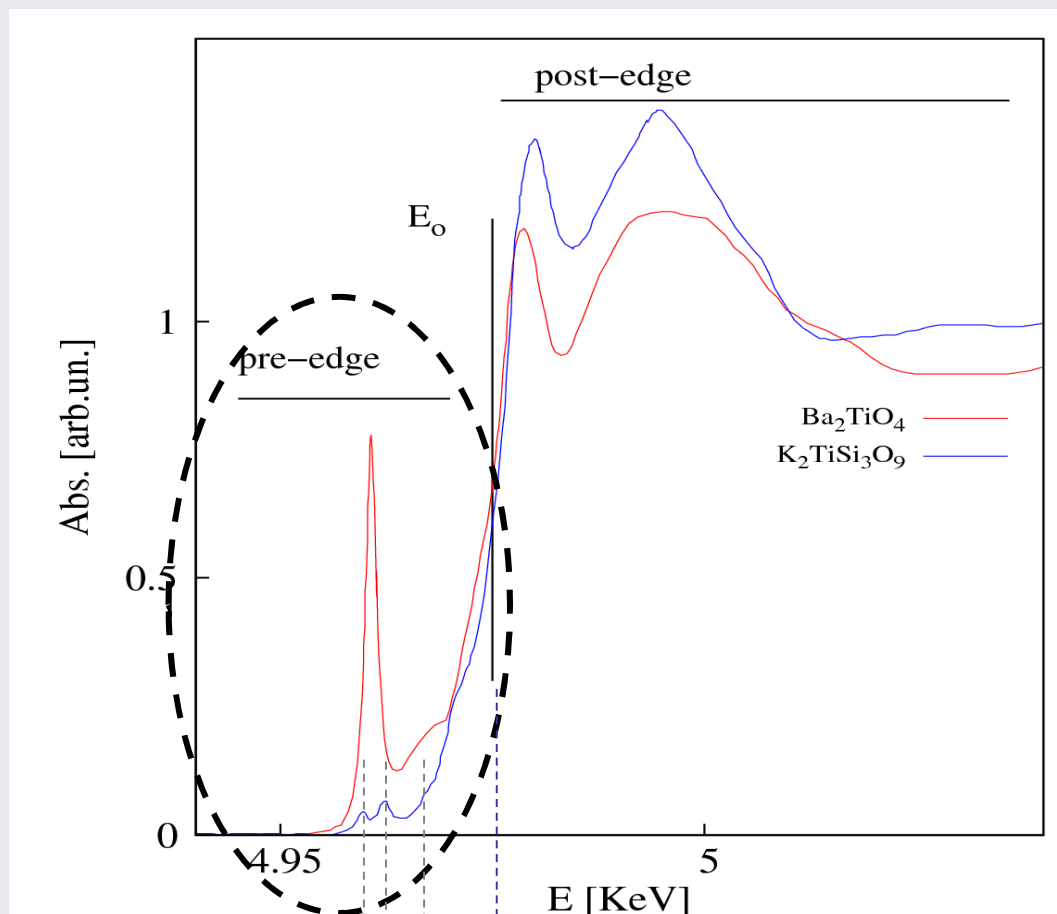
E_0 is a function of the absorber oxidation state & binding geometry. It may also increase by several eV per oxidation unit

Post-edge (XANES)

multiple scattering features (FMS)

The analysis may provide finest details about local atomic structure and geometry.

The Pre-edge region

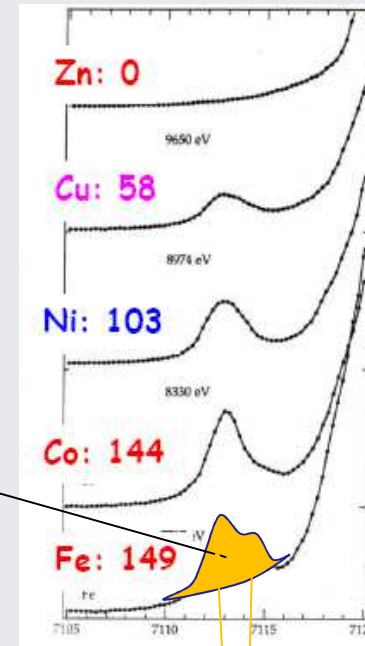
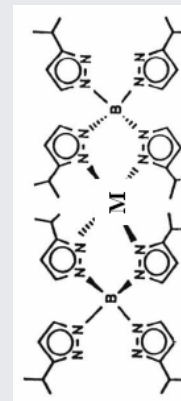
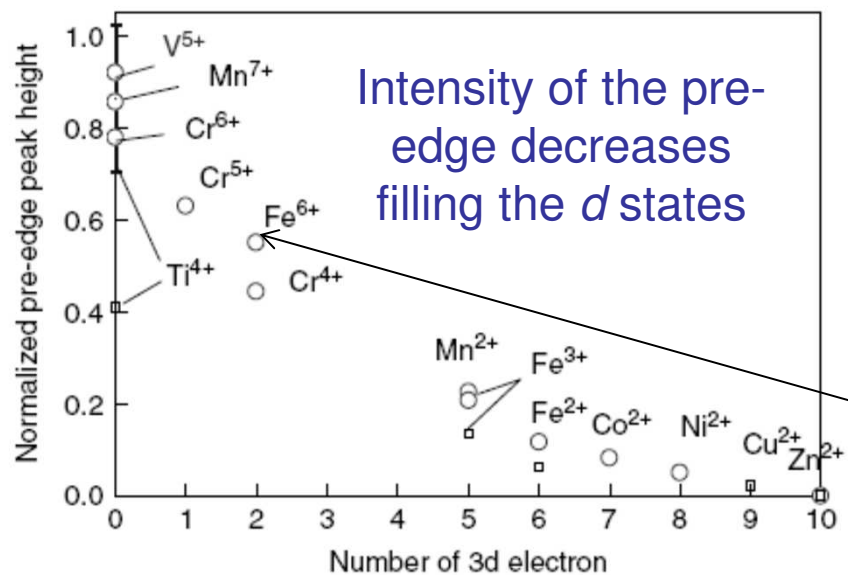


caused by electronic transitions (mainly dipole) to empty bound states near the Fermi level.

K pre-edges 3d metal oxides: intensity & empty electronic states

Example:

Pseudo Tetrahedral Metal Complexes $M(B(3\text{-isopropyl-pyrazol-1-yl})_4)_2$

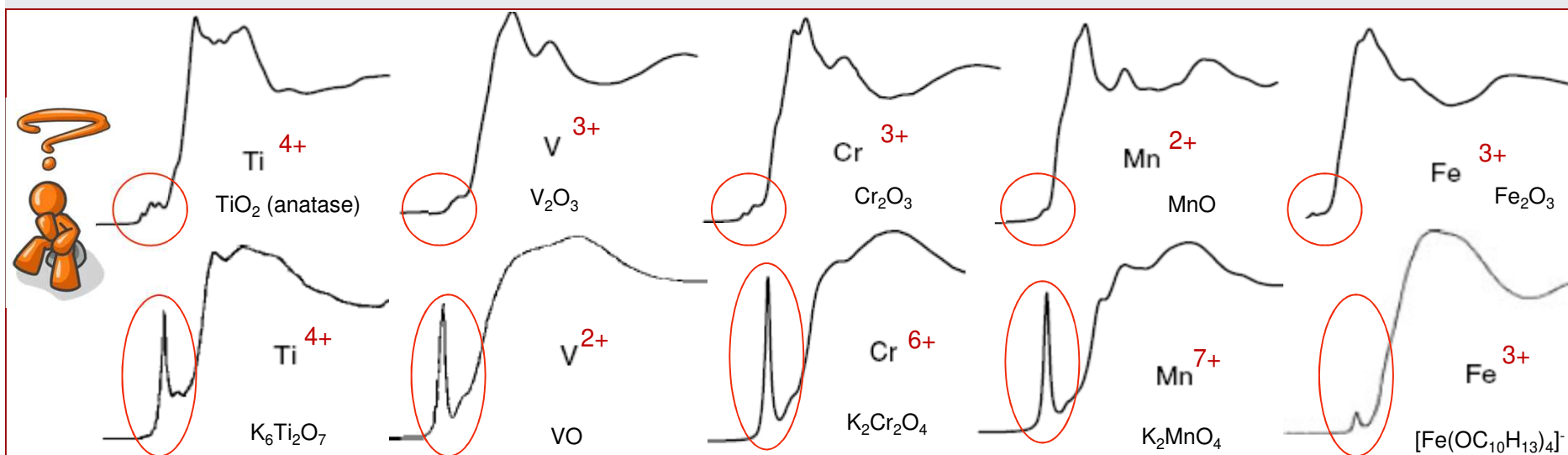
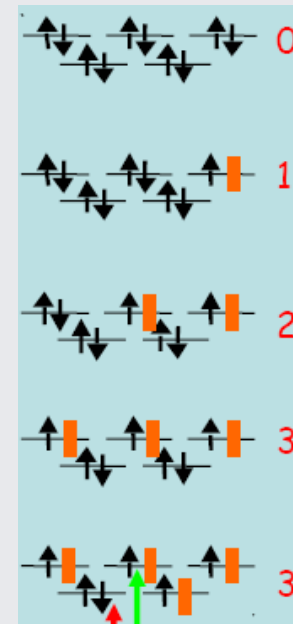
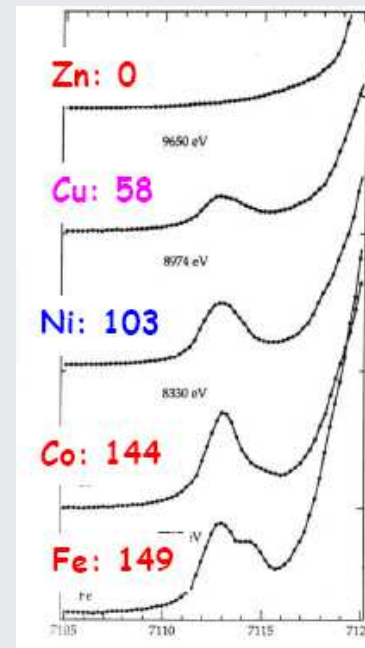
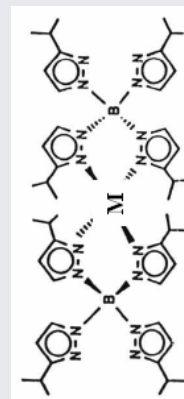
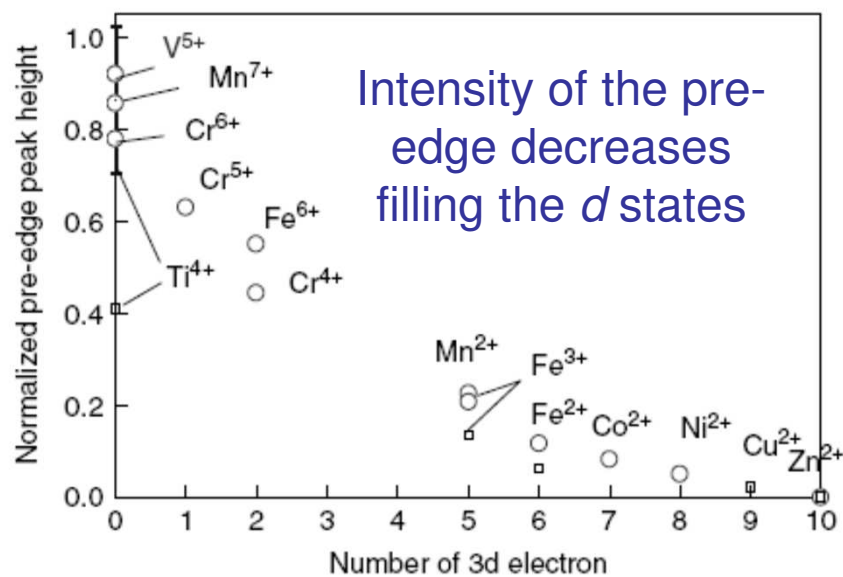


Pre-edge features are caused by electronic transitions (mainly dipole) to empty states close to the Fermi level.

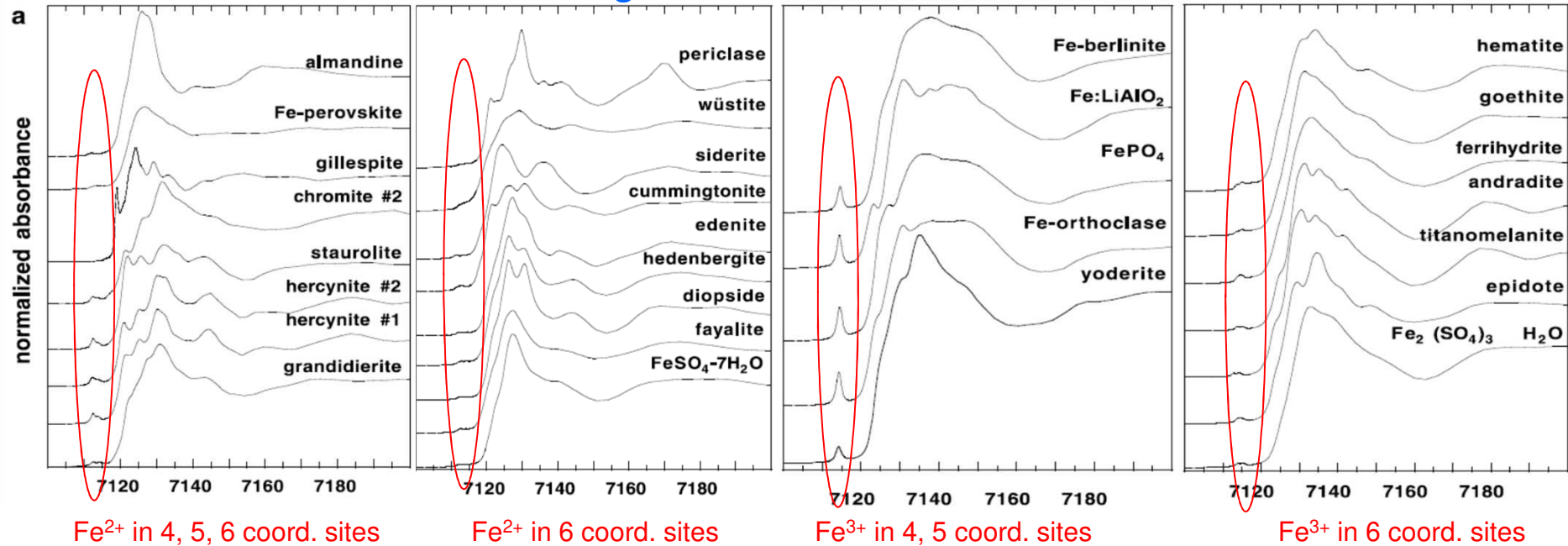
K pre-edges 3d metal oxides:

Example:

Pseudo Tetrahedral Metal Complexes $M(B(3\text{-isopropyl-pyrazol-1-yl})_4)_2$

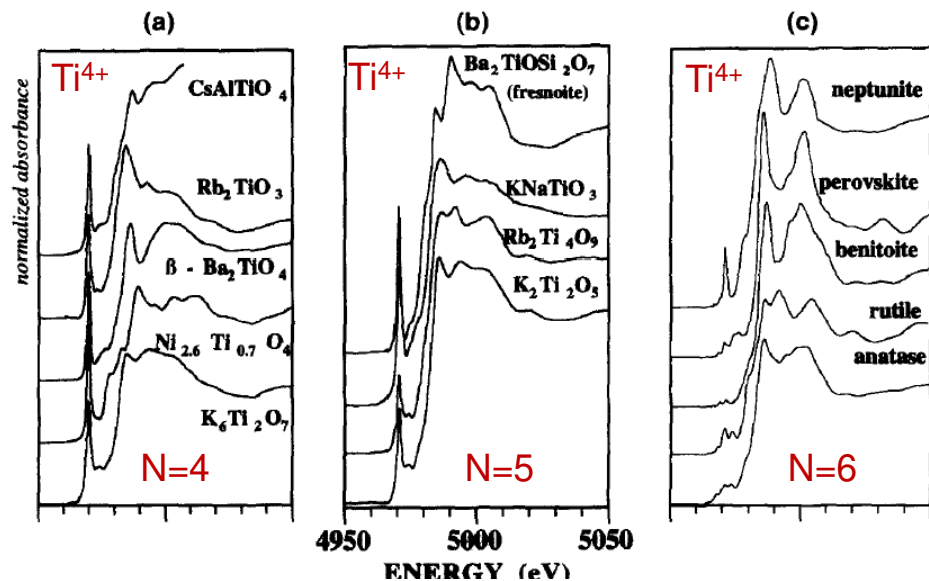


Fe K edges in minerals



M. Wilke, F. Farges et al. *American Mineralogist*, Volume 86, pages 714–730, 2001

Ti K edge spectra in Ti⁴⁺ compounds

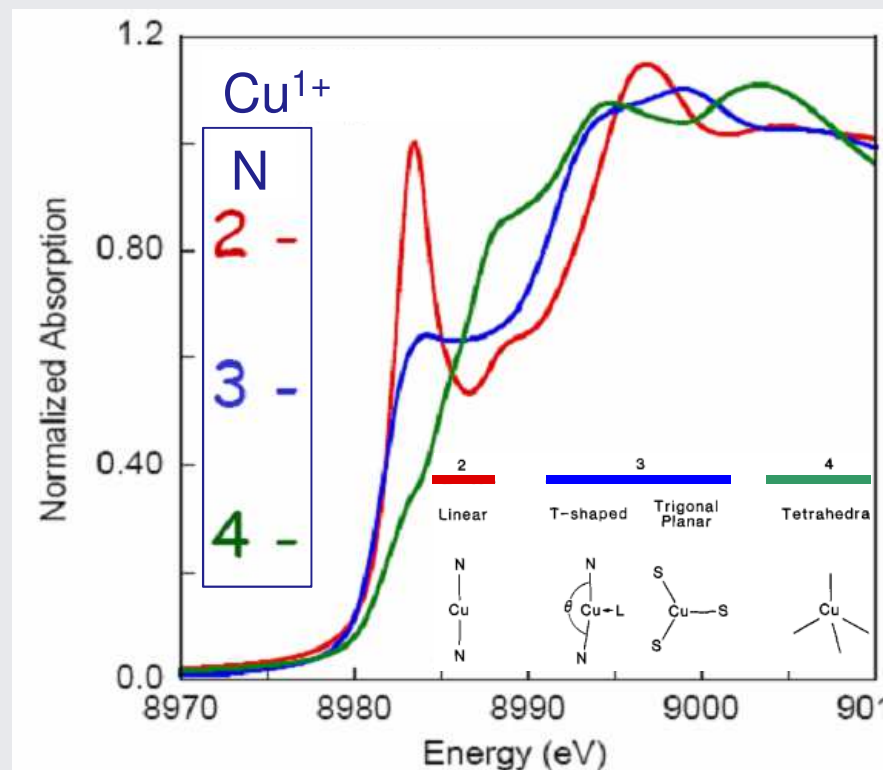
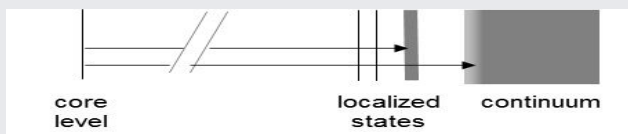
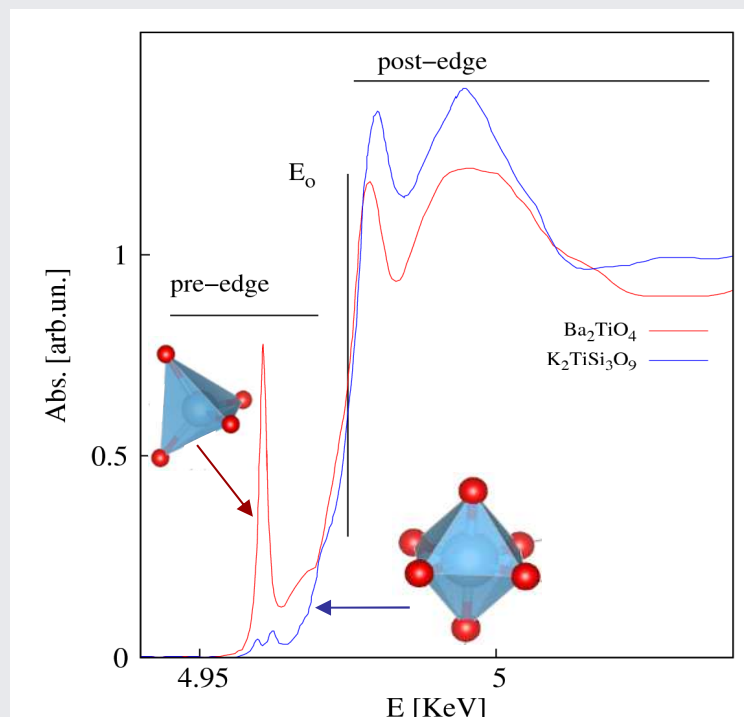


Fe K pre-edge may look different as a function of Fe **oxidation** state and **coordination** number

Ti K pre-edge peak in 4-, 5- and 6-fold coordinated Ti⁴⁺ compounds have **different shape**

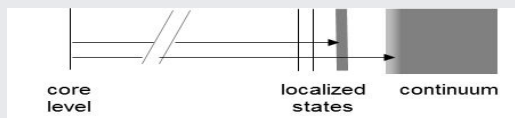
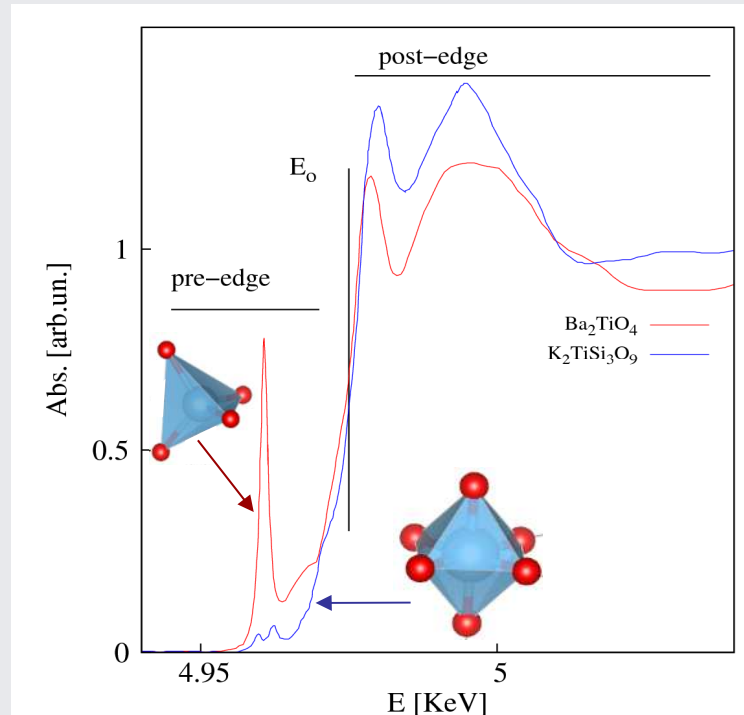
Pre-edge features signal the transitions to bound electronic levels below the continuum threshold

The XANES of the same ions, even in the same oxidation state, may behave differently in different compounds!



R. Sarangi, Coord. Chem. Rev. **257** 459–472 (2013)

Pre-edge features signal the transitions to bound electronic levels below the continuum threshold



The XANES of the same ions, even in the same oxidation state, may behave differently in different compounds

... depending on **oxidation state** and **coordination geometry** of the absorber

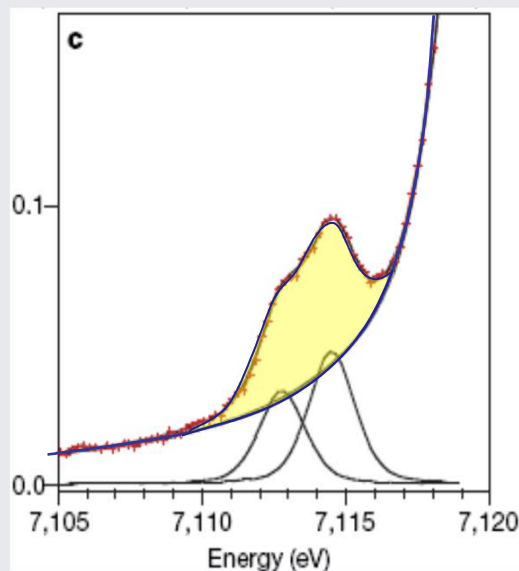
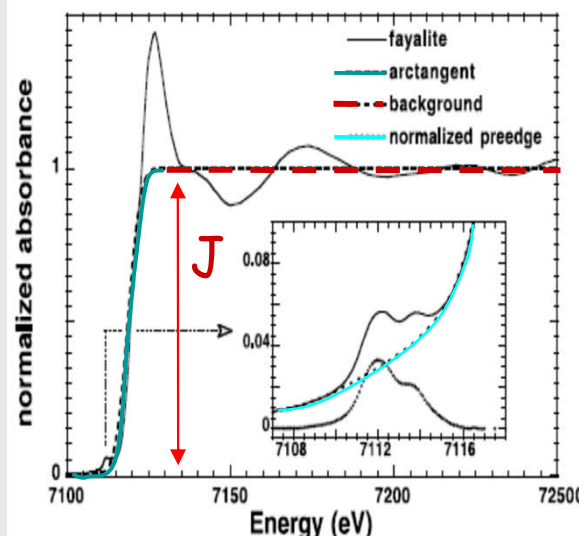
Quantitative Models: multiplet theory

Semi-quantitative approaches:

- Comparison with model compounds
- Molecular orbital symmetry (group theory)

Selection of normalized pre-edge features

data normalization and background

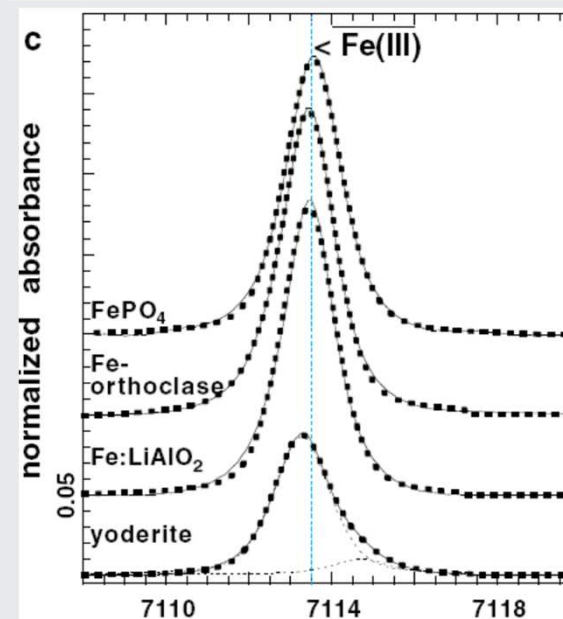
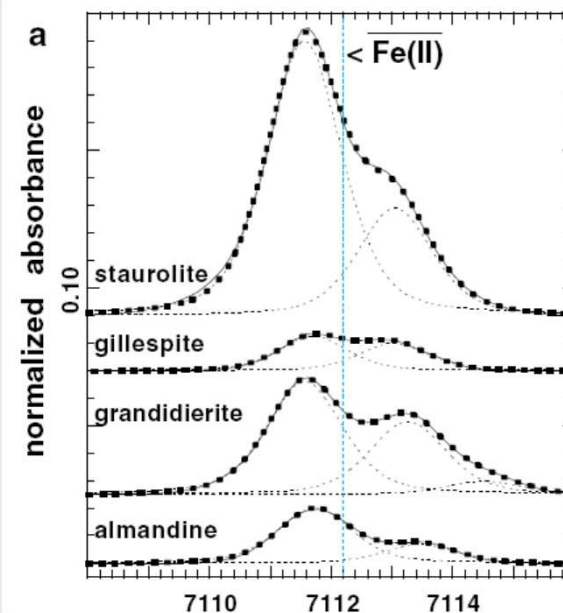


normalization: Jump = 1

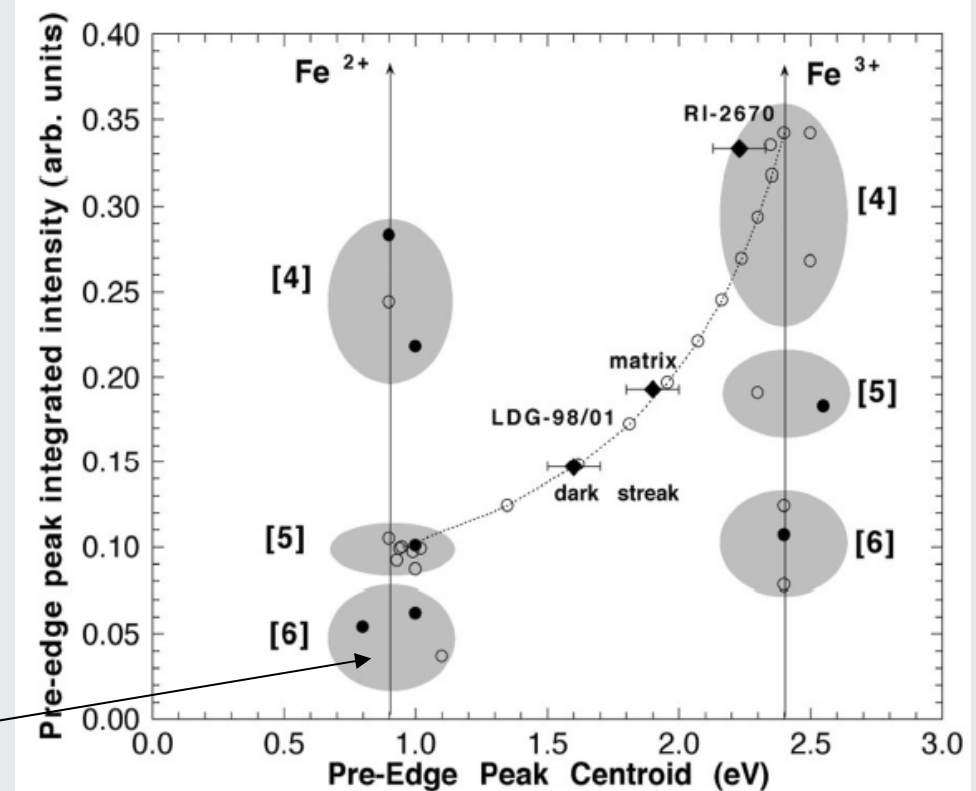
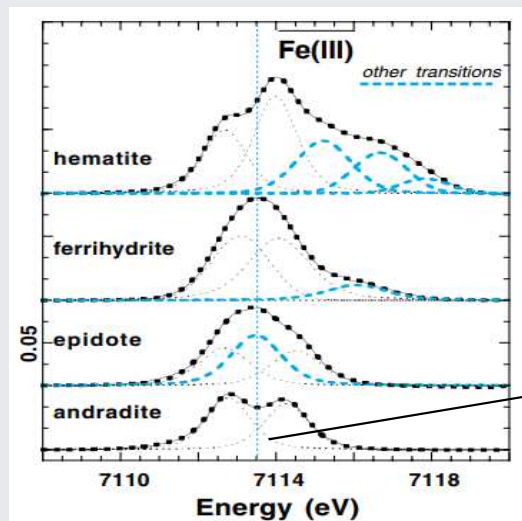
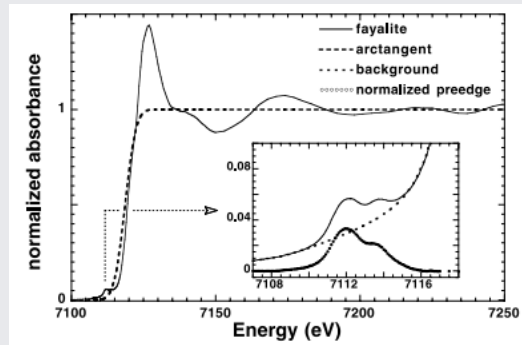
arctangent: transitions to continuum states,

peaks: transitions to localized states:

Pseudo-Voigt shaped peaks take into account for the convolution of true peak shape (Lorentzian contribution) with the experimental energy resolution (Gaussian contribution)



The Iron case: the average valence and coordination chemistry from the pre-edge peak shape/position



American Mineralogist, Volume 86, pages 714–730, 2001

Oxidation state and coordination of Fe in minerals: An Fe K-XANES spectroscopic study

MAX WILKE,^{1,*} FRANÇOIS FARGES,^{1,2} PIERRE-EMMANUEL PETIT,³ GORDON E. BROWN JR.,^{2,4} AND FRANÇOIS MARTIN⁵

850 cit. (sept.2019, Scholar Google)

It is possible to understand the Fe coordination number looking at the intensity/area of the pre-edge peaks in comparison with reference compounds data

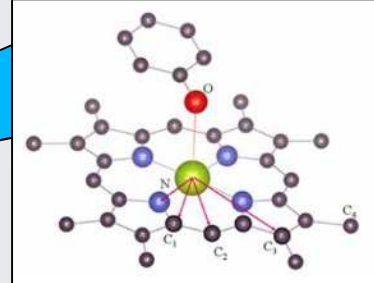
The case of Fe in metallo-proteins:

Biophysics

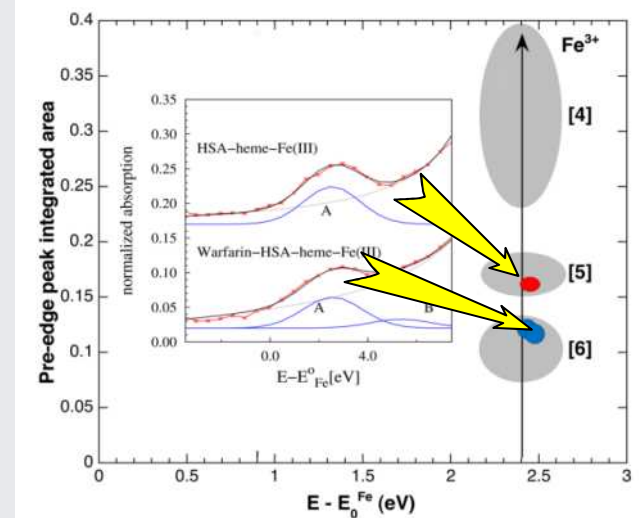
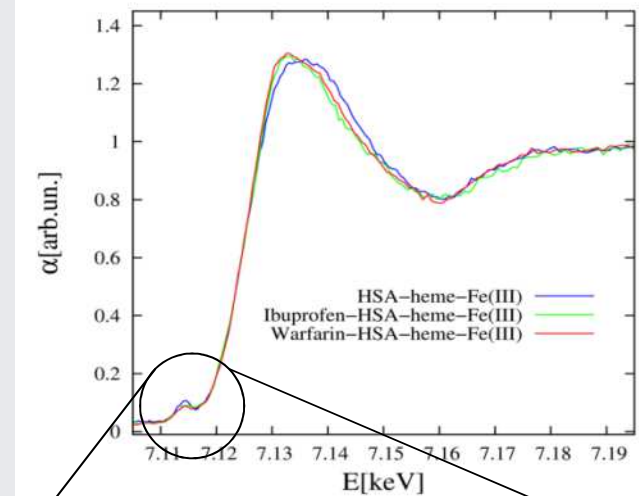
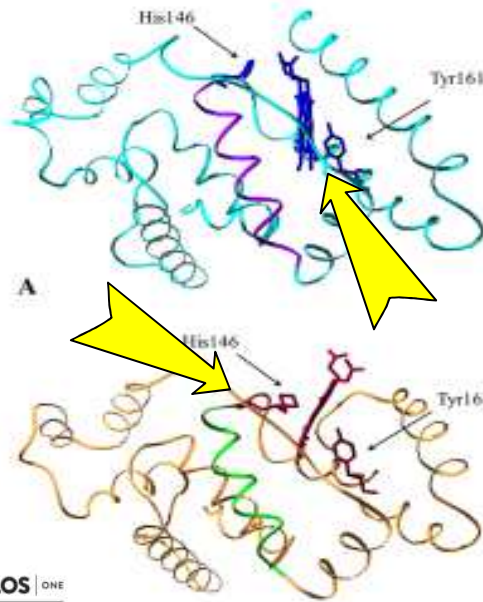
Ibuprofen/warfarin induce V to VI Fe coordination transition in HSA



Human Serum Albumin



Heme (Fe) site



OPEN ACCESS Freely available online

PLOS ONE

The Five-To-Six-Coordination Transition of Ferric Human Serum Heme-Albumin Is Allosterically-Modulated by Ibuprofen and Warfarin: A Combined XAS and MD Study

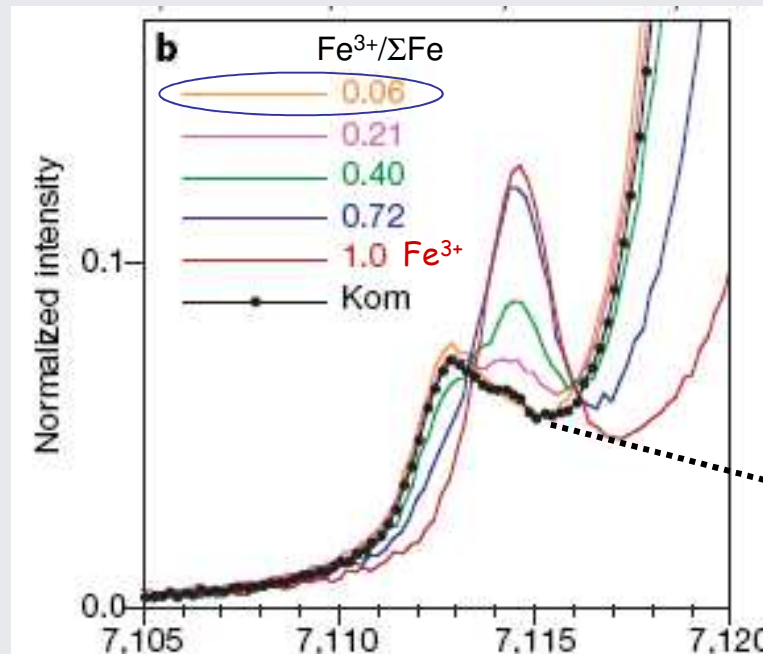
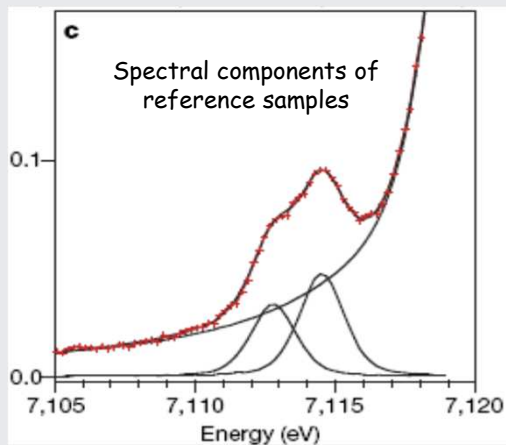
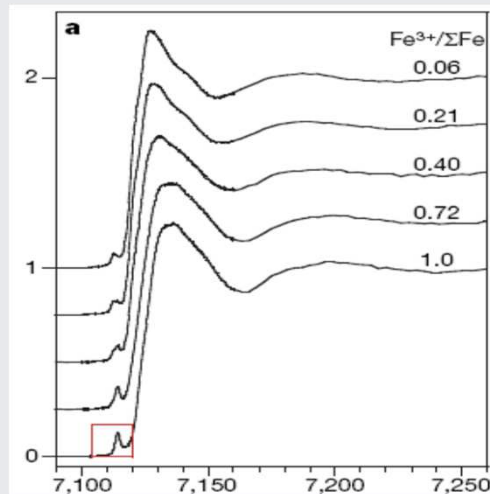
Carlo Meneghini^{1,2}, Loris Leboffe^{1,2,3}, Monica Bionducci¹, Gabriella Fanali³, Massimiliano Meli⁴, Giorgio Colombo⁴, Mauro Fasano³, Paolo Ascenzi^{2,5,6}, Settimio Mobilio¹

Fe in archaean rocks

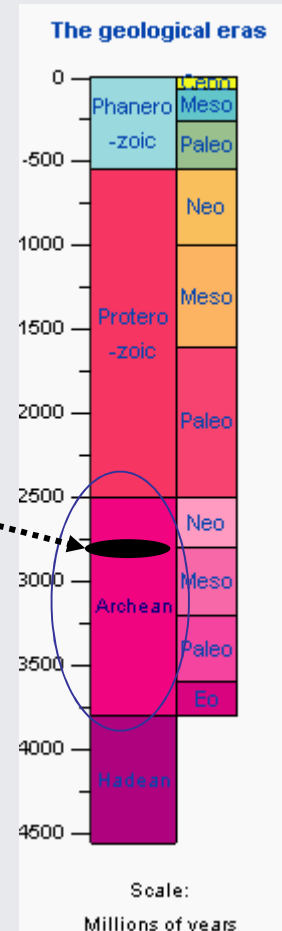
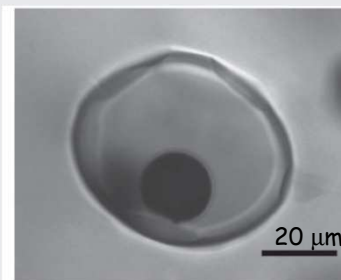
Geophysics

reference samples with different Fe^{3+} over total Fe (ΣFe) content

Andrew J. Berry Vol 455 | 16 October 2008 | doi:10.1038/nature07377

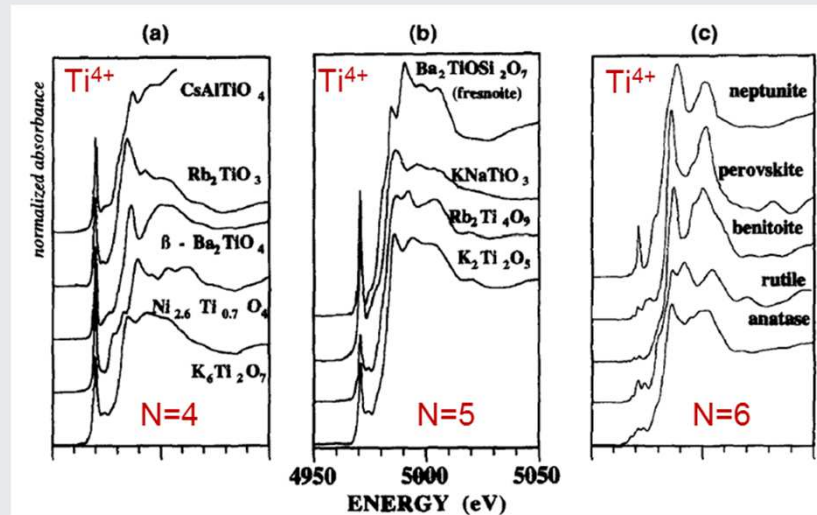


Samples: small inclusions ($D \sim 15 \mu\text{m}$) of Belingwe komatiite in olivine minerals



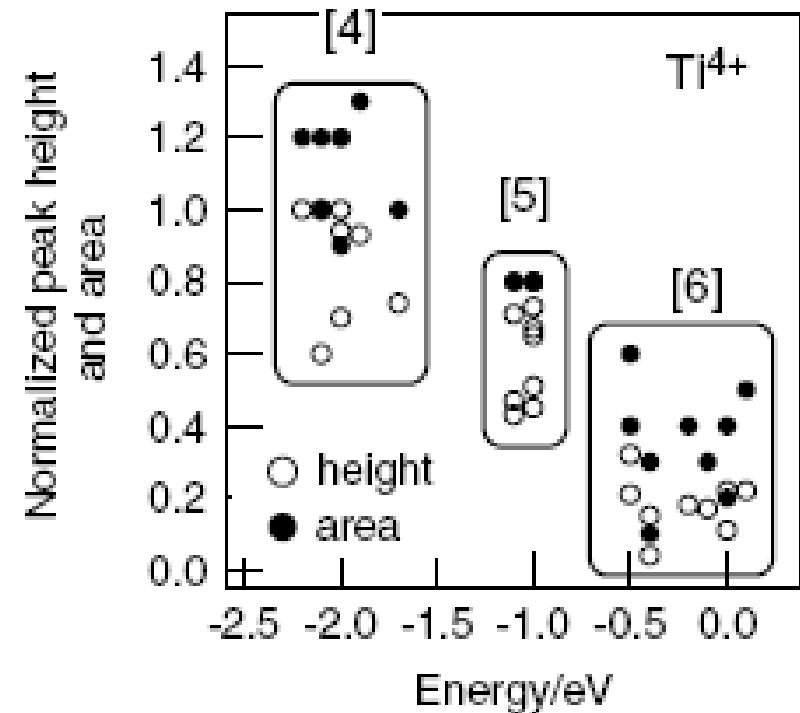
... results support the identification of the Belingwe komatiite as a product of high mantle temperatures ($\sim 1,700^\circ\text{C}$), rather than melting under hydrous conditions (3–5-wt% water), confirming the existence of anomalously hot mantle in the Archaean era.

Titanium: the average valence and coordination chemistry from the pre-edge peak shape/position



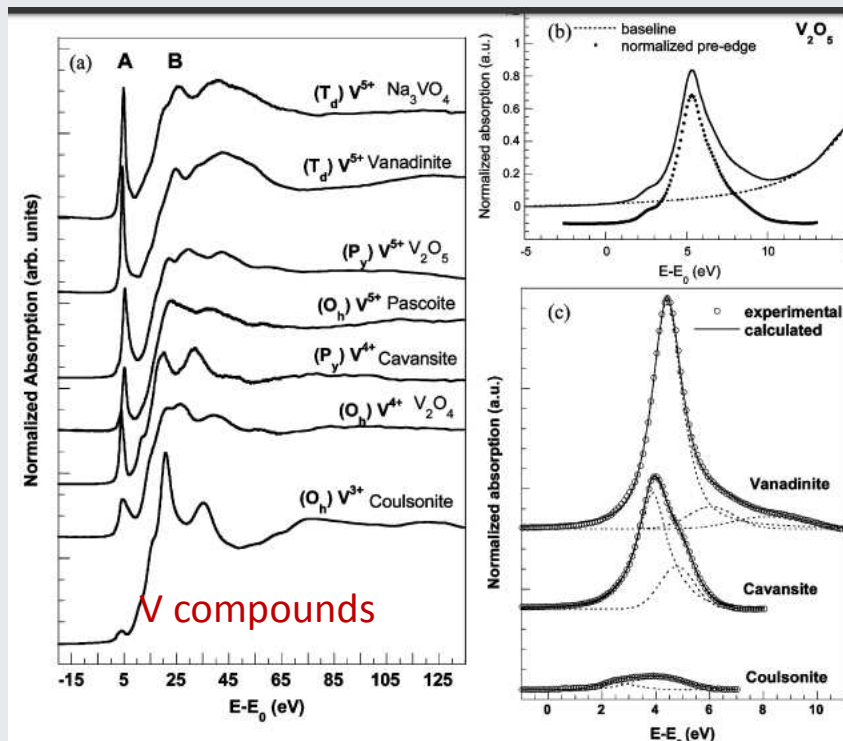
It is possible to understand the absorber **coordination number** looking at the intensity/area of the pre-edge peaks in comparison with reference compounds data

6. Farges F, Brown GE, Rehr JJ. *Geochim. Cosmochim. Acta* 1996; 60: 3023.



Ti pre-edge main peak intensity and area as a function of coordination number

.... and the Vanadium

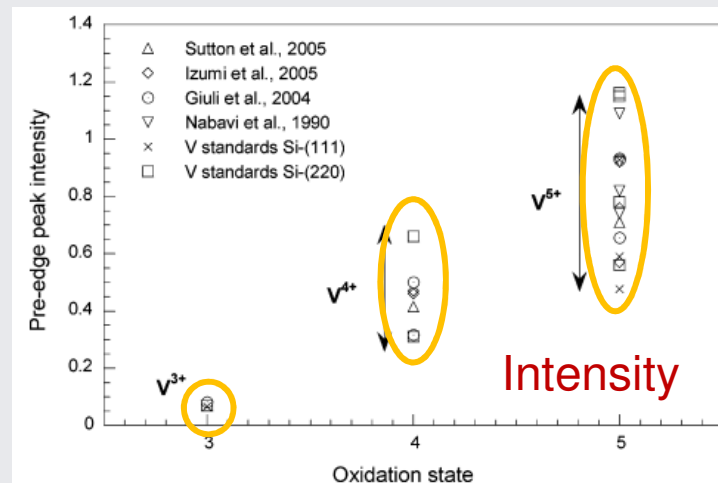
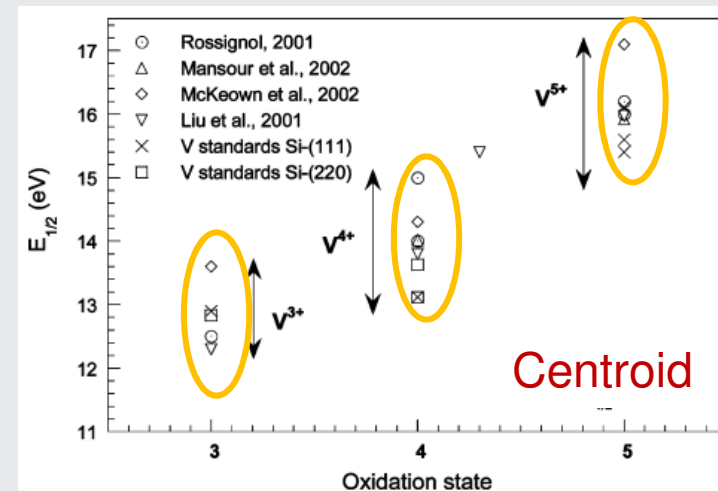


J. Phys. Chem. B 2007, 111, 5101–5110

5101

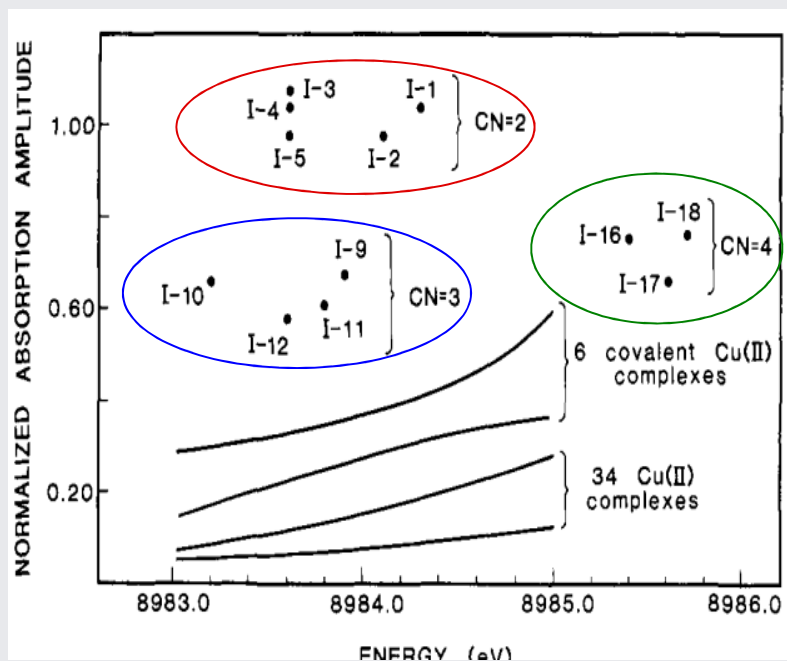
New Methodological Approach for the Vanadium K-Edge X-ray Absorption Near-Edge Structure Interpretation: Application to the Speciation of Vanadium in Oxide Phases from Steel Slag

Perrine Chaurand,^{*,†} Jérôme Rose,[†] Valérie Briois,[‡] Murielle Salomé,[§] Olivier Proux,^{||}

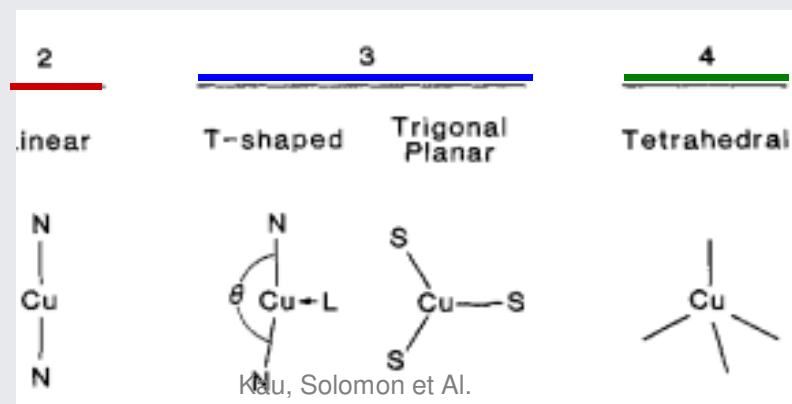
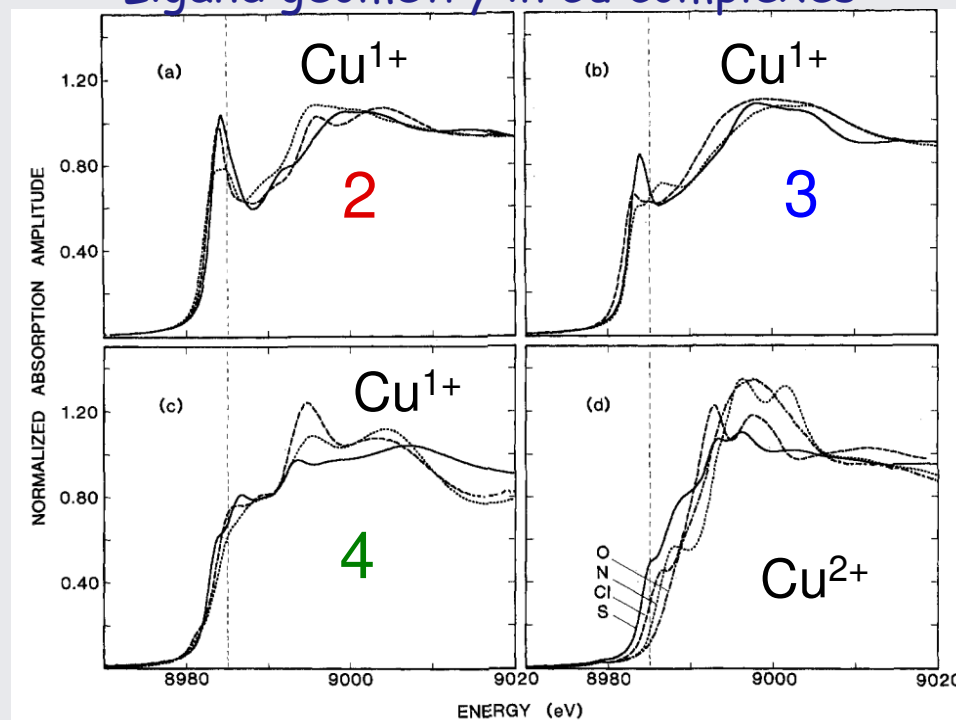


and the Copper...

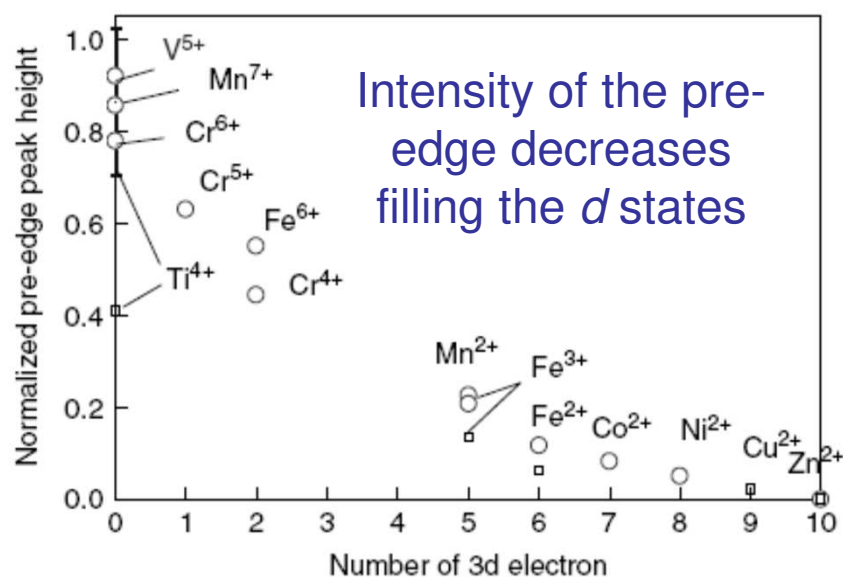
Ligand geometry in Cu complexes



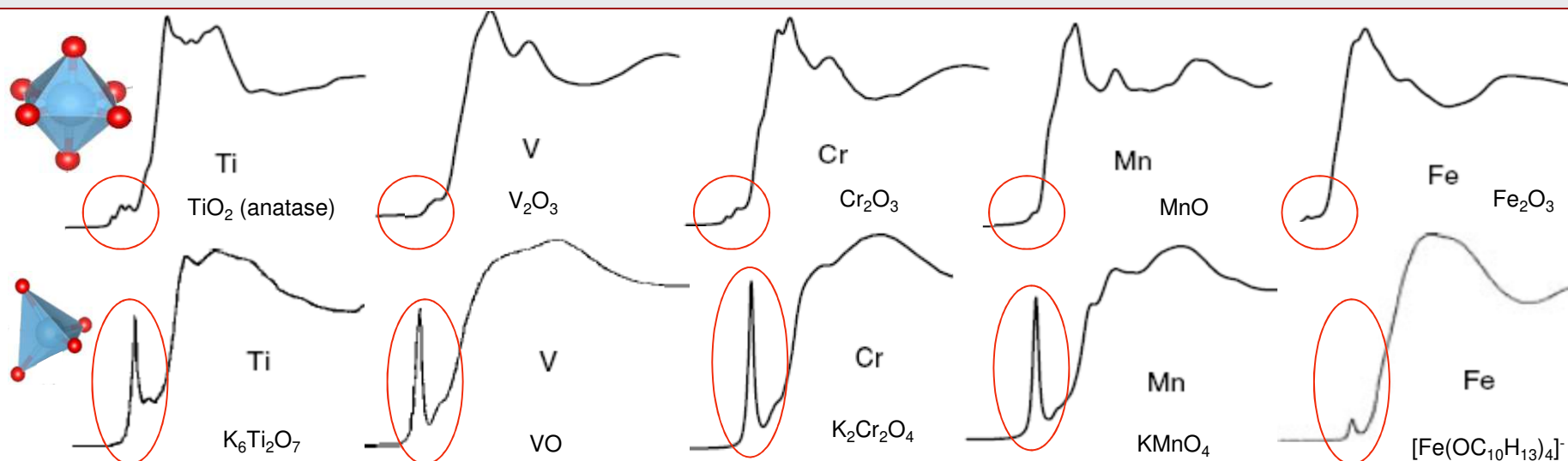
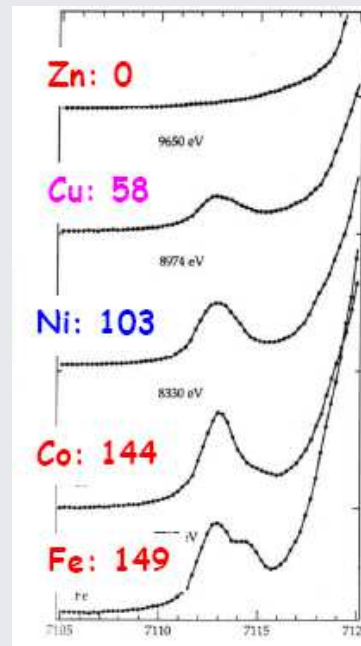
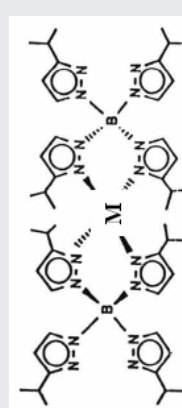
J. Am. Chem. Soc., Vol. 109, No. 21, 1987 6437



K edges of 3d metal oxides... origin of the pre-edge peaks



Example:
Pseudo Tetrahedral Metal Complexes $M(B(3\text{-isopropyl-pyrazol-1-yl})_4)_2$



K edge: mainly s -> p transitions

$$I_{sd} (\text{quadrupole}) \sim 10^{-2} I_{sp} (\text{dipole})$$

Hybridization mixes *p-d* states then dipole allowed transitions occur to empty *p*-components of hybrid *pd* levels

crystalline field splitting of *d* atomic orbitals

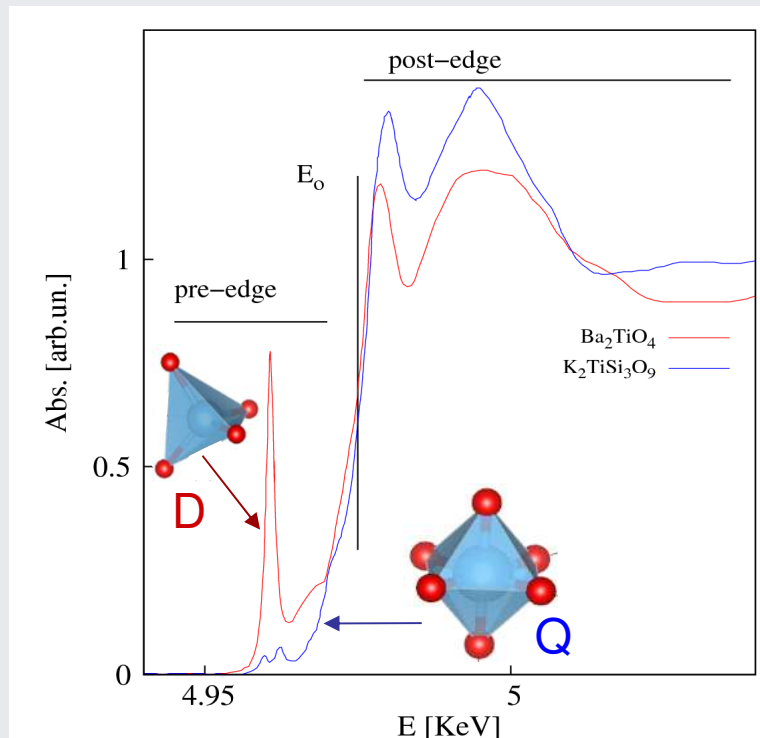
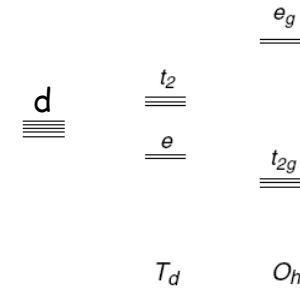


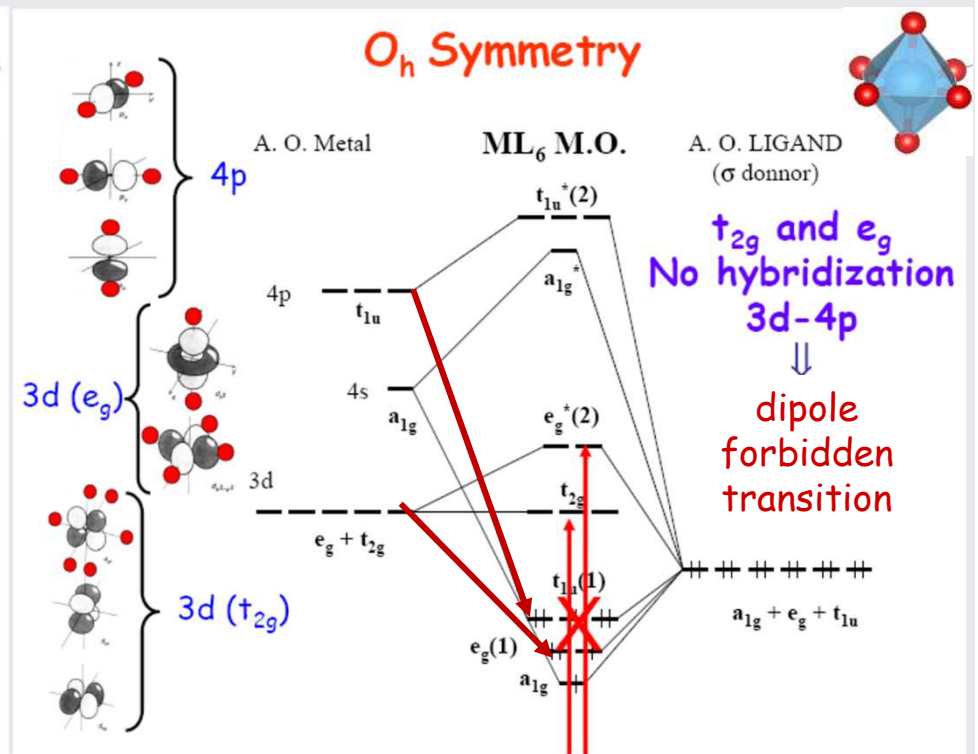
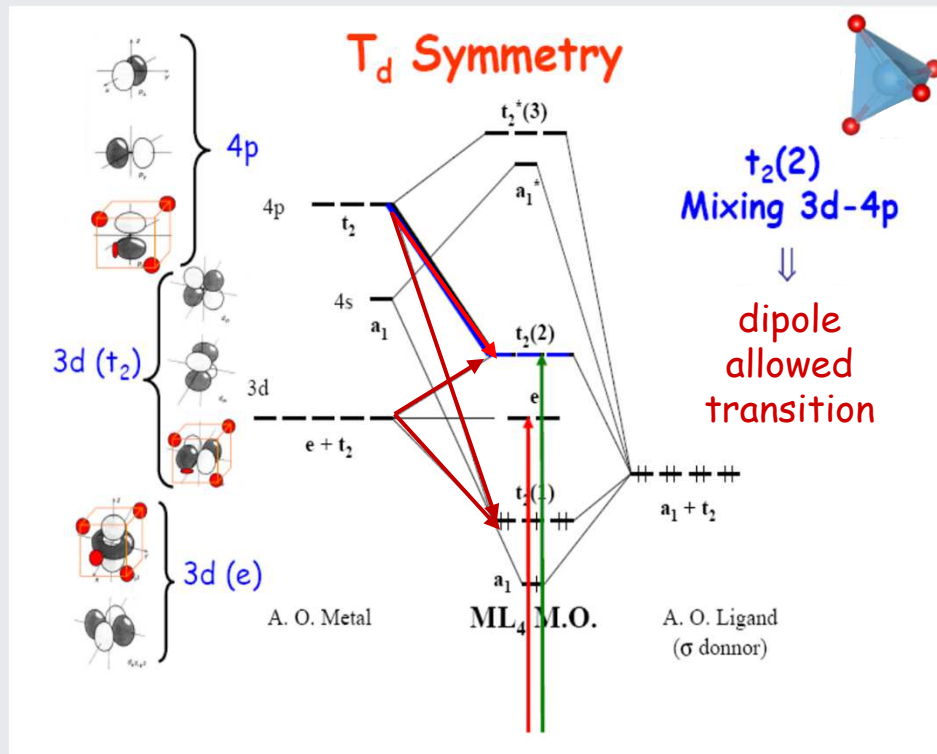
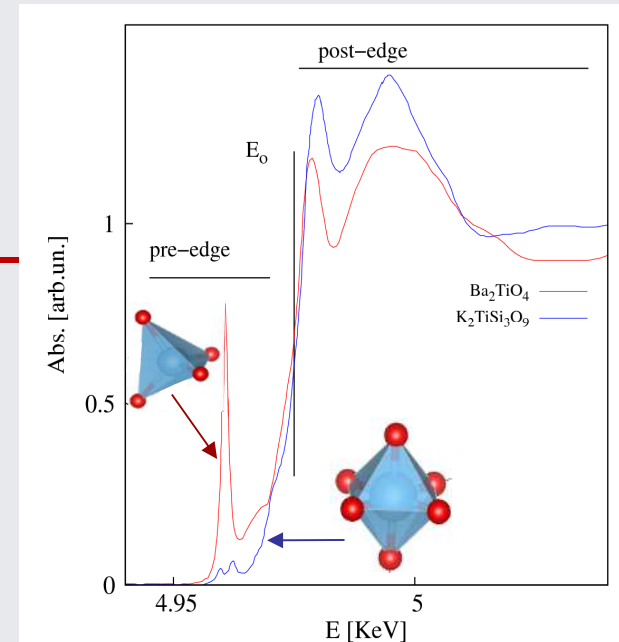
Table 1. Lists of irreducible representations and the relating functions in T_d , O_h , and D_{4h} point groups

T_d	
p	d
A_1	$x^2 + y^2 + z^2$
A_2	
E	$(2z^2 - x^2 - y^2, x^2 - y^2)$
T_1	(R_x, R_y, R_z)
T_2	(x, y, z)
O_h	
p	d
A_{1g}	$x^2 + y^2 + z^2$
A_{2g}	
E_g	$(2z^2 - x^2 - y^2, x^2 - y^2)$
T_{1g}	(R_x, R_y, R_z)
T_{2g}	(xz, yz, xy)
A_{1u}	
A_{2u}	
E_u	
T_{1u}	(x, y, z)
T_{2u}	

Yamamoto *X-Ray Spectrom.* 2008; **37**: 572–584

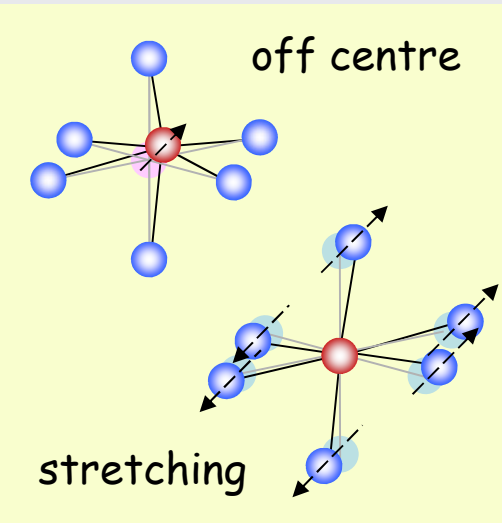
Geometrical origin of pre-edge peaks in Ti oxides

Hybridization mixes p - d states then dipole allowed transitions occur to **empty** p -components of hybrid pd levels

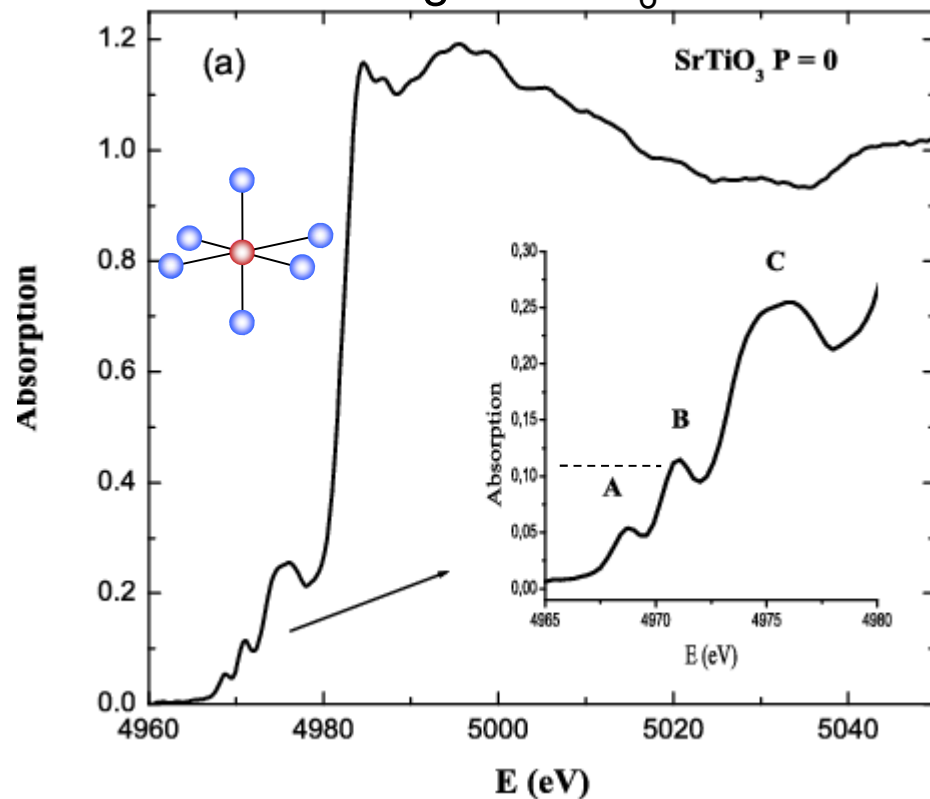


p-d mixing and sensitivity to local symmetry of TiO_6 units

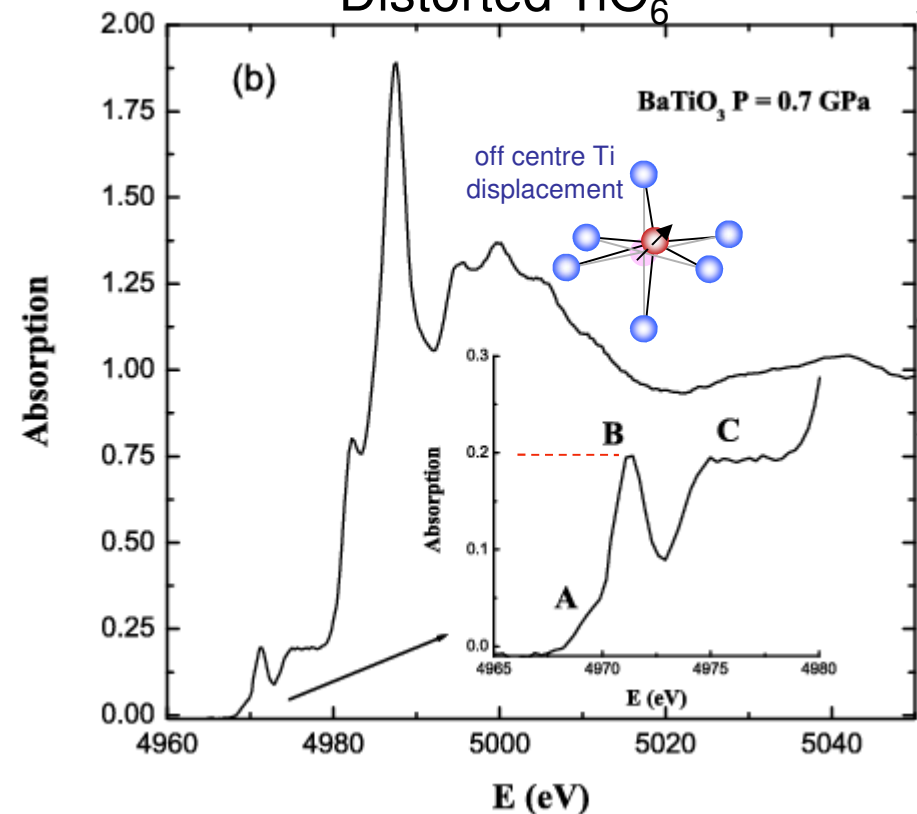
Off centre displacement and stretching of the octahedron decreases the local symmetry (non-centro-symmetric) allowing some degree of *p-d* mixing, this affect the pre-edge peaks intensity



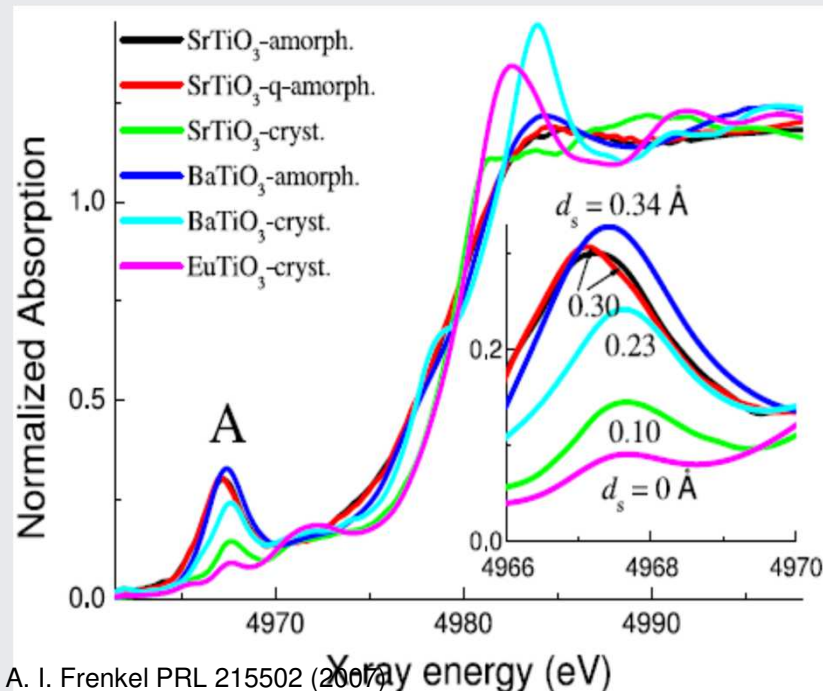
Regular TiO_6



Distorted TiO_6



p-d mixing and sensitivity to local symmetry of TiO_6 units



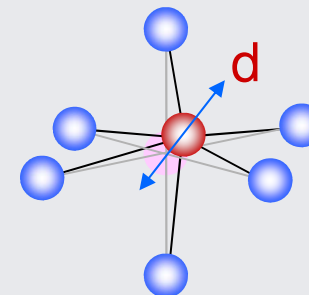
In perovskite structures [1] the area of peak A is proportional to the square of the off center displacement:

$$A = \gamma d^2 / 3$$

for Ti $\gamma = 11.2\text{-}13.6 \text{ eV/\AA}$

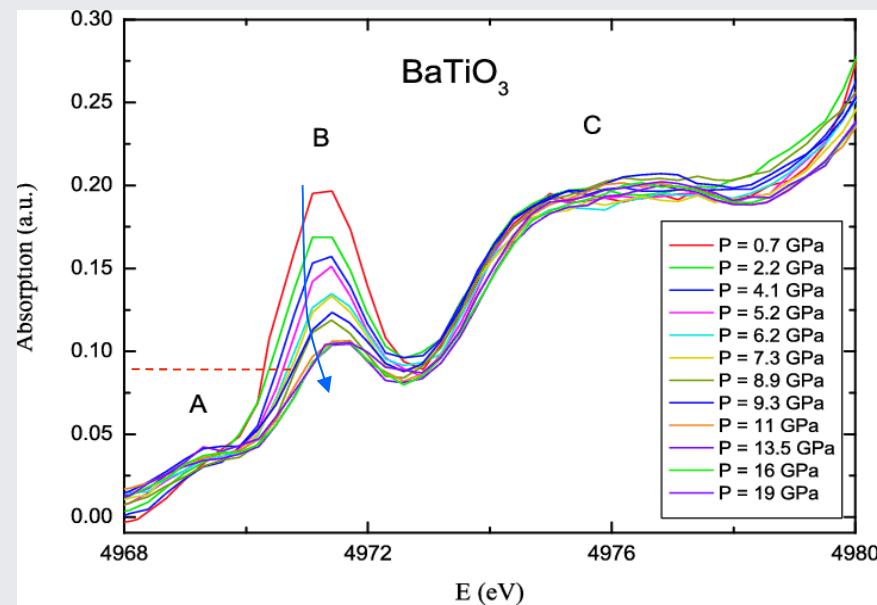
the displacement d contains a static plus a dynamic contribution:

$$d^2 = d_s^2 + d_t^2$$



[1] R.V. Vedrinskii et al. J. Phys. Condens. Matter **10**, 9561 (1998).

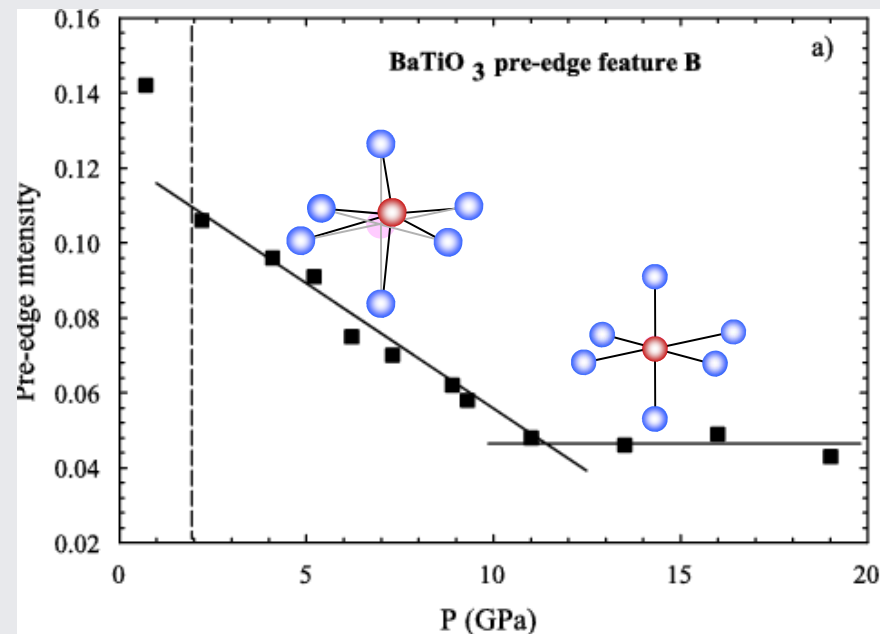
Example: hydrostatic pressure reduces TiO_6 distortions in BaTiO_3 and suppress ferroelectricity



The decrease of B peak intensity signals the reduction of Ti atom displacement.

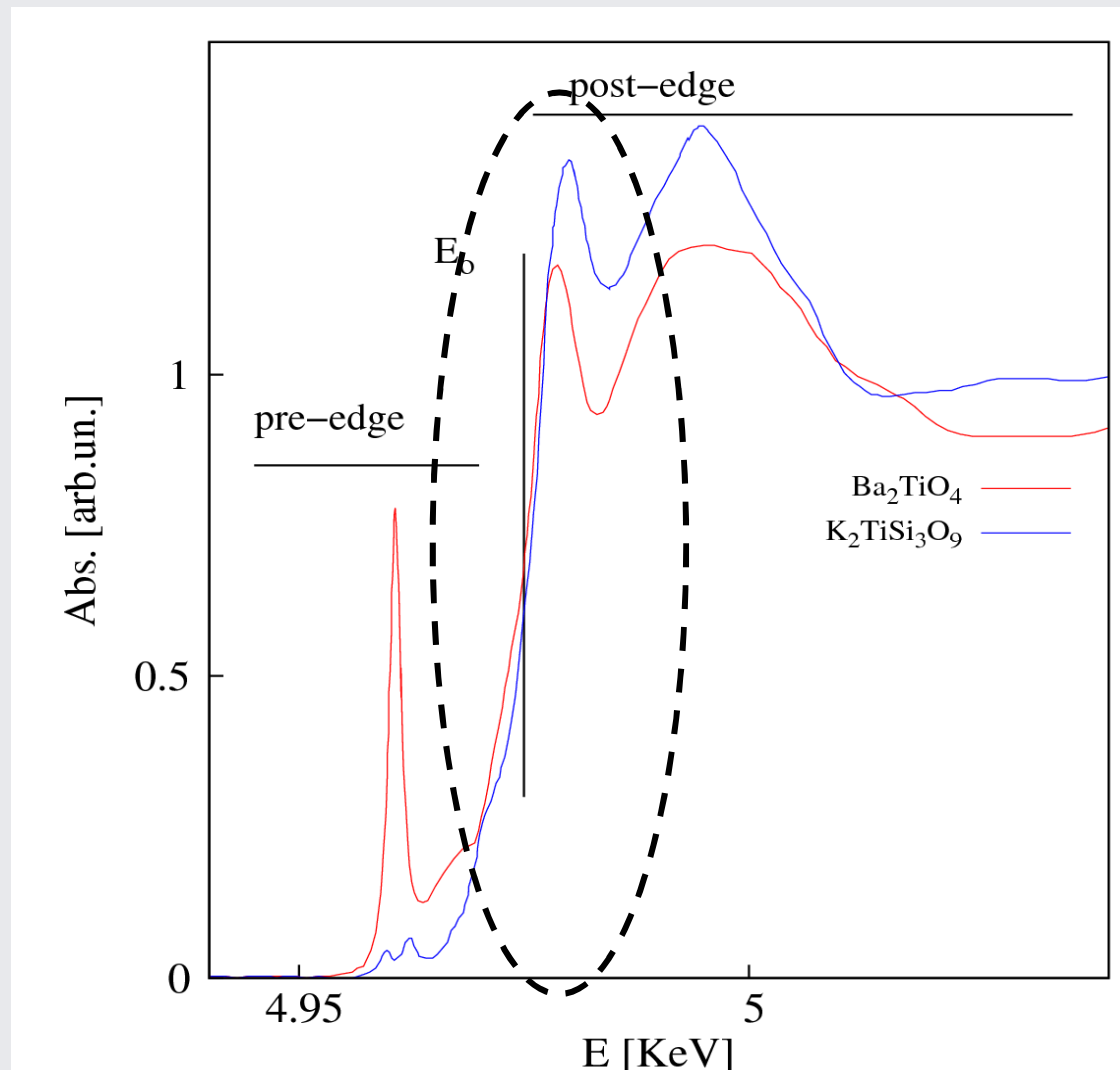
Above 10 GPa Ti must be at the center of a regular oxygen octahedron,

the hybridization of the Ti 3d electronic states with the 2p electronic states of the surrounding oxygen is at the minimum

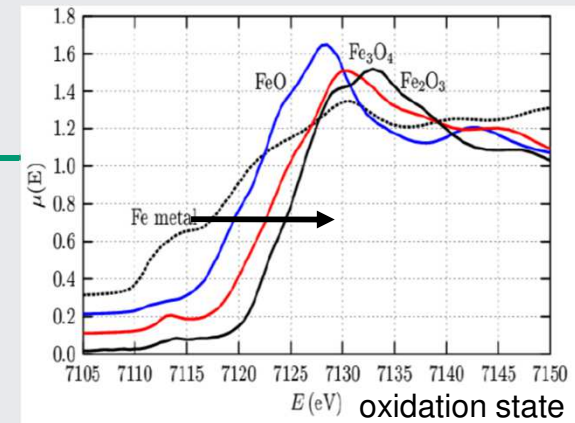


Europhys. Lett., **74** (4), pp. 706–711 (2006)
DOI: 10.1209/epl/i2006-10020-2 J. P. Itié et al.

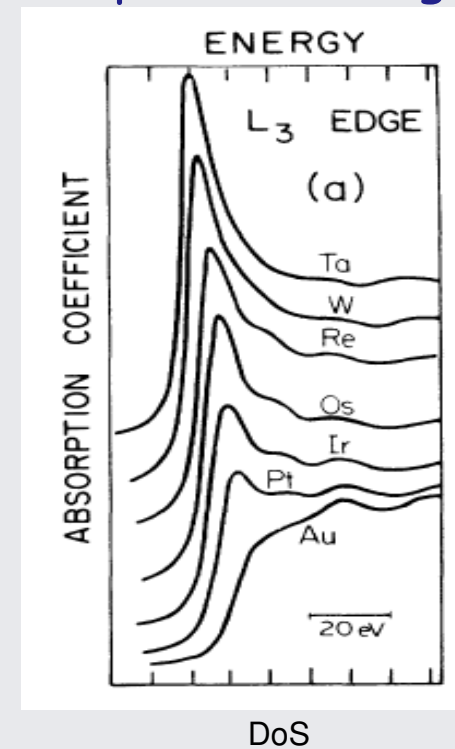
The Edge region: Shape and position



The edge position



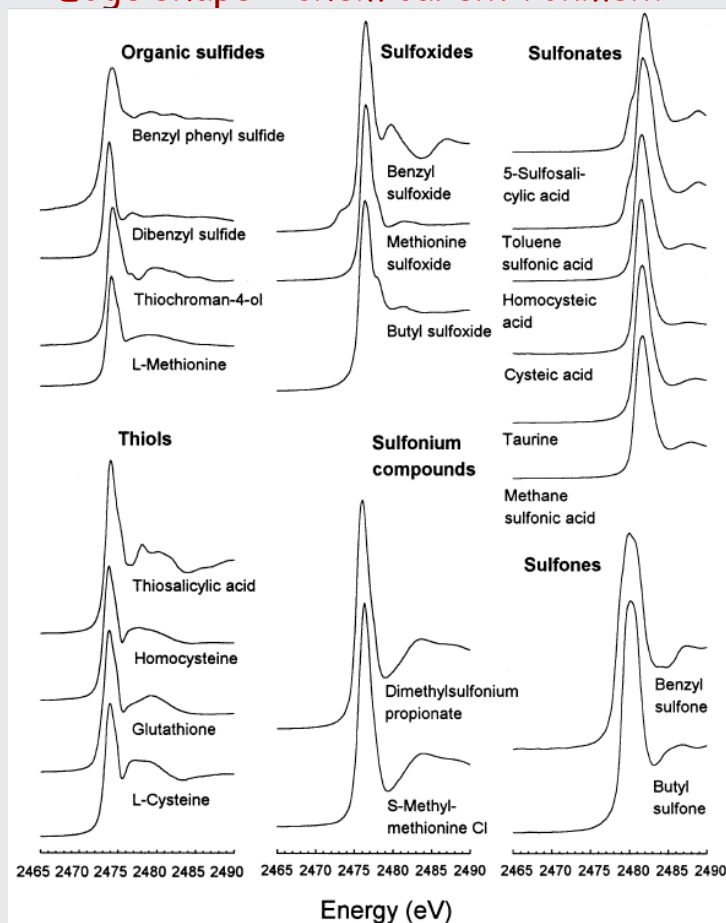
Shape of the edge



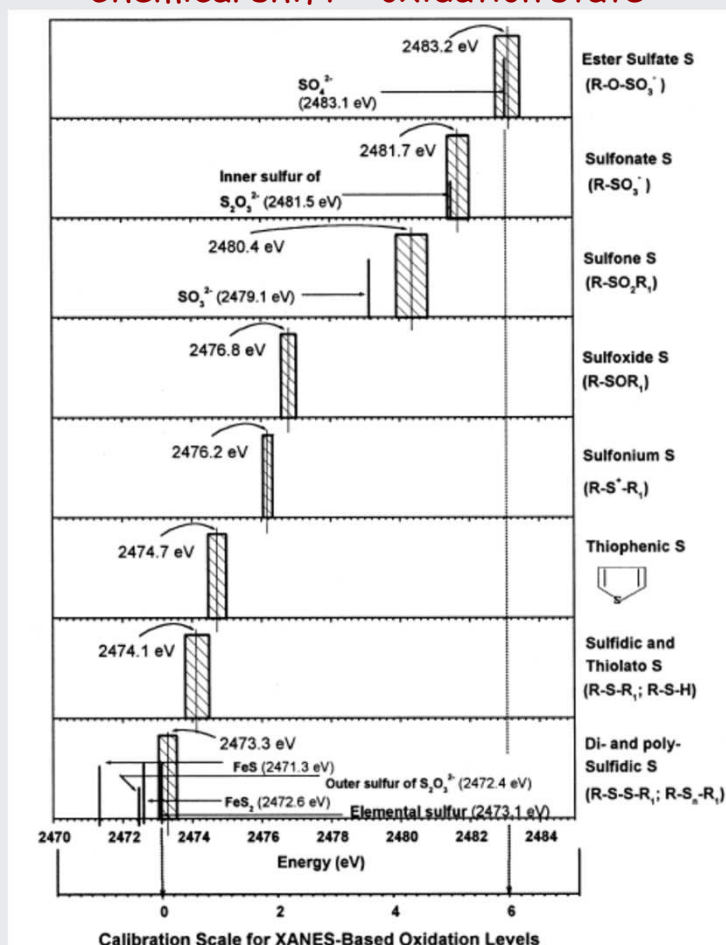
Edge region: a valuable fingerprint for chemical speciation (coordination geometry and oxidation state)

Sulphur K edges in organic compounds having different functionalities

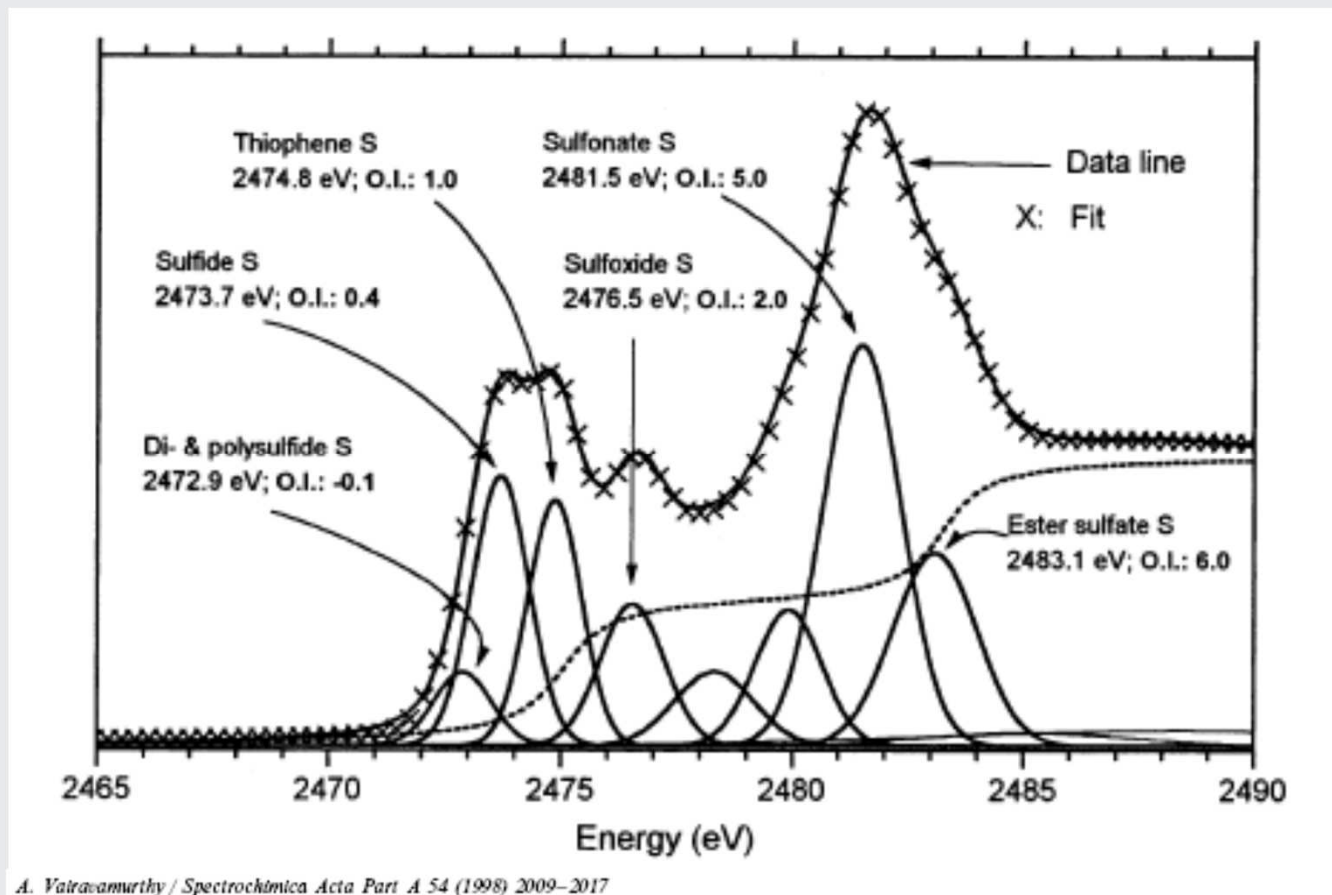
Edge shape = chemical environment



Chemical shift = oxidation state

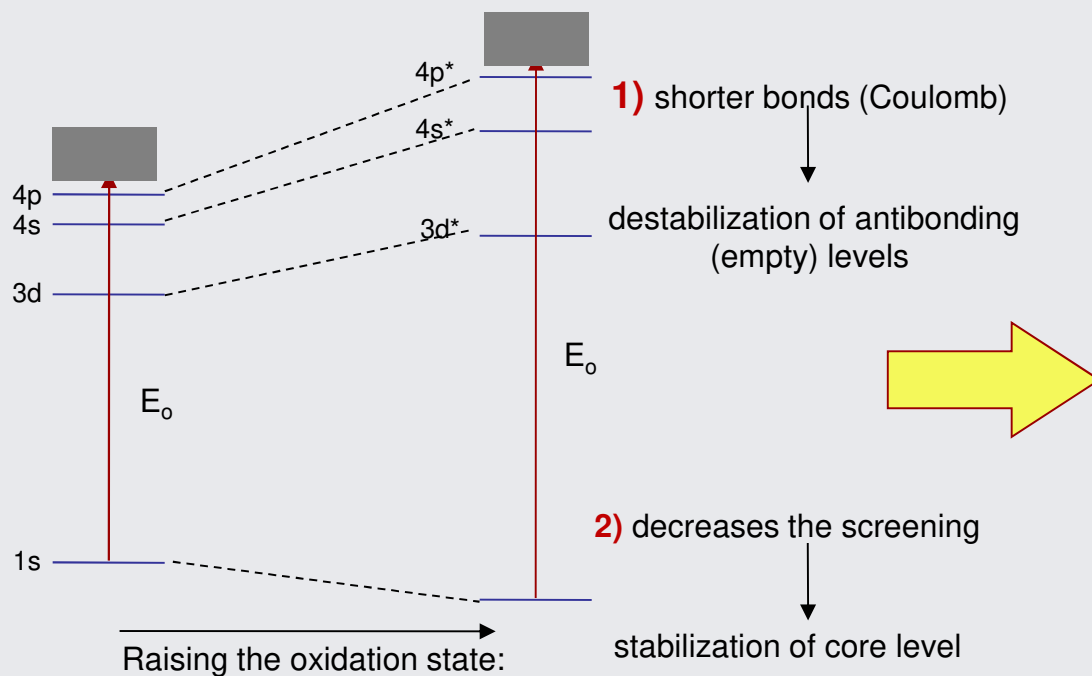
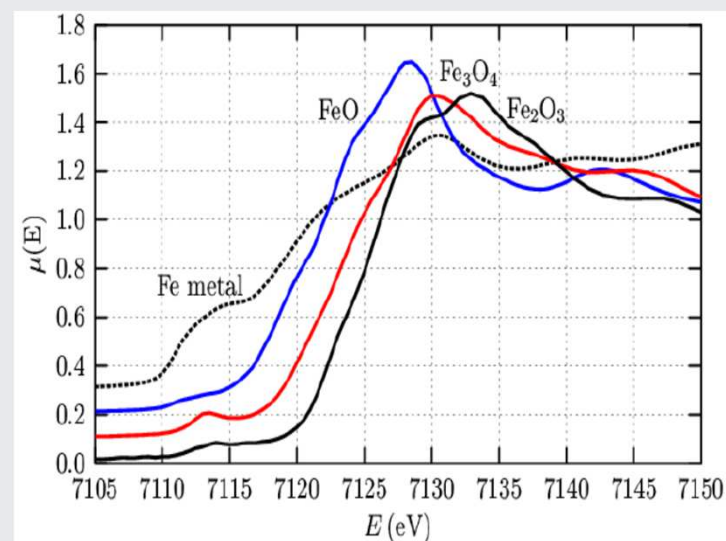
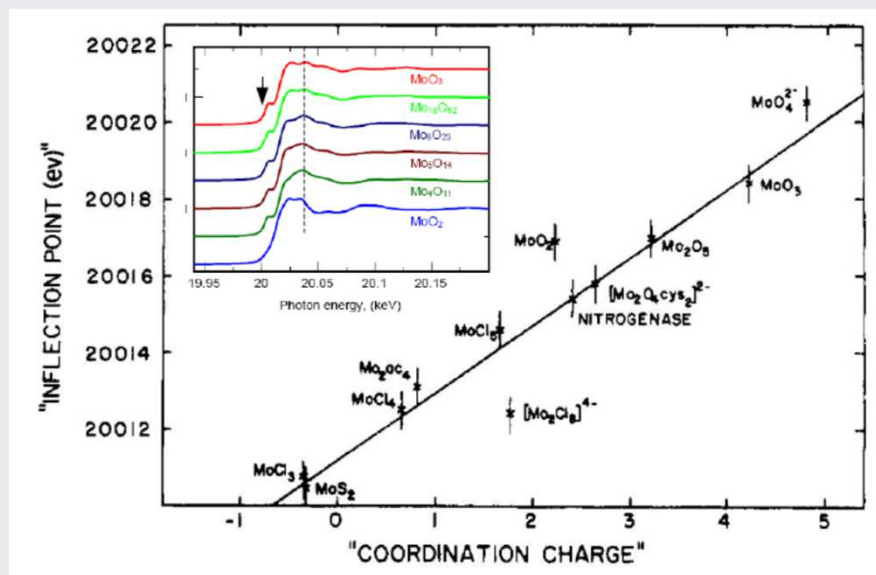


Edge region: a valuable fingerprint for chemical speciation



chemical speciation of Sulphur in
complex humic substances

The chemical shift reveals the absorber oxidation state...

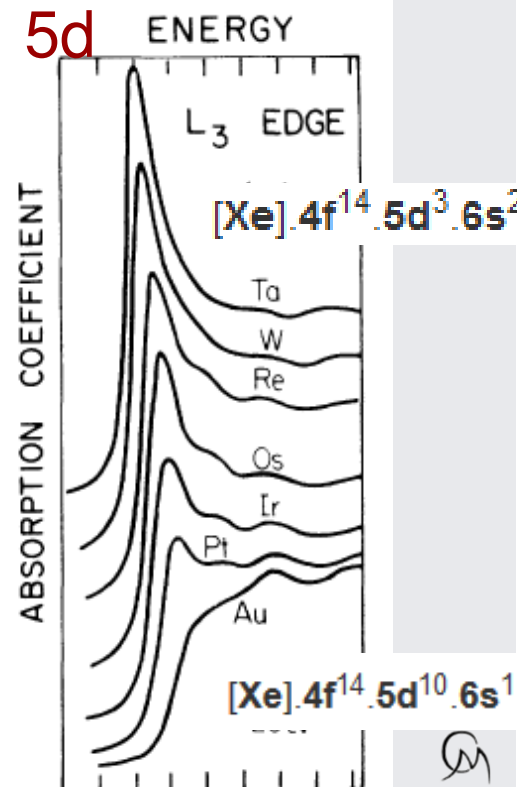
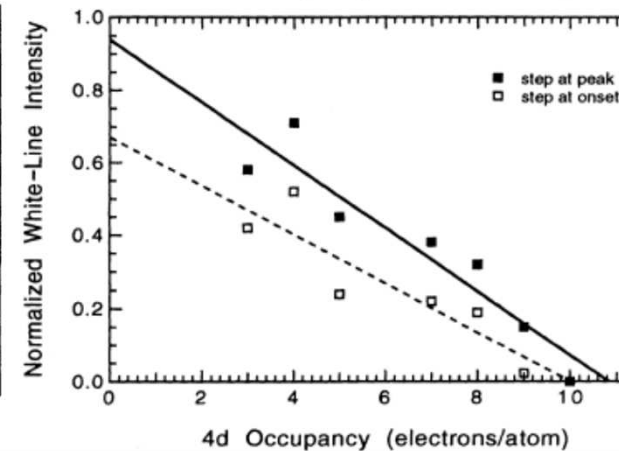
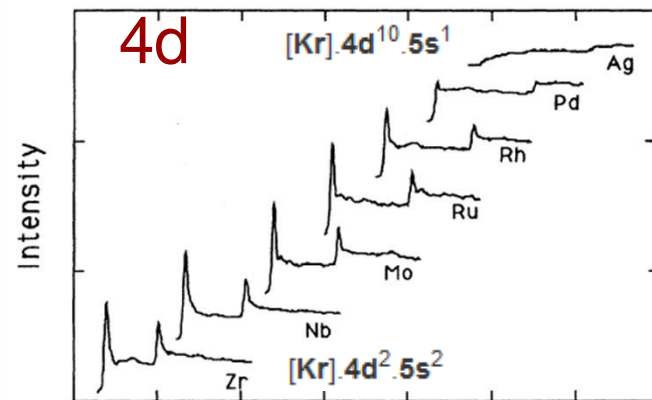
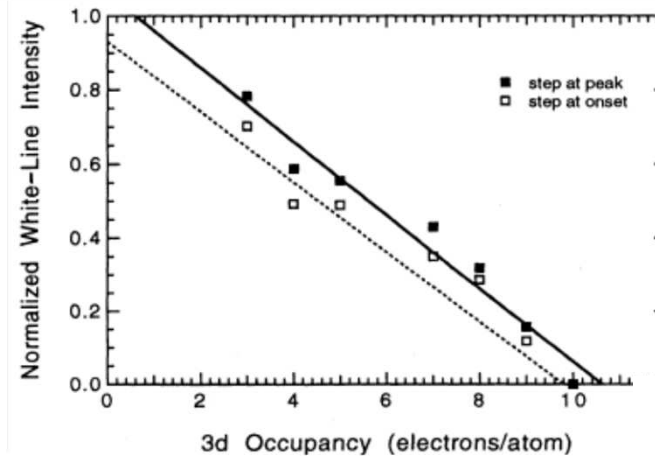
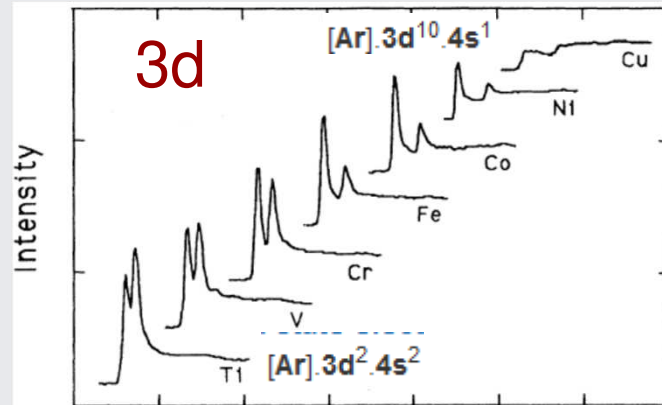


Higher oxidation state

implies

High energy shift of the resonances

$L_{2,3}$ edge white lines: a probe for occupancy of d band in nd elements ($n=3, 4, 5$)



PHYSICAL REVIEW B

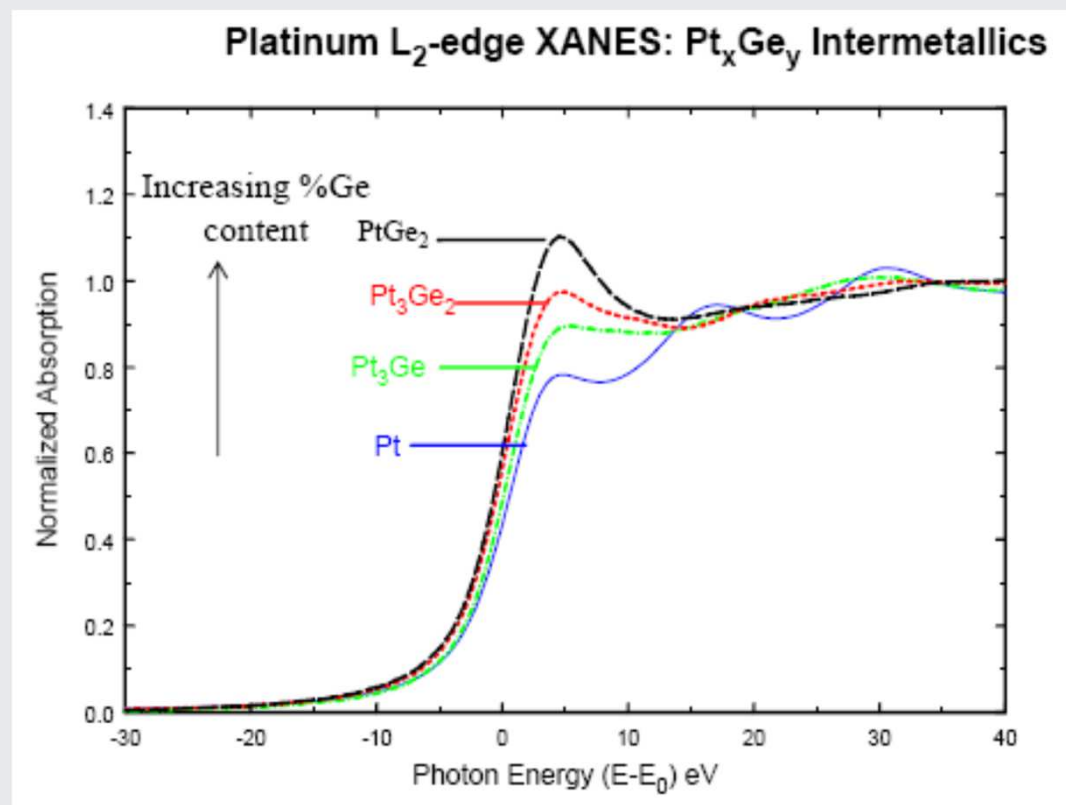
VOLUME 47, NUMBER 14

1 APRIL 1993-II

White lines and d -electron occupancies for the 3d and 4d transition metals

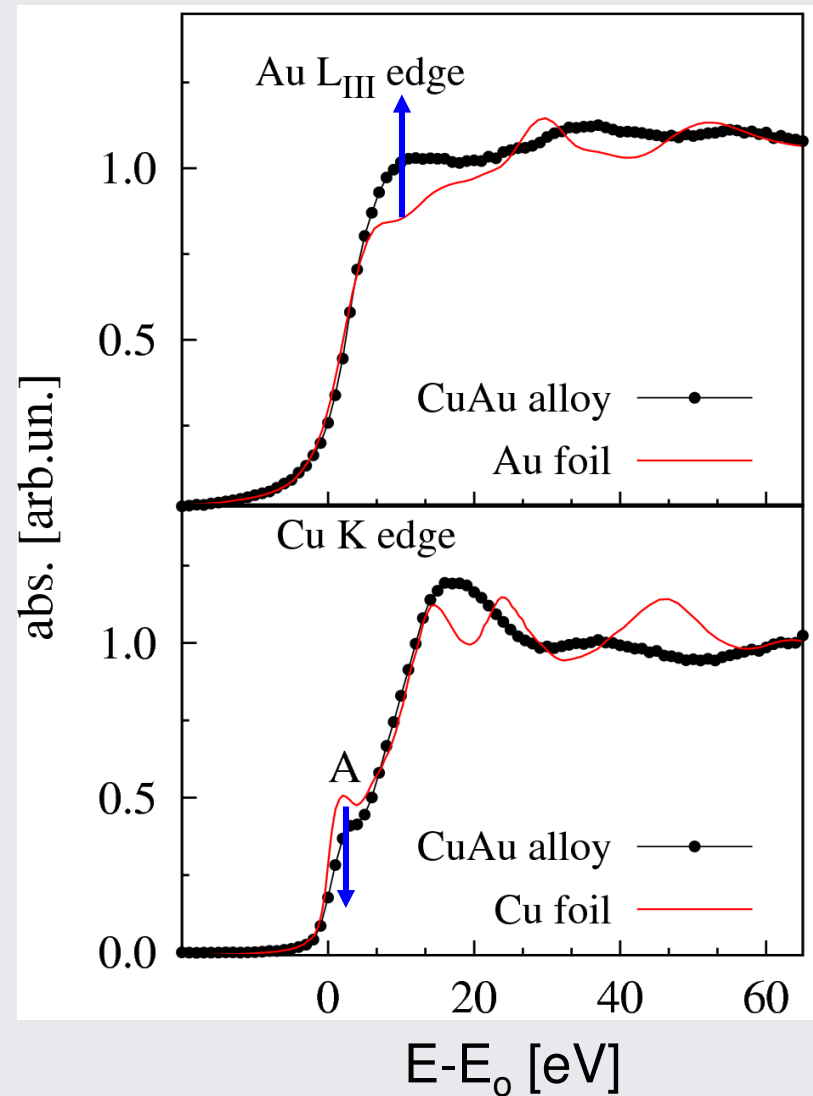
D. H. Pearson,* C. C. Ahn, and B. Fultz

Example: Pt L₂ edge white line in Pt_xGe_y intermetallic compounds



- Transition is 2*p* to 5*d*: Pt *d*-band full, so “no” intensity at edge.
- PtGe intermetallics: charge transfer from *d*-band of Pt to Ge, resulting in significant intensity at edge.
- Use as signature of Pt-Ge intermetallic formation.

Example: Charge transfer in Cu-Au thin film alloy



e^- migrate **from Au**
(increasing density of
empty states)

to Cu (decreasing
density of empty
states)

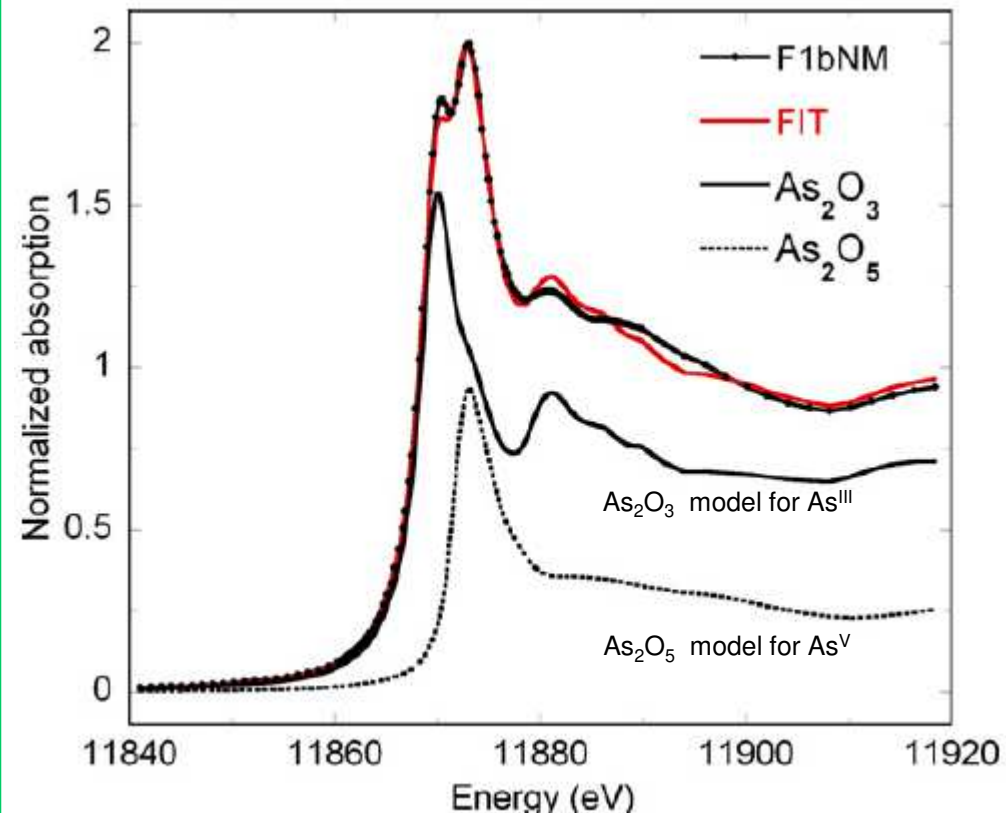
Analysis of mixtures: Linear Combination Analysis

$$\mu^{th} = \sum_j \alpha_j \mu^{ref_j}$$

$$R^2 = \sum_i (\mu^{exp}(E_i) - \mu^{th}(E_i))^2$$

F. Bardelli et al. *Geoch. & cosmochem. acta* 2011

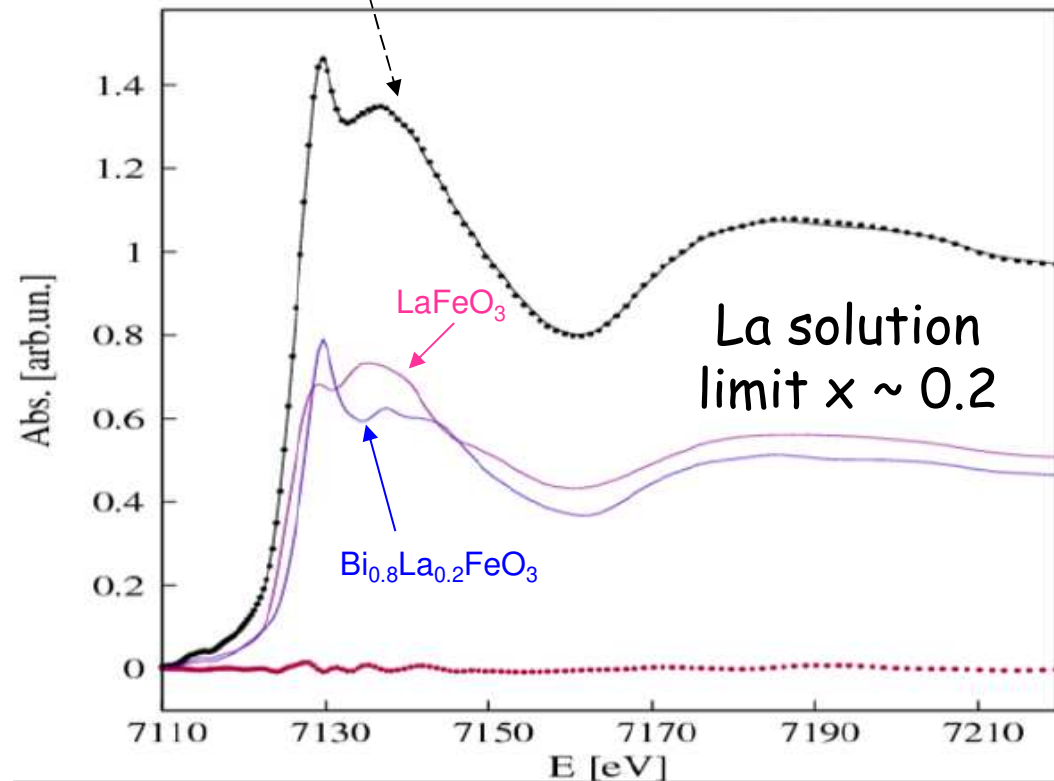
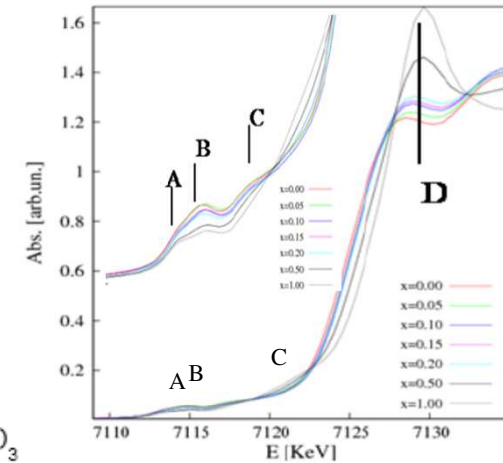
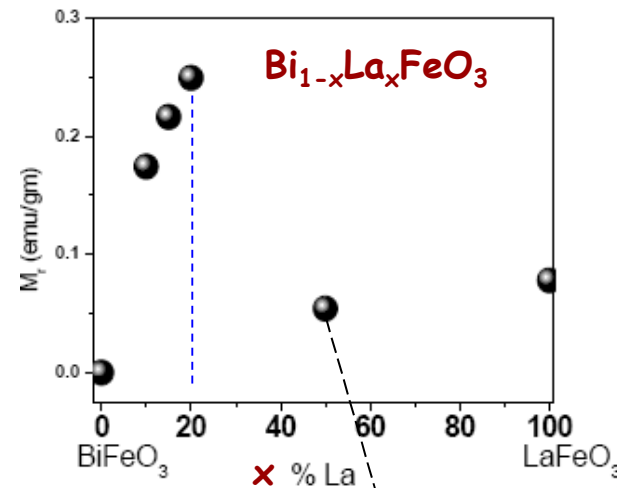
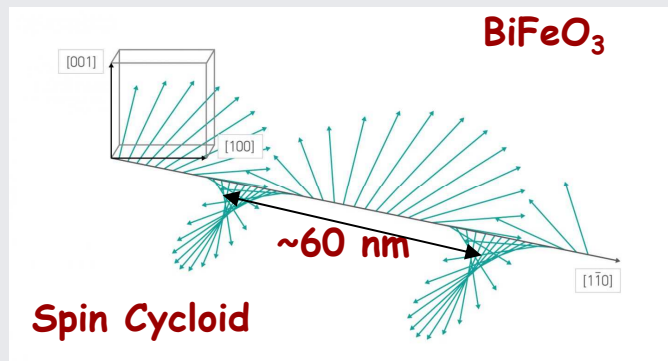
As adsorption in Natural Calcite samples



Analysis of mixtures: Linear Combination Analysis

$$\mu^{th} = \sum_j \alpha_j \mu^{ref_j}$$

$$R^2 = \sum_i (\mu^{exp}(E_i) - \mu^{th}(E_i))^2$$

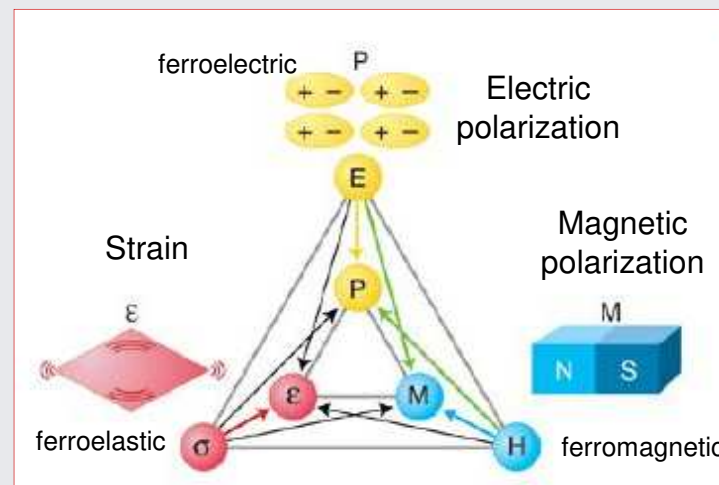


Advanced materials: looking for magneto-electric coupling

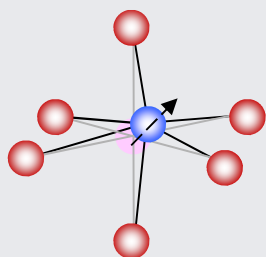
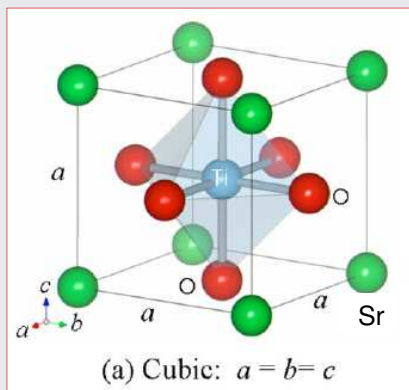
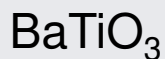
Ferromagnetism: a property of certain materials which possess a spontaneous **magnetic** polarization

Ferroelectricity: a property of certain materials which possess a spontaneous **electric** polarization

Magneto-electric coupling: magnetic control of ferroelectricity and/or electric control of ferromagnetism may open the way to new devices

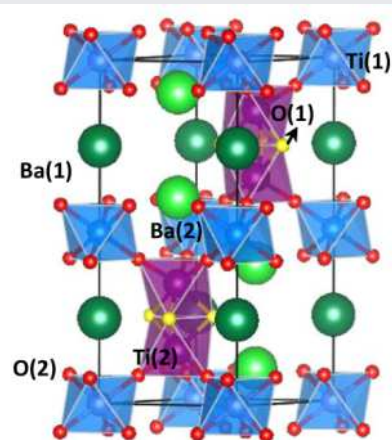


Advanced materials: looking for magneto-electric coupling

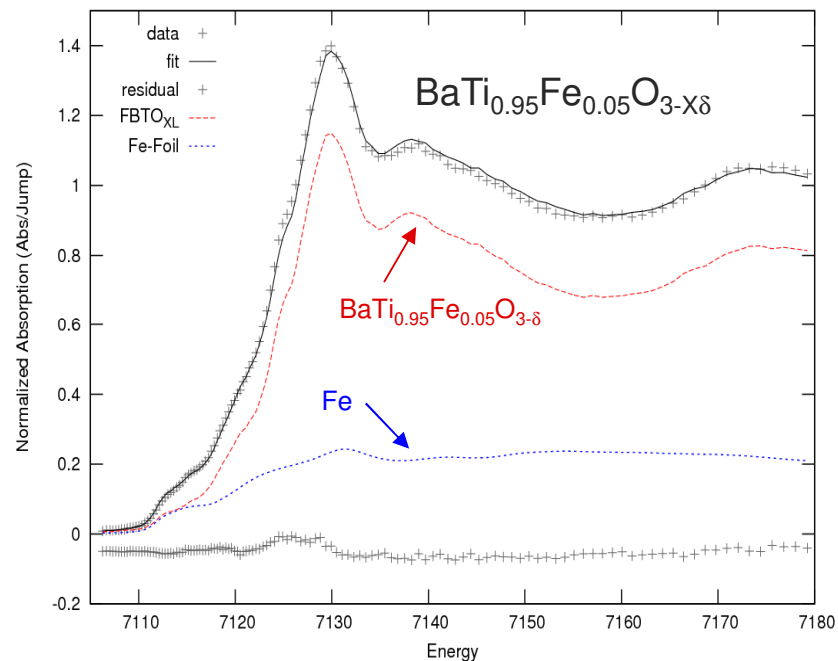


BaTiO_3 is **ferroelectric**: off center displacement of Ti^{4+} ions produces a permanent electric dipole in TiO_6 molecules

Note: XRD can't show Fe crystalline phase because 1% of Fe^0

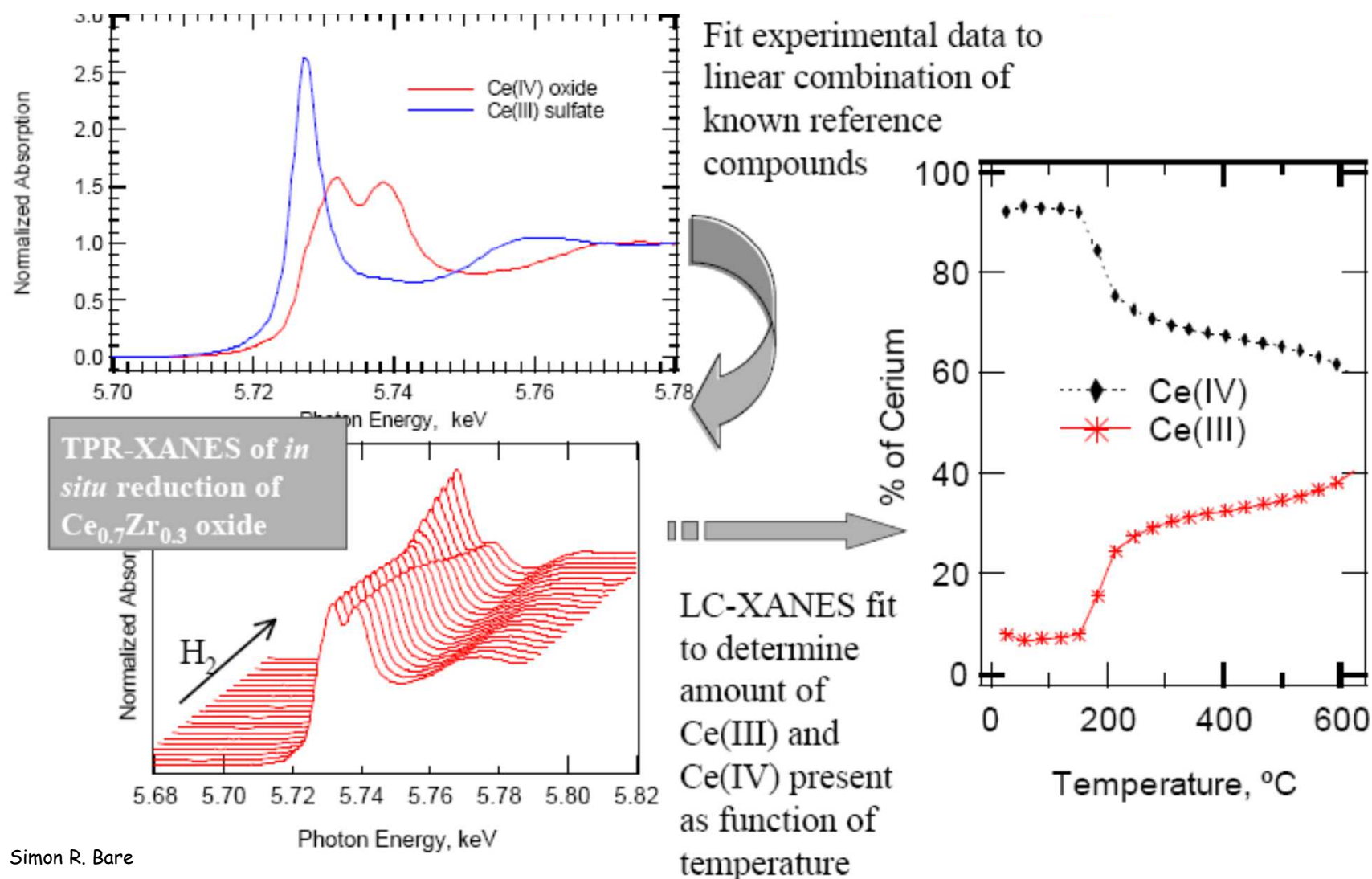


doping with magnetic ions (Fe) may provide some magnetoelectric coupling and stabilize the ferroelectric phase



Large Oxygen vacancies causes the Fe ions segregating as metallic Fe^0 phase, the sample is no more homogeneous at the short range scale, wrong magneto-electric understanding

XANES - LCA for Catalysis

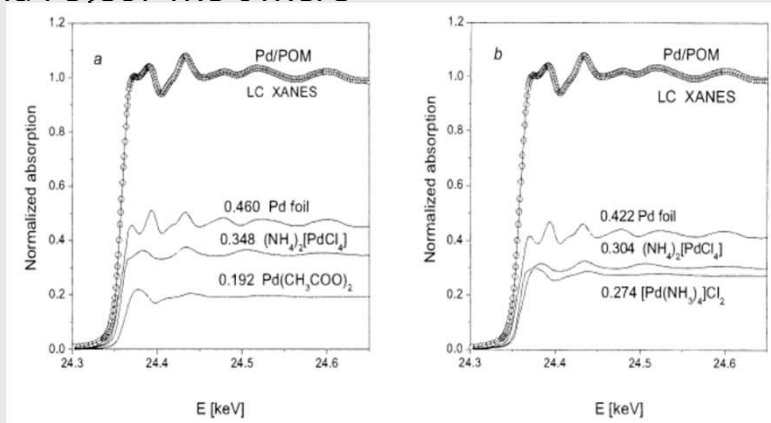


Simon R. Bare

Principal component analysis (PCA)

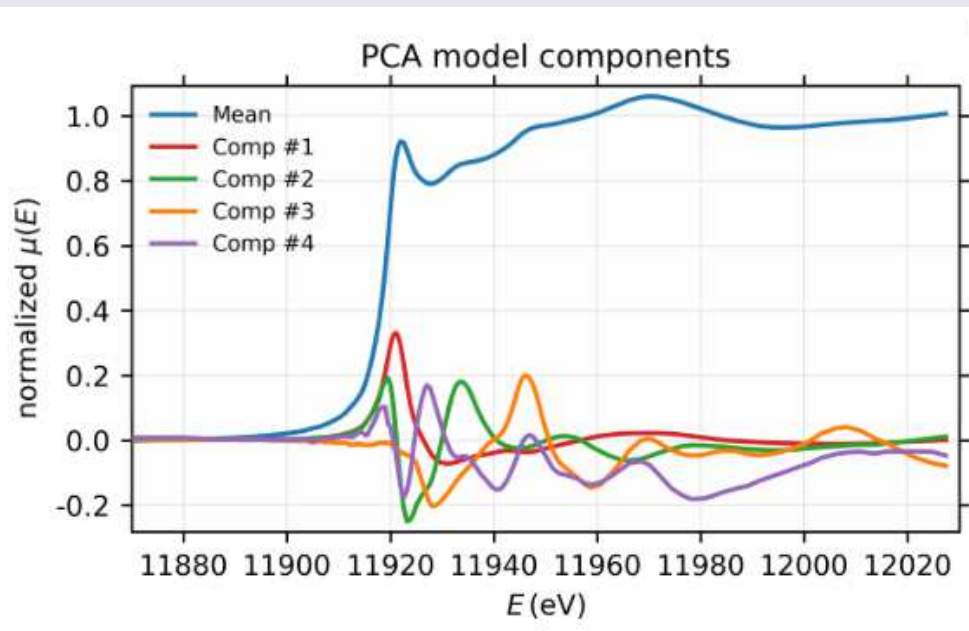
*Determination of molybdenum surface environment of molybdenum/titania catalysts by EXAFS, XANES and PCA. Mikrochimica Acta 109 (1992) 281.

PCA, based on linear algebra e statistical methods, is widely used in pattern recognition problems. Each reference spectrum (component) represent a vector, the data are reproduced by vectorial sum. The algorithms automatically determine (statistics) the relevant **components** (principal) out a given ensemble and reject the others



J.W. Sobczak J. of All. and Comp. 362 (2004) 162
Local structure of a Pd-doped polymer

Automatic procedure to select principal components on the basis of their statistical relevance



Warning

- Components maybe not "physical"
- Which standards are required?
- Which standards are unphysical?
- Is the final fit reasonable?

- Use all the a-priori knowledge on the sample (physics, chemistry, etc...)
- Check carefully the results

How to calculate the XANES signal

Test the structural models

Fermi Golden Rule

$$\sigma(\omega) = \alpha \frac{4\pi}{\omega} \sum_f | \langle \Psi_i | H_i | \Psi_f \rangle |^2 \delta(\hbar\omega + E_i - E_f)$$

atomic absorption
cross section

Ground
state

Interaction
Hamiltonian

Final
state

photon
energy

Electric Dipole

$$\sigma(\omega) \approx \sum_f | \langle \psi_f; \Psi_f^{N-1} | \hat{\epsilon} \cdot \vec{r} | \psi_i; \Psi_i^{N-1} \rangle |^2 \delta(E_f - E_i - \hbar\omega)$$

One electron

$$\sigma(\omega) \approx \sum_f | \langle \Psi_i^{N-1} | \Psi_i^{N-1} \rangle |^2 | \langle \psi_f | \hat{\epsilon} \cdot \vec{r} | \psi_i \rangle |^2 \delta(E_f - E_i - \hbar\omega)$$

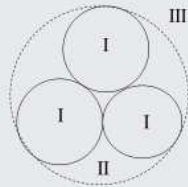
Many body effects

S_0^2

Muffin-Tin approximation

$$V(\vec{r}) = V_I + V_{II} + V_{III}$$

$$V_I(\vec{r}) = \sum_j v_{R_j}^I(\vec{r} - \vec{R}_j)$$



I region

$$V_I^j(\vec{r}) = \sum_L v_L^j(r) Y_L \vec{r} ; L = 1, m$$

Only the $L=0$ is considered: spherical symmetry

II region

$$\sigma(\omega) \approx \sum_f S_f^2 | \langle \psi_f | \hat{\epsilon} \cdot \vec{r} | \psi_i \rangle |^2 \delta(E_f - E_i - \hbar\omega)$$

$$\Psi_I^i = \sum_L B_L^i R_L^i(r_i) Y_{Lm}(\hat{r}_i)$$

III region

V_{III} is a spherical average
It depends to the physical

In the extended continuum scheme V to continuum and bound states

$$\sigma(\omega) \approx \sum_{L, L', m, m'} |B_L^0(L)|^2 \left| \langle R_L^0(\vec{r}_o) | r_o Y_{Lm}(\hat{r}_o) | \phi_{l_o}(r_o) Y_{L_o}(\hat{r}_o) \rangle \right|^2$$

Total scattering amplitude
Radial part of the final state at r_o (the w.f. is localized)
EM interaction (dipole approximation)
Initial state

Selection rules $l = l_o \pm 1, l_o - 1$

From the Lippmann-Schwinger equation and using the Green's theorem:

$$B_L^i(L) - t_i^L \sum_{j \neq i} \tilde{G}_{LL'}^{ij} B_{L'}^j(L) = \dots$$

Total scattering amplitude of momentum L at the site i
Scattering of waves coming from neighbouring scattering

The scattering amplitude at the site i with an amplitude of the exciting wave J plus the neighbouring sites

Tyson, Hodgson, Natoli, Benfatto Phys. Rev. B 465997 (1992)

$$\sigma(\omega) \approx \sum_{L, L', m, m'} |B_L^0(L)|^2 \left| \langle R_L^0(\vec{r}_o) | r_o Y_{Lm}(\hat{r}_o) | \phi_{l_o}(r_o) Y_{L_o}(\hat{r}_o) \rangle \right|^2$$

$$\sigma(\omega) = \sigma_{l_o, l_o+1}^a \chi_{l_o+1} + \sigma_{l_o, l_o-1}^a \chi_{l_o-1}$$

Atomic part

$$\sigma_{l_o, l_o \pm 1}^a \approx (M_{l_o \pm 1}^{l_o})^2 \Im m(t_{l_o \pm 1})$$

$\sin^2 \delta_l^0$

$$\chi_l = \frac{1}{(2l+1) \sin^2 d_l} \sum_m \Im m \tau_{lm}^{oo}$$

Structural part

$$\text{exact solution } \tau_{LL'}^{oo} = [(I - T_a G)^{-1} T_a]_{LL'}^{oo}$$

The matrix inversion allows obtaining all the structural and electronic information about the atomic cluster

$$(I - T_a G)^{-1} = \sum_{n=0}^{\infty} (T_a G)^n$$

MS expansion

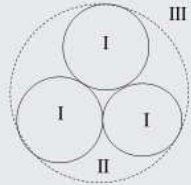
$$\tau = T_a + T_a G T_a G T_a + T_a G T_a G T_a G T_a + \dots$$

EXAFS region

Muffin-Tin approximation

$$V(\vec{r}) = V_I + V_{II} + V_{III}$$

$$V_I(\vec{r}) = \sum_j v_{R_j}^I(\vec{r} - \vec{R}_j)$$



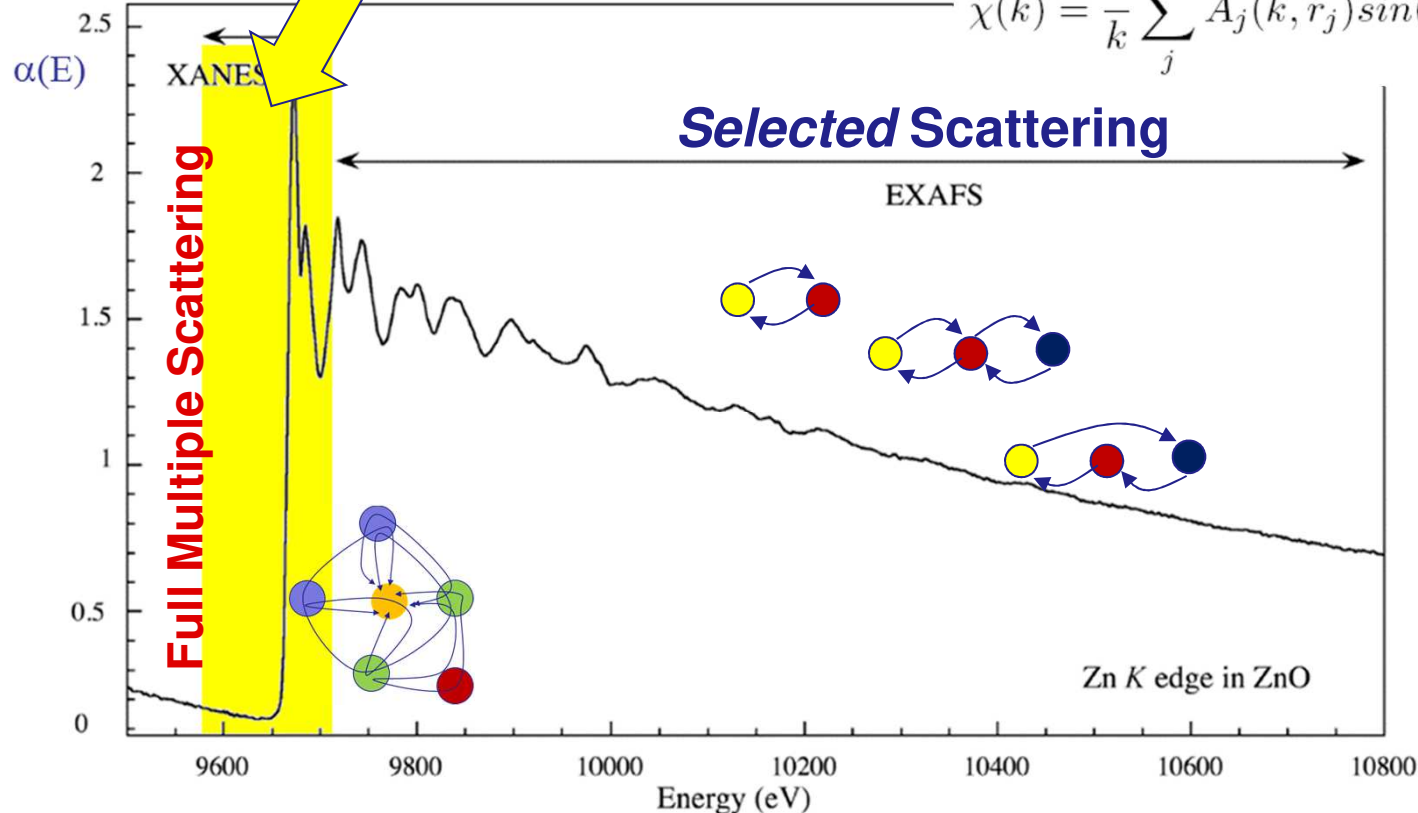
V_{III} is a spherical average
It depends to the physical

$$\mu(E) \propto \mu^{at} \Im \left\{ \frac{1}{\sin^2 \delta_{L_0}^0} \frac{1}{2^{L_0+1}} \sum_{m_0} [T((1 - GT)^{-1})_{L_0, L_0}^{0,0}] \right\}$$

$$(I - GT)^{-1} \cong \sum_n (GT)^n$$

$$\chi(k) = \frac{1}{k} \sum_j A_j(k, r_j) \sin(2kr_j + \psi_j(k))$$

In the



$$\langle \hat{r}_a \rangle^2$$

$$T_i \phi_{l_o}(r) R_l^i(r) d\vec{r}$$

matrix element, the
inside the MT volume
utilization of the w.f.

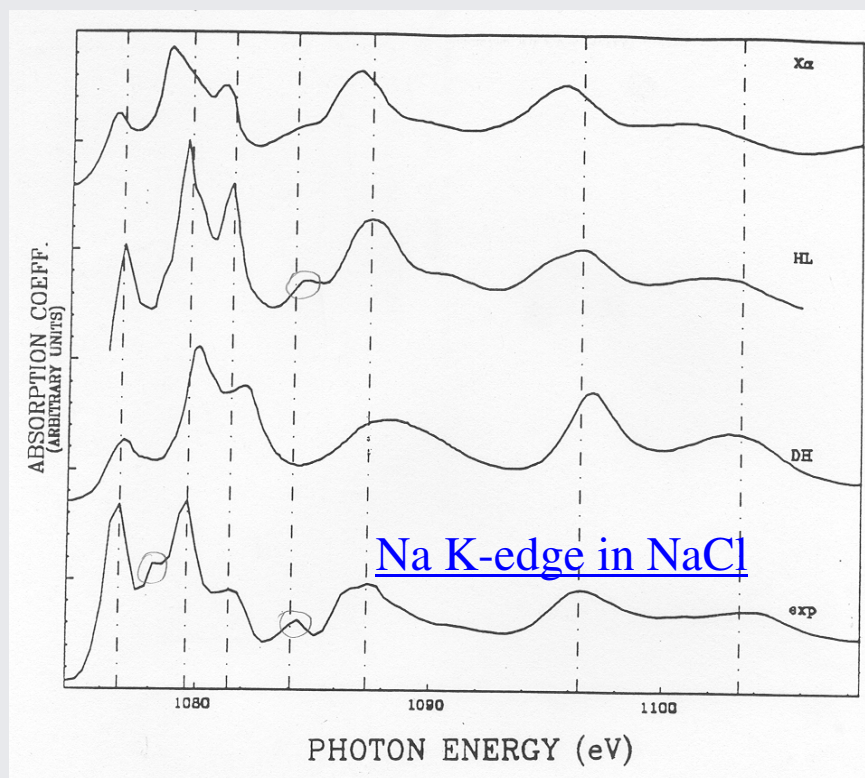
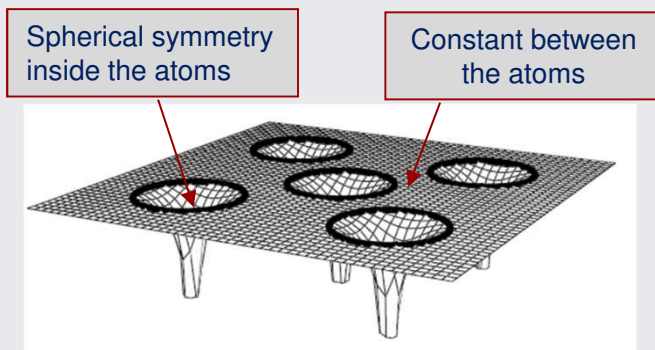
dipole allowed transition

structural part

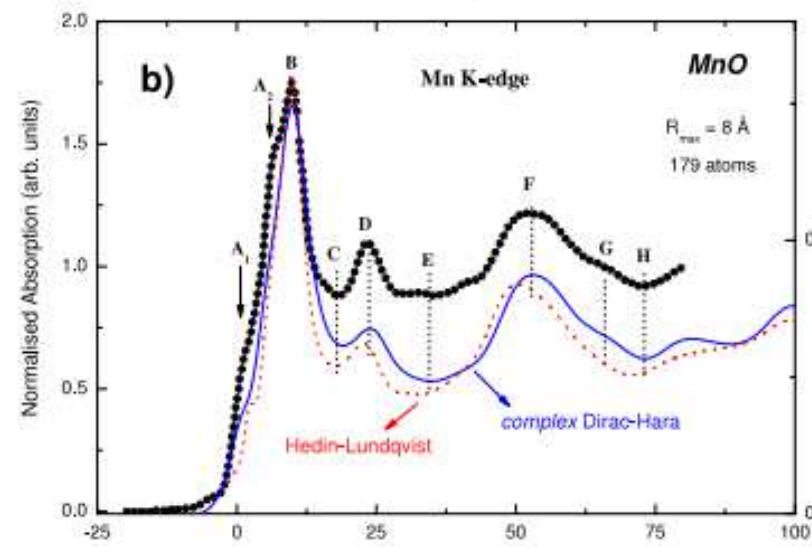
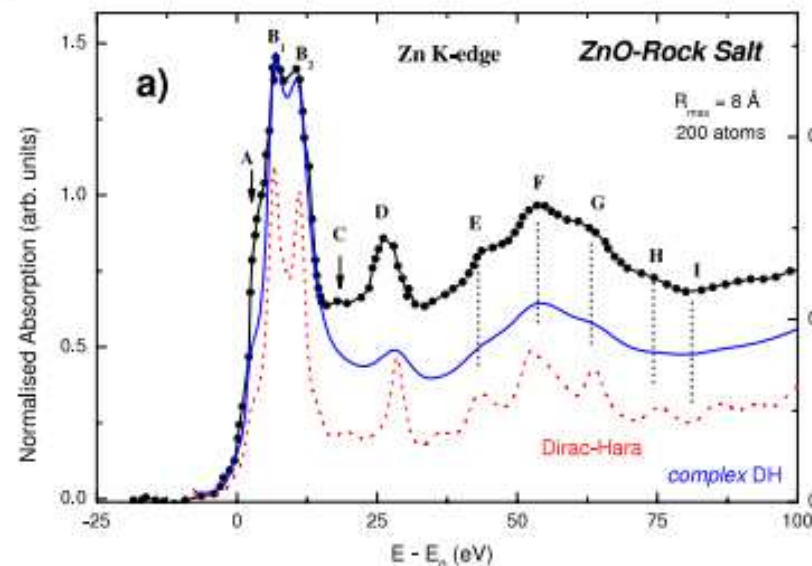
The matrix inversion allows
obtaining all the structural
and electronic information
about the atomic cluster

$$T_a GT_a + \dots$$

a. Models for the atomic potentials (Exchange and correlation)



J. Phys.: Condens. Matter 23 (2011) 206006



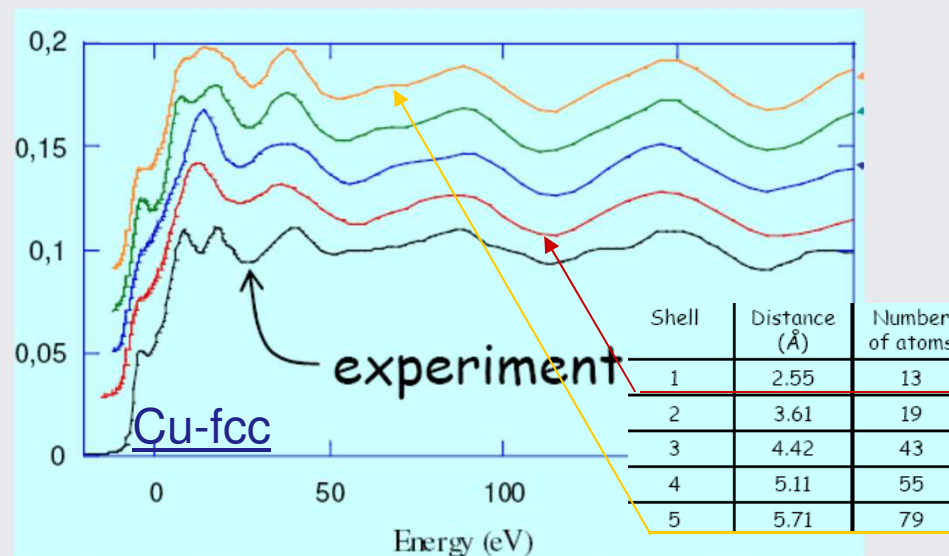
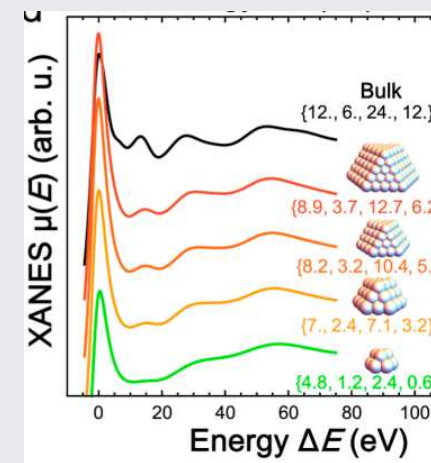
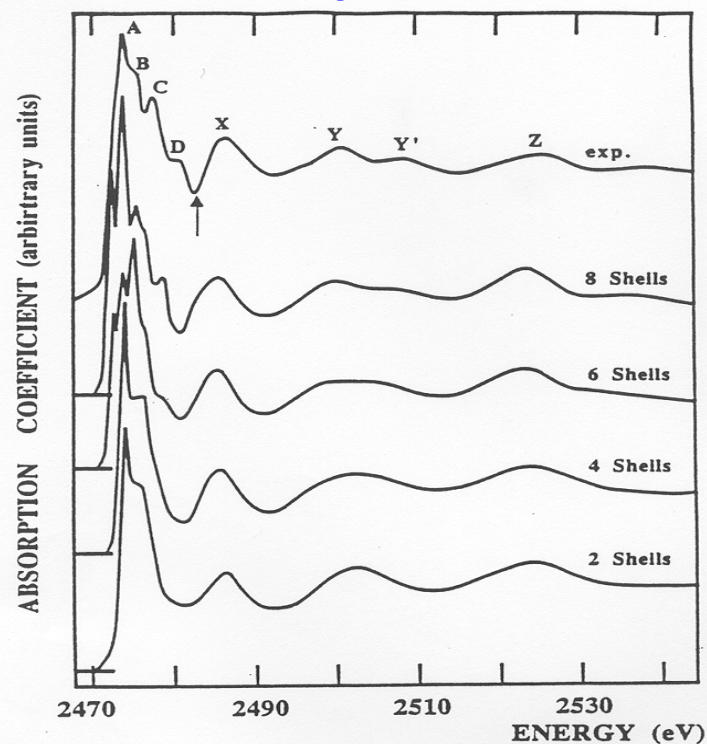
C Guglieri^{1,2}, E Céspedes³, C Prieto³ and J Chaboy^{1,2}

b. Calculate the Full Multiple Scattering: $(I-TG)^{-1}$

Size of the Atomic clusters

- Memory requirements: $\sim N^2$
- CPU time $\sim N^3$

S K-edge in ZnS



Toward a quantitative interpretation of XANES

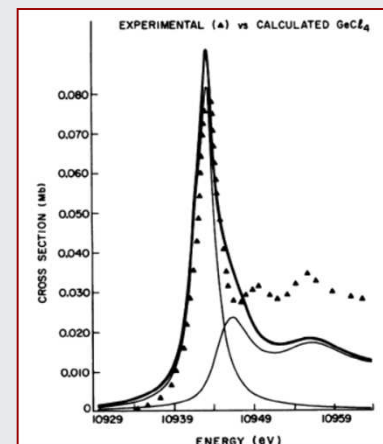
XANES development

Lee & Pendry Phys. Rev. B 11, 2795 (1975) (initial theory)

C.R. Natoli et al. Phys. Rev. A, 22, (1980) (first calculations)

First-principles calculation of x-ray absorption-edge structure in molecular clusters

C. R. Natoli, D. K. Misemer, S. Doniach, and F. W. Kutzler



Theory

Tyson, Hodgson, Natoli, Benfatto Phys. Rev. B 465997 (1992)

A. Filipponi et al. Phys. Rev. B 52, 12122 (1995)

Ankudinov et al. Phys. Rev. B 58, 7565 (1998)

J. Rehr Rev. Mod. Phys. 72, 621 (2000)

programs for XANES:

C. R. Natoli and M. Benfatto CONTINUUM, **MXAN**

freeware

J. Rehr, Ankudinov FEFFx (x=6,**8,9**)

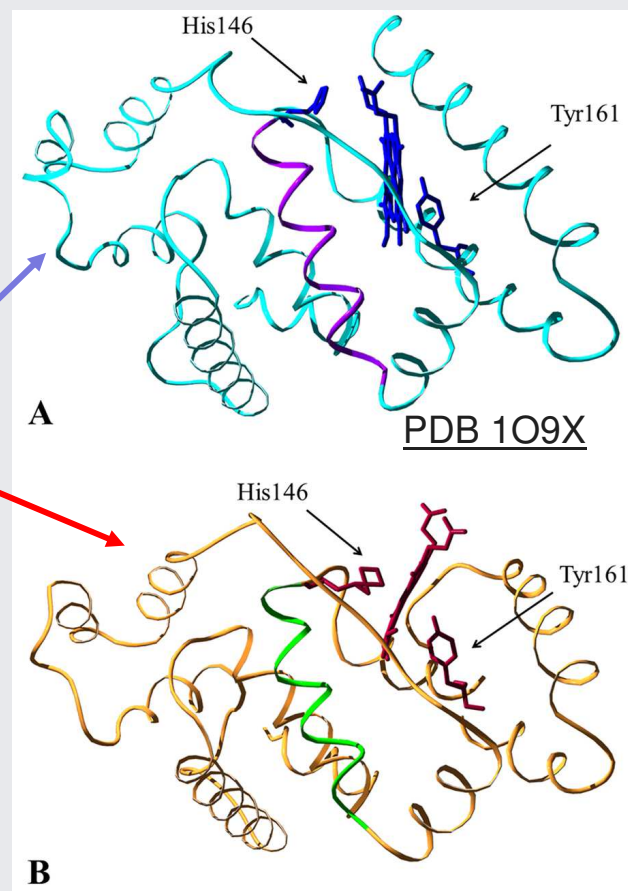
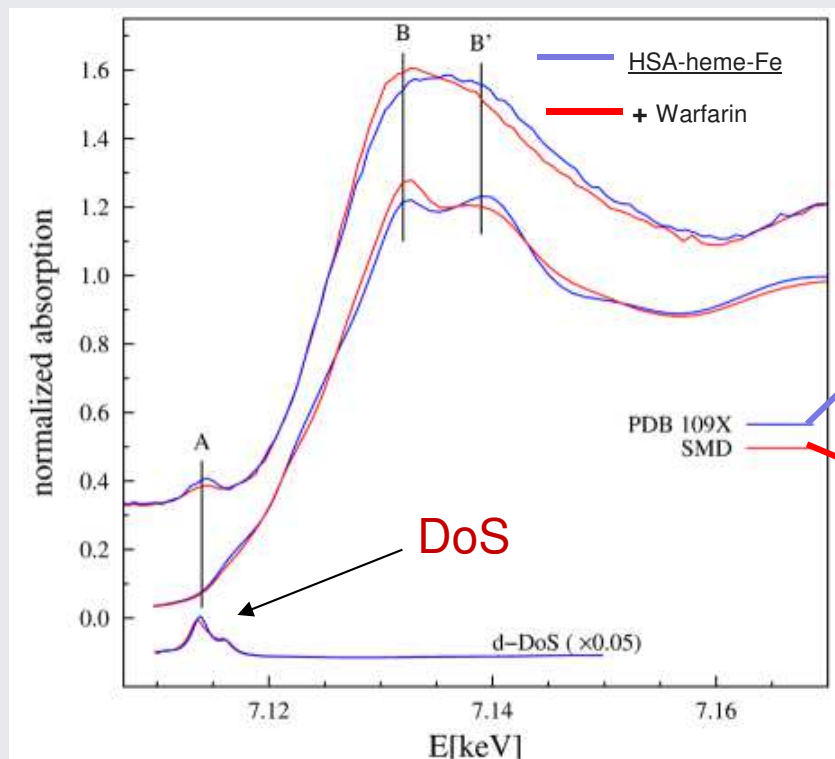
freeware

Licence

Y. Joly FDMNES

freeware

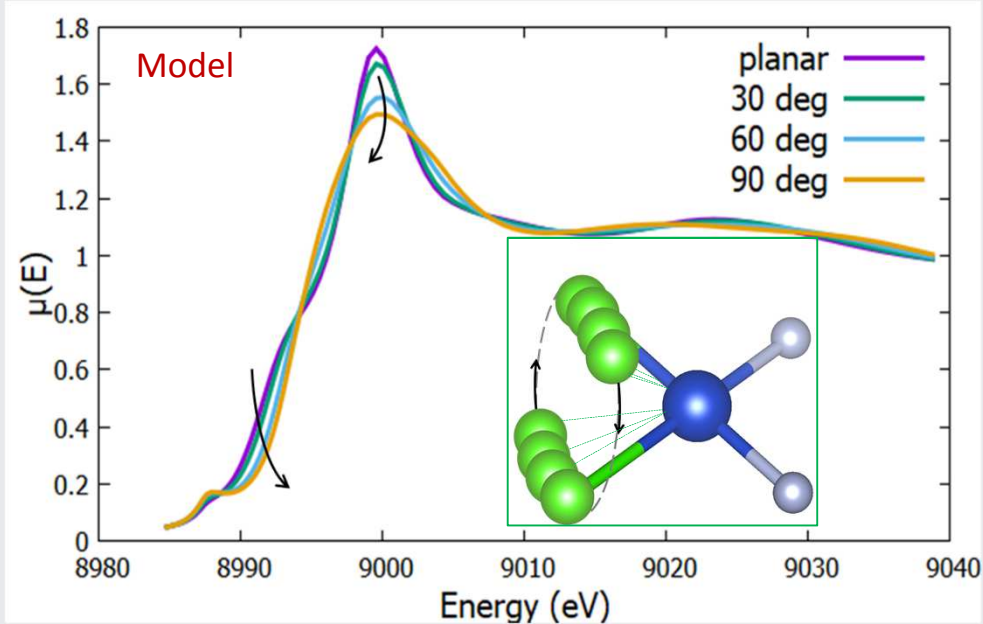
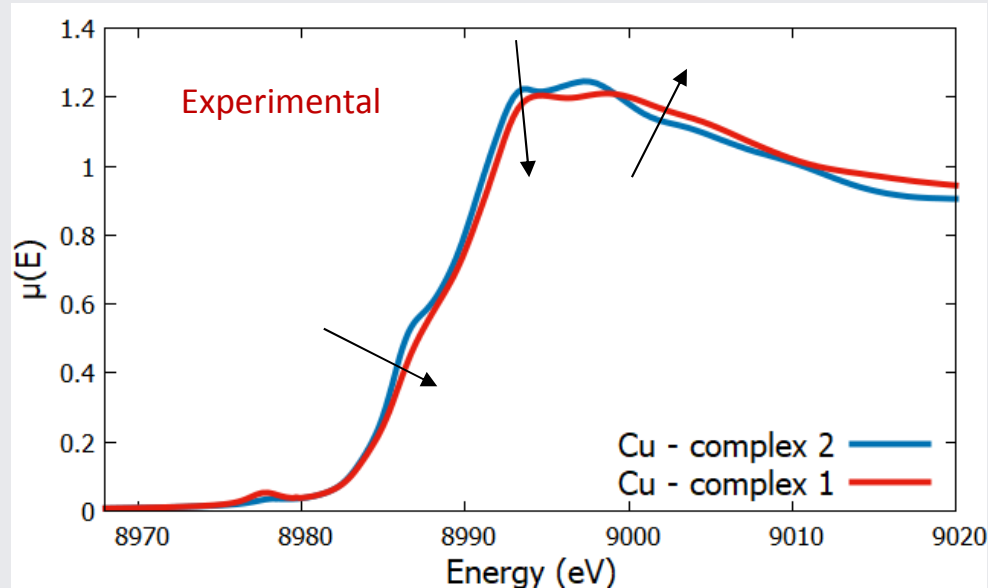
Ibuprofen/warfarin induce 5 to 6 Fe coordination transition in HSA



FMS: Atomic clusters of 4.5 Å
V= H.L.

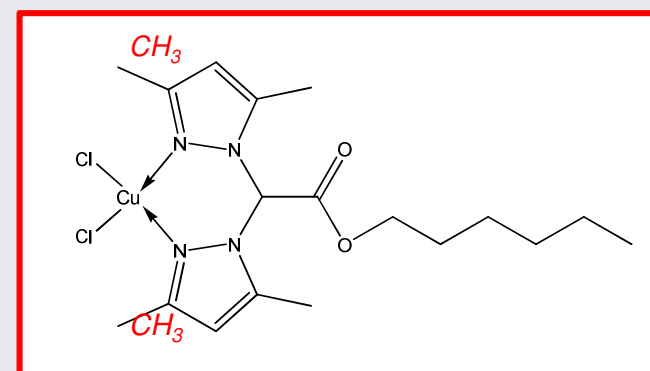
HSA-heme-Fe(III) obtained via MD

FEFF 8



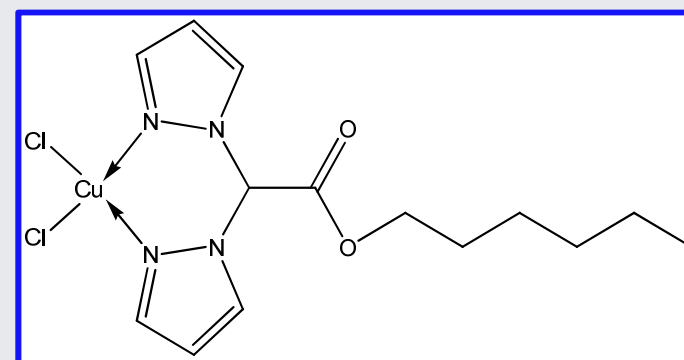
Cu-complex for cancer therapy

Cu-complex 1



Hexyl bis(3,5-dimethyl-1H-pyrazol-1-yl)acetate

Cu-complex 2



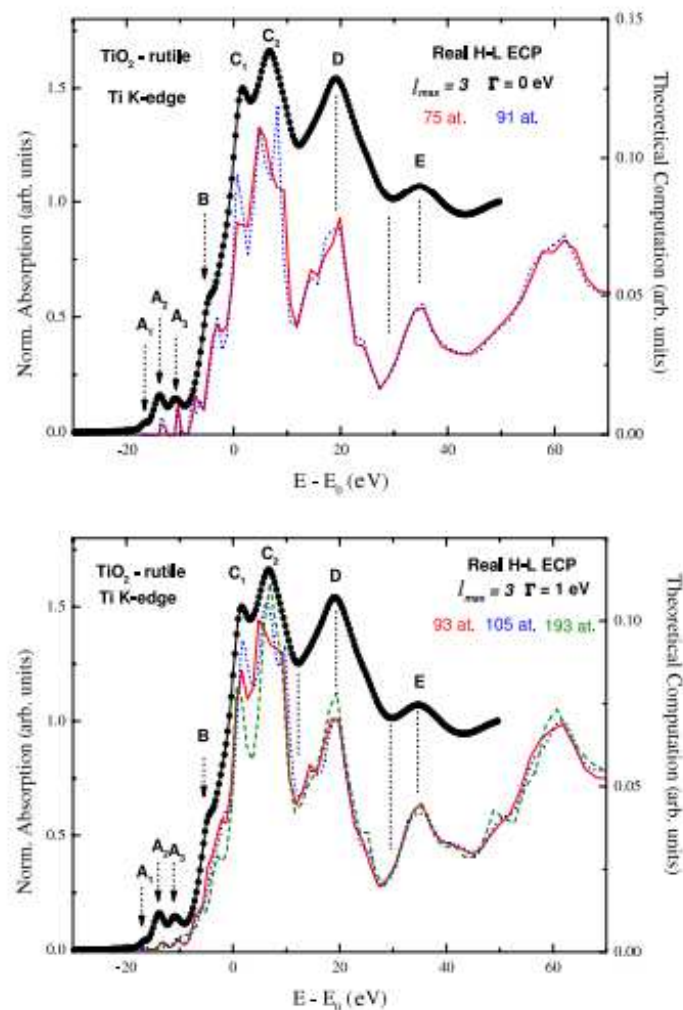
Hexyl bis(3,5-1H-pyrazol-1-yl)acetate

I. Schiestaro et al., in progress

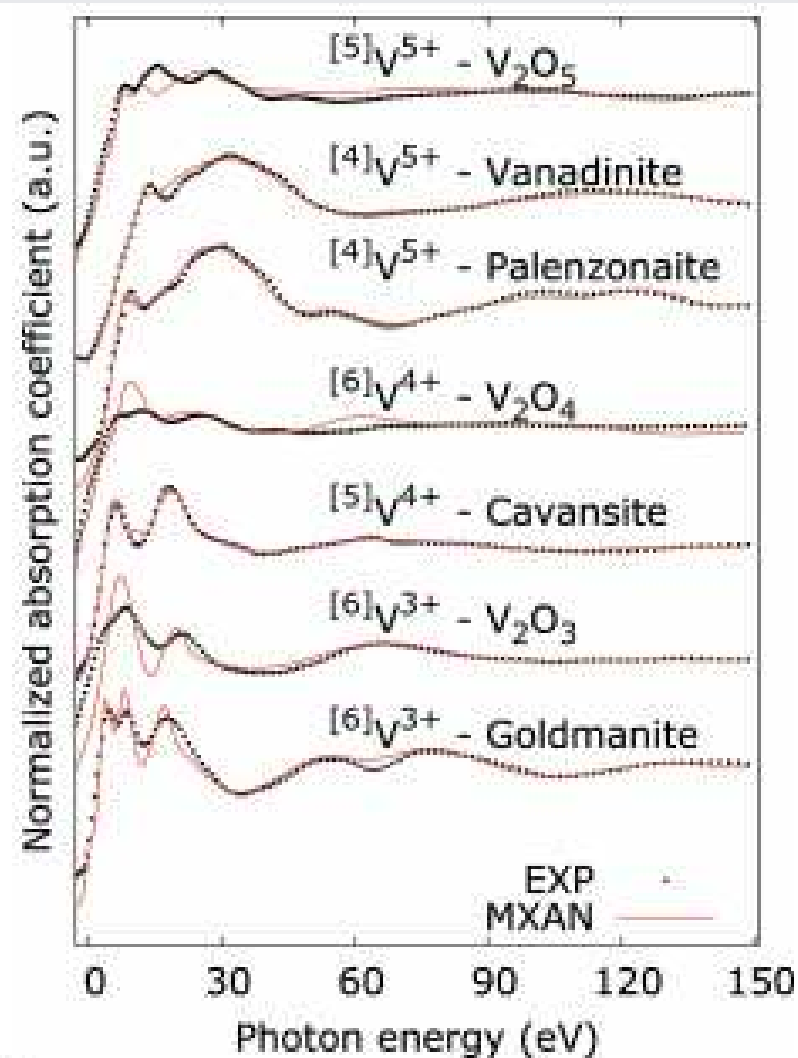
CONTINUUM

CONTINUUM+MXAN

J. Phys.: Condens. Matter **19** (2007) 266206



J Chaboy¹, N Nakajima² and Y Tezuka³



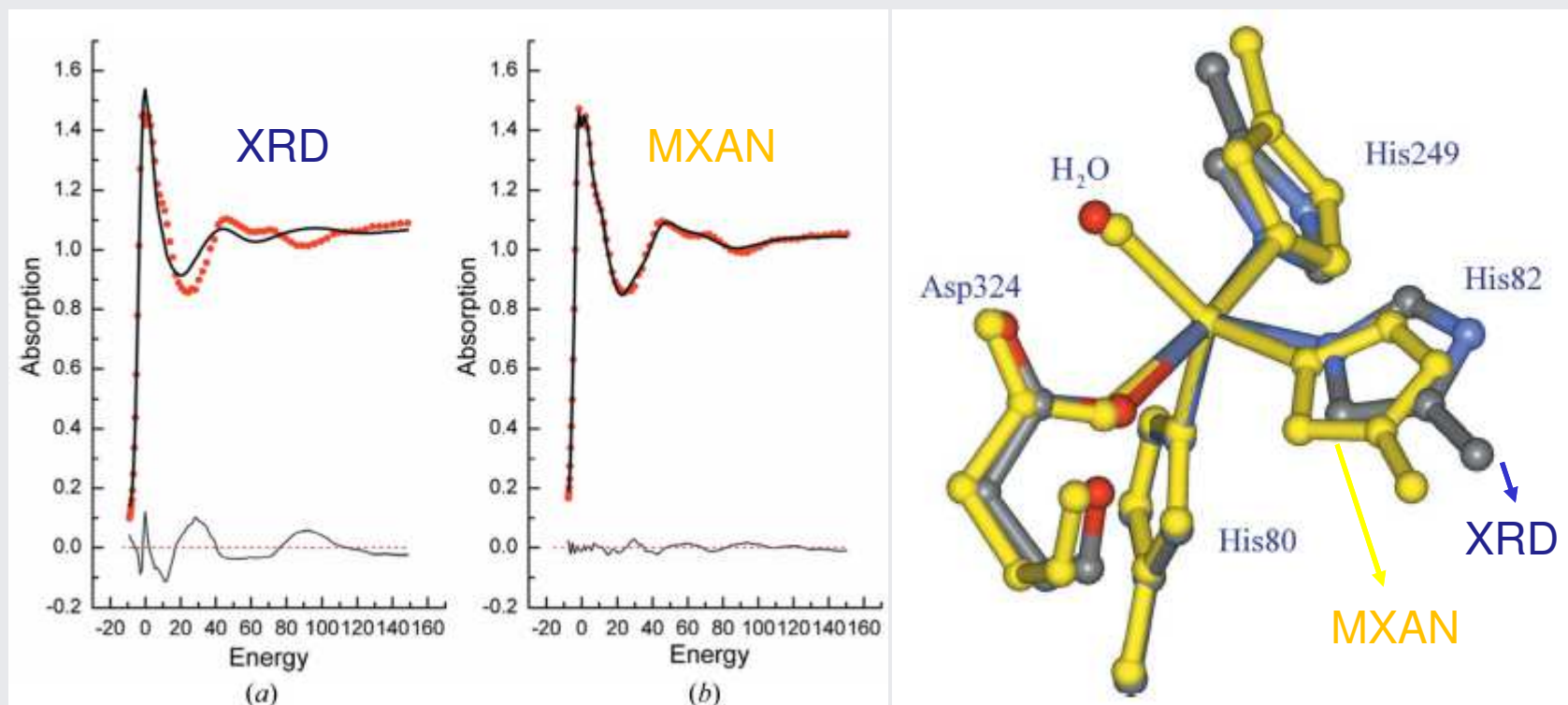
Vanadium K-edge XANES in vanadium-bearing model compounds: a full multiple scattering study

Federico Benzi[✉], Gabriele Giuli, Stefano Della Longa, Eleonora Paris

First published: 15 June 2016 | <https://doi.org/10.1107/S1600577516008134>

CONTINUUM+MXAN

imidazolonepropionase from *Bacillus subtilis* at the zinc site

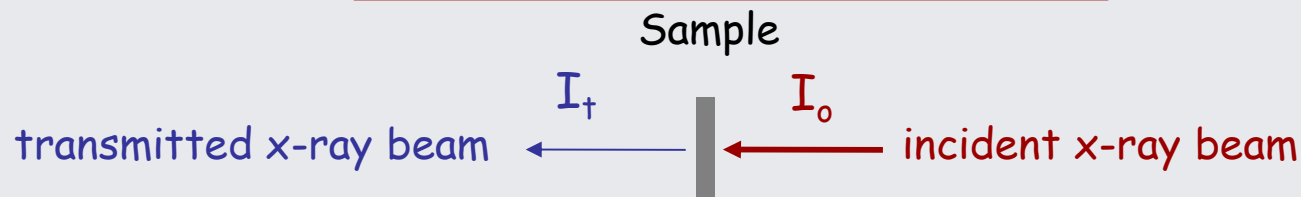


J. Synchrotron Rad. (2008). **15**, 129–133

Feifei Yang, Wangsheng Chu, Meijuan Yu, Yu Wang, Sixuan Ma, Yuhui Dong and Ziyu Wu*

XA(NE)S data collection is *conceptually* simple

Transmission geometry



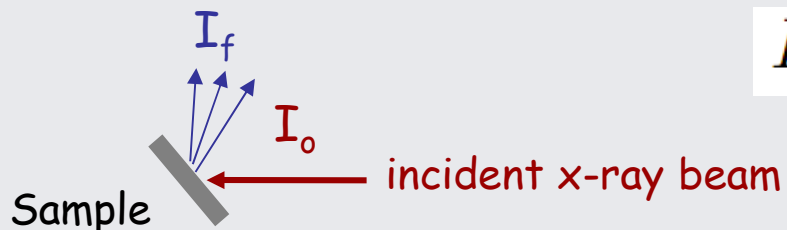
$$I_t = I_o e^{-\mu \cdot x}$$

μ = Linear absorption coefficient

$$\mu x = \ln \frac{I_o}{I_t}$$

Fluorescence geometry

fluorescence intensity



$$I_f \propto I_o - I_t = I_o(1 - e^{-\mu \cdot x})$$

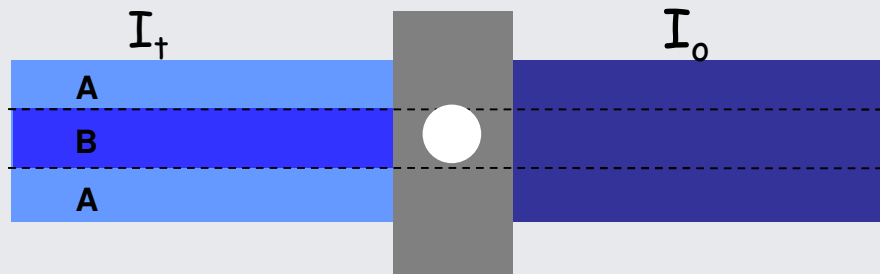
$$\mu \cdot x \ll 1$$

$$I_f \simeq I_o \mu \cdot x$$

$$\mu x \simeq \frac{I_f}{I_o}$$

... but: warning to the data collection

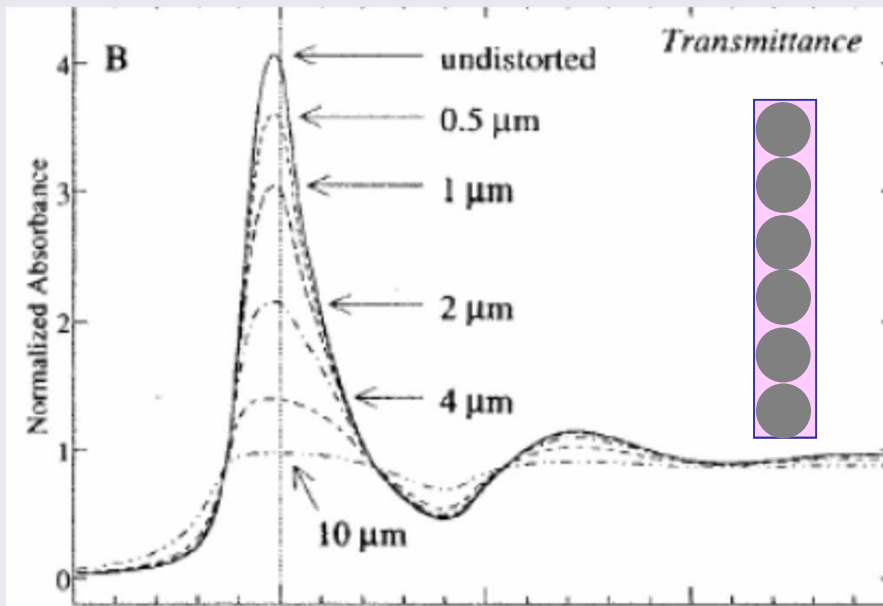
Transmission geometry: inhomogeneous samples (i.e. holes) may determine severe distortions of the XA(NE)S spectra



$$I_t = I_0(y_A \cdot e^{-\mu_A x} + y_B \cdot e^{-\mu_B x})$$

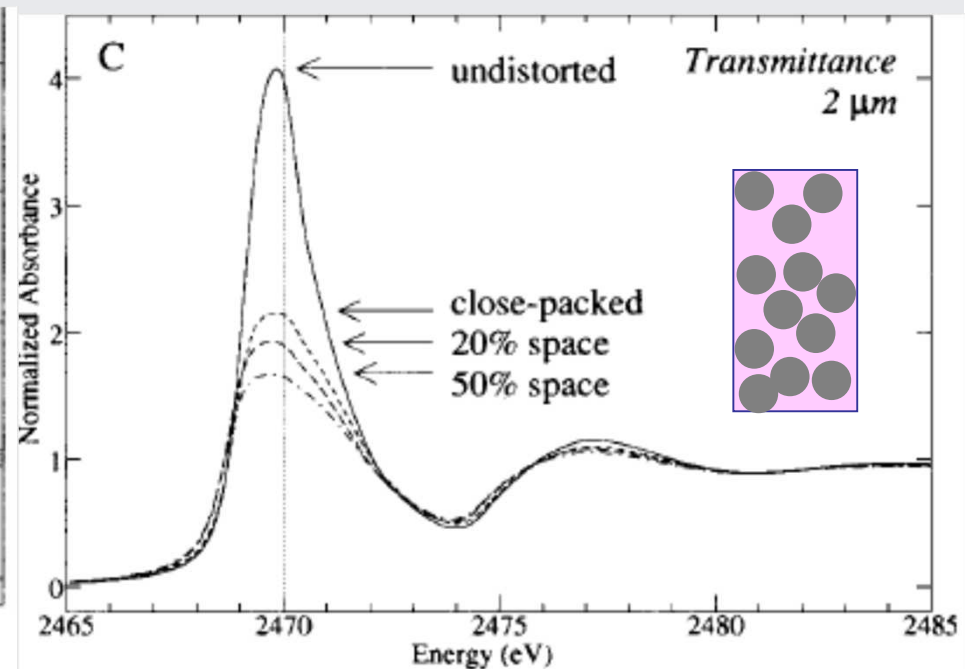
$$\ln \frac{I_0}{I_t} = \ln(y_A \cdot e^{-\mu_A x} + y_B \cdot e^{-\mu_B x})$$

S- K edge calculated for a layer of spheres



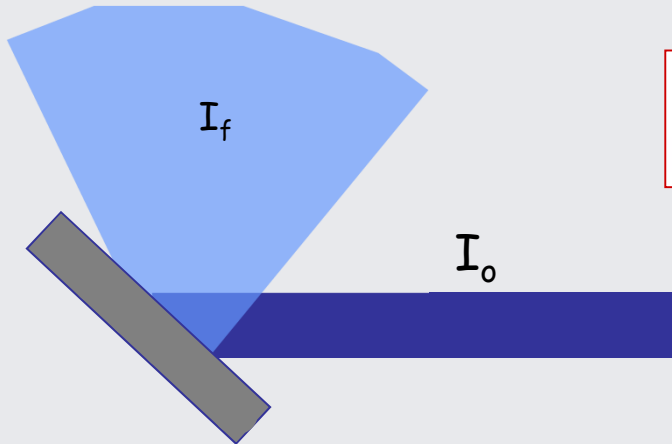
Pickering et al. Biochem 40 92001) 8138

S- K edge calculated for an ensemble of spheres



... but: warning to the data collection

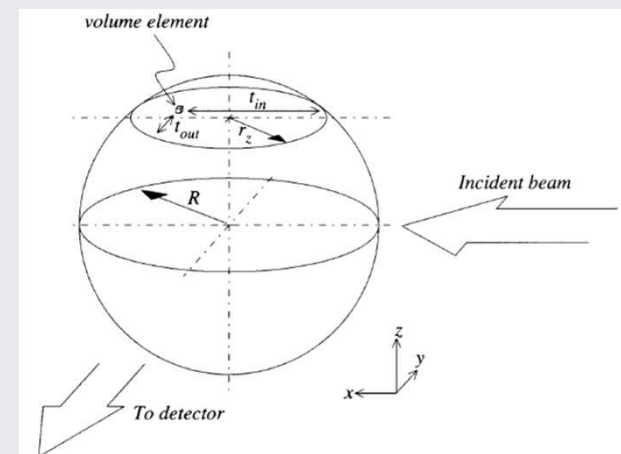
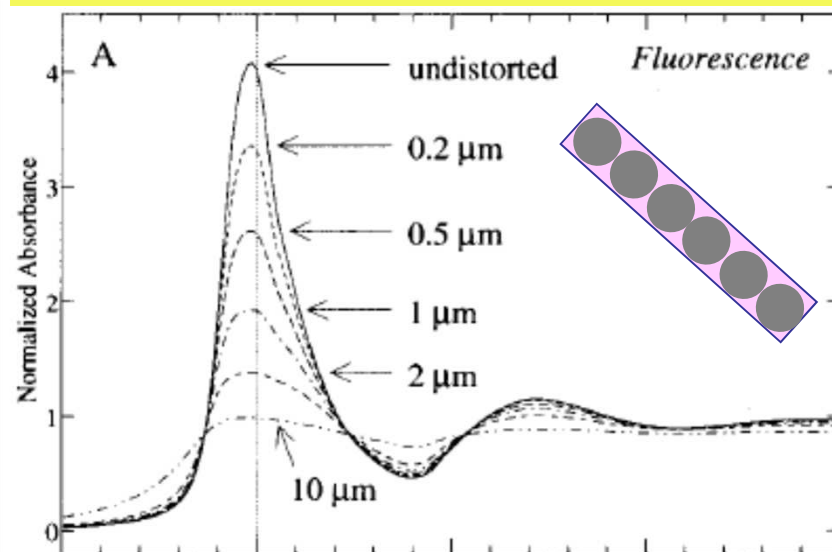
Fluorescence geometry: re-absorption and detector linearity may determine severe distortions of the XA(NE)S spectra



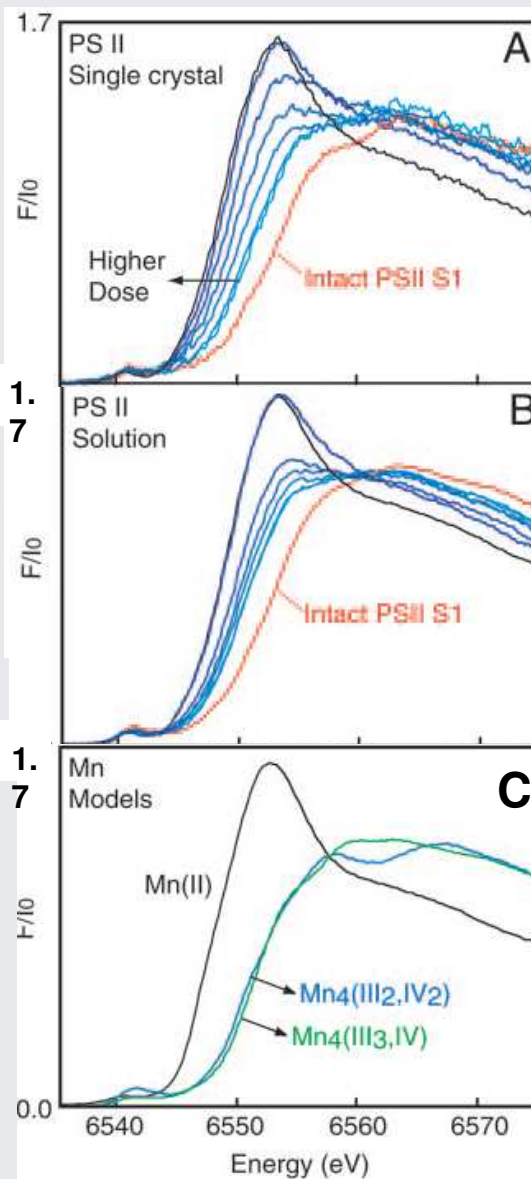
$$I_f \propto I_o - I_t = I_o(1 - e^{-\mu x}) \simeq I_o \mu x$$

$$\mu x \ll 1$$

effect of particle size (spheres) on I_f



photoreduction on
 Mn_4Ca PS(II) complex



Proc. Natl. Acad. Sci. USA 102, 12047 (2005)

Warning to the data collection

Radiation Damage (RD) & photoreduction

RD is a function of exposure time/dose, provoke shift of the edge and deformation of XAFS features.

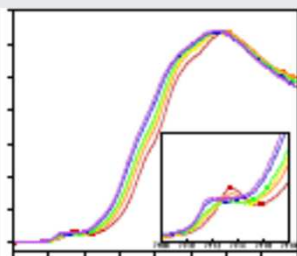
RD can be attenuated by:

- reducing the x-ray intensity
- reducing the exposure time
- collecting data at low sample temperature

RD can be very relevant in biological samples like metalloproteins.

Details about radiation damage and photoreduction can be relevant for reliable
protein crystallography

Radiation Damage: XAS and Crystallography



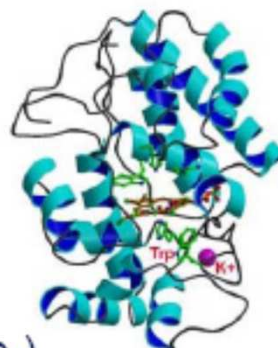
XAS

Visible Effect

- Edge shift (~ 1 eV per e-)
- Shift in EXAFS peak positions

Structural Effect

- *Can be monitored over time (repeated single scans)*
- Longer Metal-Ligand bonds and/or increased σ^2 in M-L paths
- Change in site geometry due to irradiation/chemical reaction



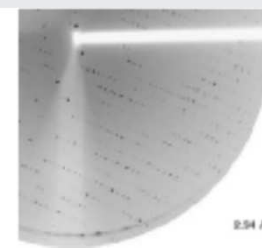
PX

Visible Effect

- Loss of diffraction intensity
- Increased unit cell volume

Structural Effect

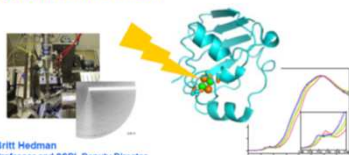
- *Not monitored over time (one cumulative data set)*
- Breakage of selected bonds
 - High DW-factors
- Loss of or unexplained density



Fifth International Workshop on X-ray
Damage to Biological Crystalline Samples
Paul Scherrer Institut, Villigen, March 3-5, 2008



Photoreduction of Metalloprotein Active Sites by
Synchrotron Radiation



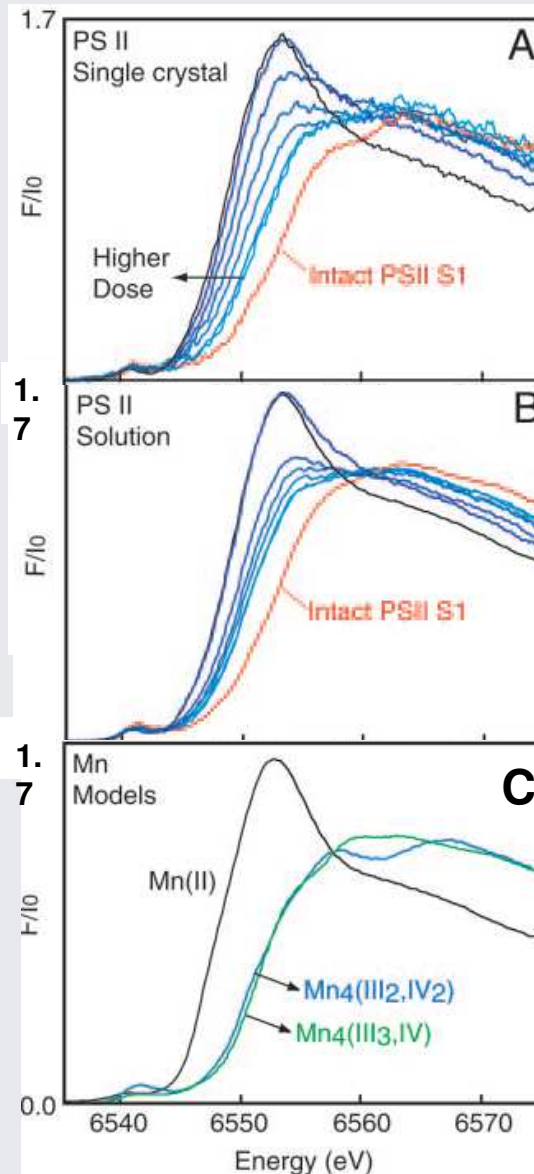
Britt Hedman
Professor and SSRL Deputy Director

The SSRL program is funded by DOE-BER, NIH-NCRR, NIH-NIGMS, PRT and Collaborative Partners

XAFS can be used to monitor (in situ)
photoreduction of metals

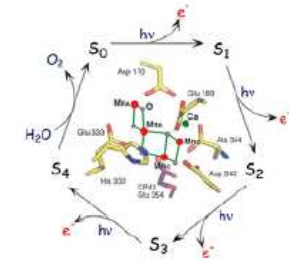
X-ray damage to the Mn₄Ca complex in single crystals of photosystem II: A case study for metalloprotein crystallography

Junko Yano^{***}, Jan Kern¹⁵, Klaus-Dieter Irrgang⁹, Matthew J. Latimer⁸, Uwe Bergmann¹⁰, Pieter Glatzell¹, Yulia Pushkar^{***}, Jacek Biesiadka^{***}, Bernhard Loll^{***}, Kenneth Sauer^{***}, Johannes Messinger^{***}, Athina Zouni^{***}, and Vittal K. Yachandra^{***}



Proc. Natl. Acad. Sci. USA 102, 12047 (2005)

Photosynthesis uses light energy to **produce O₂ and fix CO₂**. This process generates an aerobic atmosphere and produces a readily usable carbon source.



Photosystem II (PSII) reaction catalyzes the photoinduced oxidation of water so it plays an essential role in maintaining the biosphere

It is of considerable importance to elucidate its catalytic mechanisms, particularly those involved in the water oxidation process.

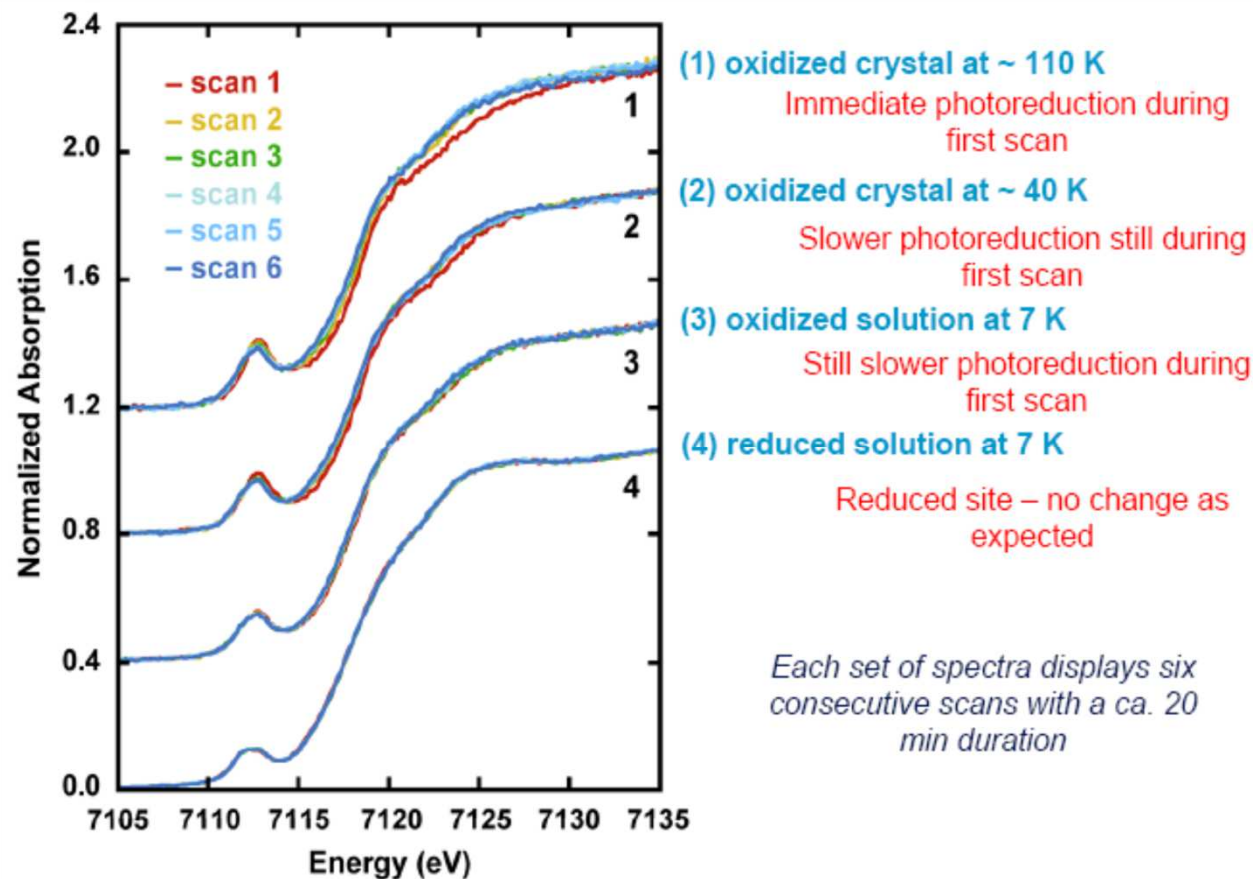
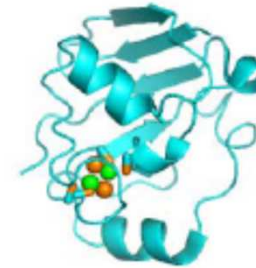
...at present, there are **serious discrepancies** among the models of the structure of the Mn₄Ca complex in the published **x-ray crystallography** studies, and there are inconsistencies with **x-ray, EPR, and FTIR** (...). **This disagreement is predominantly a function of x-ray-induced damage to the catalytic metal site** (...) Therefore, the reported **model of the Mn₄Ca complex** at atomic detail **cannot be based on the diffraction data only** (...).

Proc. Natl. Acad. Sci. USA 102, 12047 (2005)

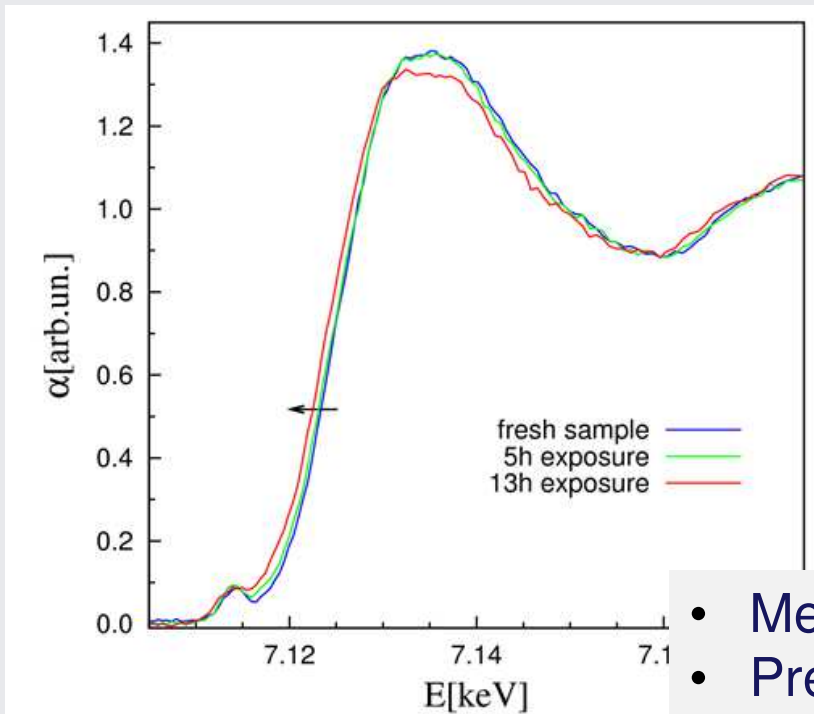
photo-reduction of Mn ions

Radiation Damage and photoreduction

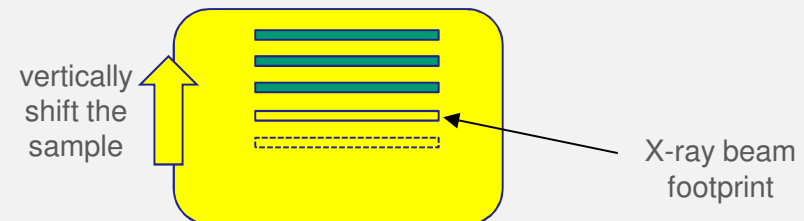
The PDB lists 40 structures for $[\text{Fe}_2\text{S}_2]\text{Cys}_4$ ferredoxins
8 are described as oxidized, 1 is described as reduced
none include spectroscopy that would verify the redox state!



How to cope with Radiation Damage



- Measure at low temperatures
- Preliminary check for the sample stability
- Acquire several fast spectra on fresh sample regions.

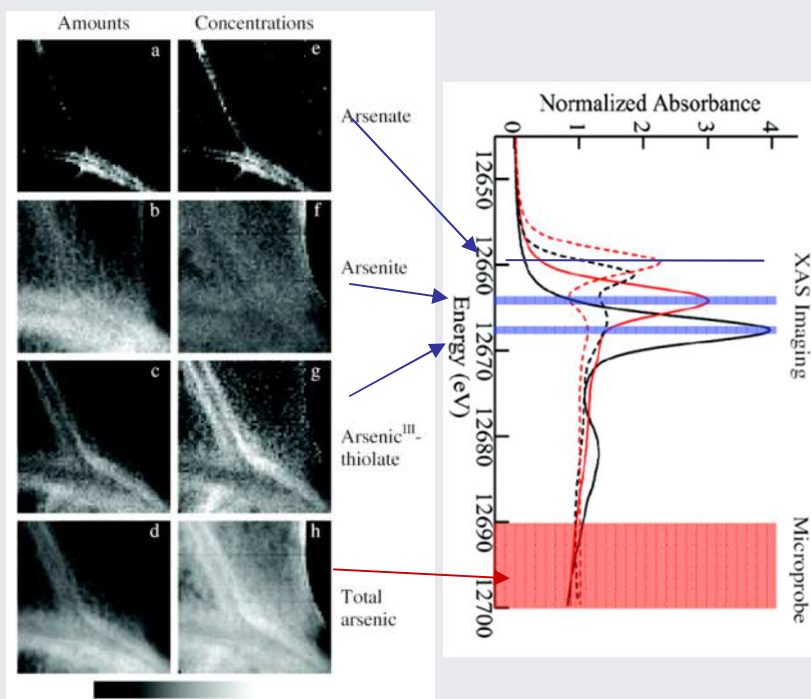


Special Applications of XANES spectroscopy: μ -XANES & mapping

XAS vs XRF

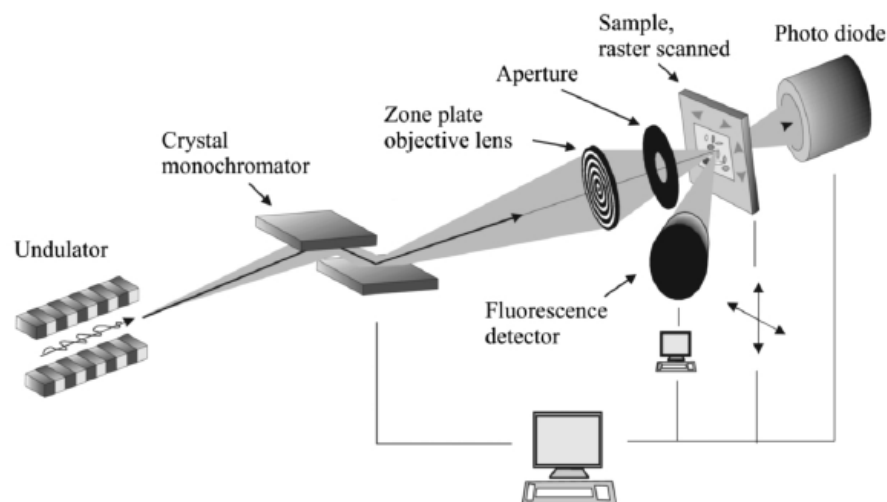
XRF= elemental sensitivity

XAS= elemental sensitivity + chemical speciation



I. J. Pickering & G. N. George Proc. XAFS13 conference (2006)

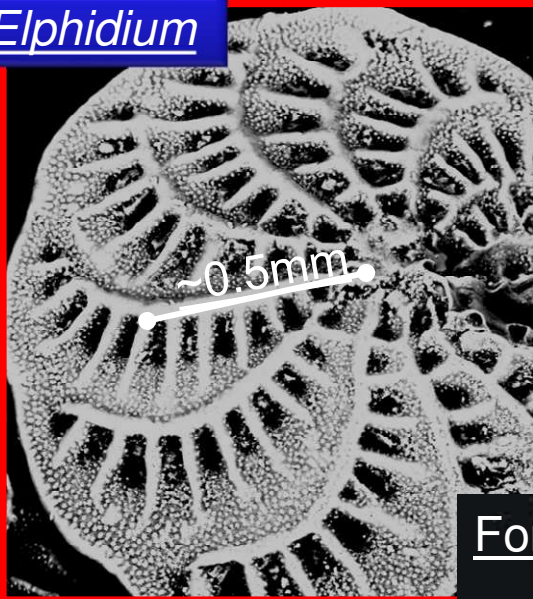
M. Bonnín-Mosbah et al. / Spectrochimica Acta Part B 57 (2002) 711–725



NOTE: X-ray lenses and zone plates work in a reduced energy window, therefore the EXAFS region is often not accessible to micro and nano probes

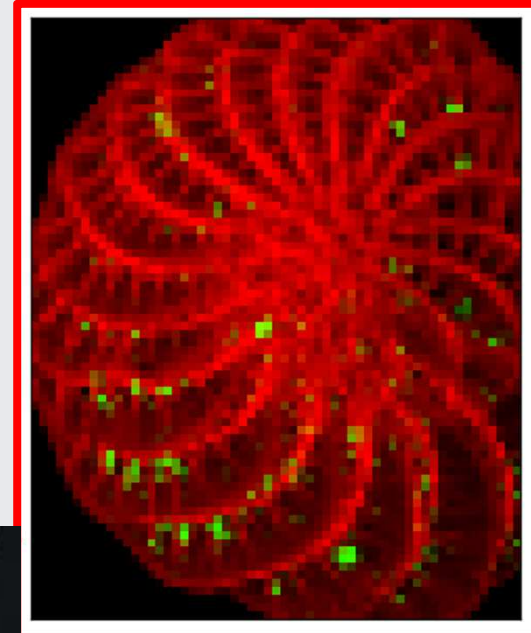
Bio- e Fito-Remediation or:
Plants and (micro-)organisms employed to regulate pollutant mobility (i.e. heavy metals) within the ecosystem and environment

Elphidium



μ -XANES mapping

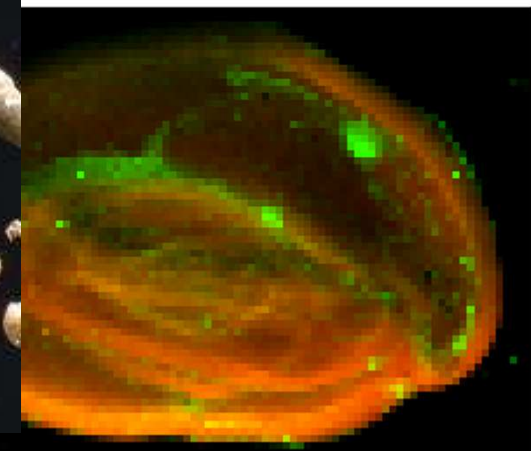
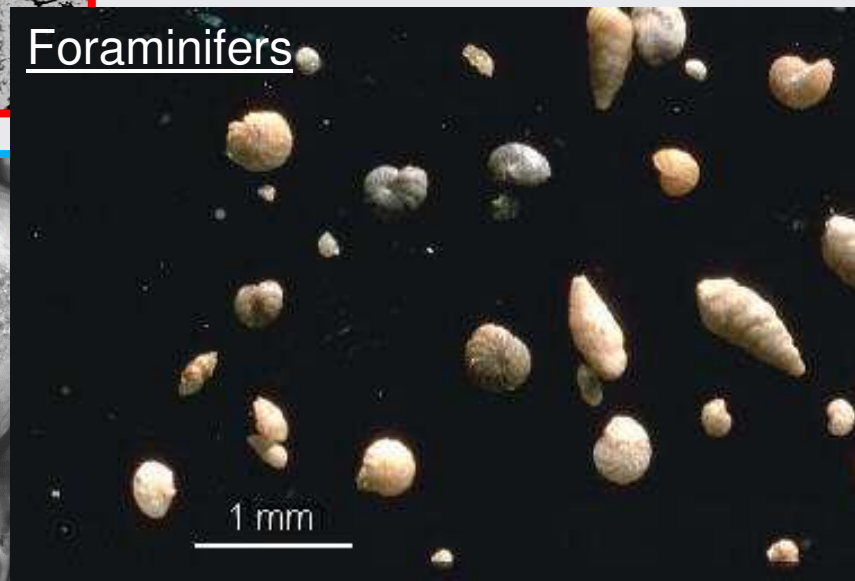
4x4 μ m spot
@ ESRF BM23



Quinquenoculina



Foraminifers

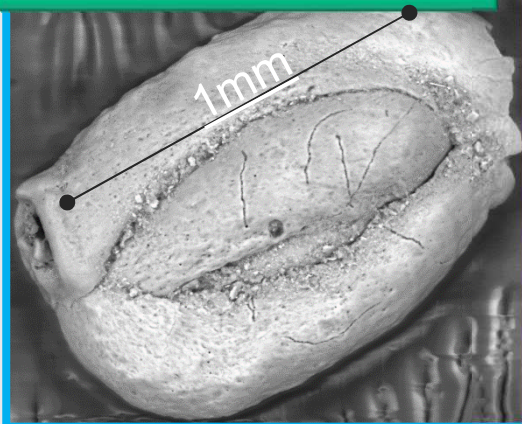


Bio- e Fito-Remediation or:
Plants and (micro-)organisms employed to regulate pollutant mobility (i.e. heavy metals) within the ecosystem and environment

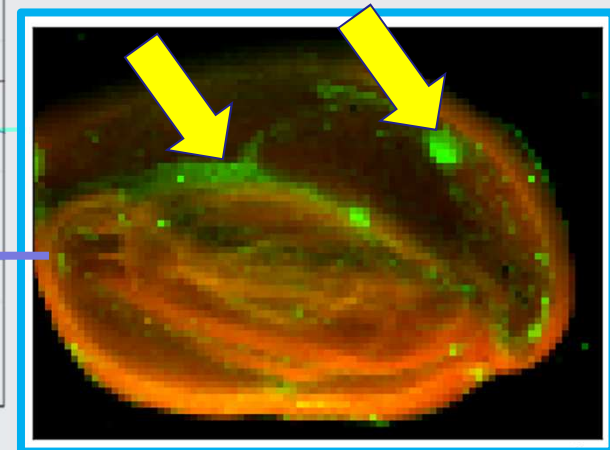
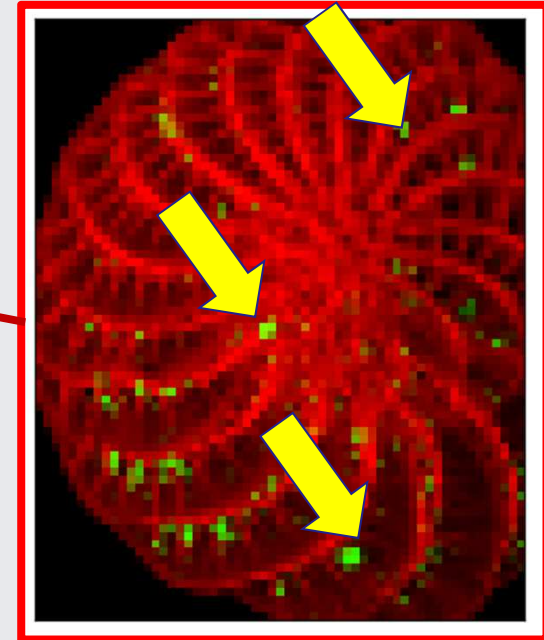
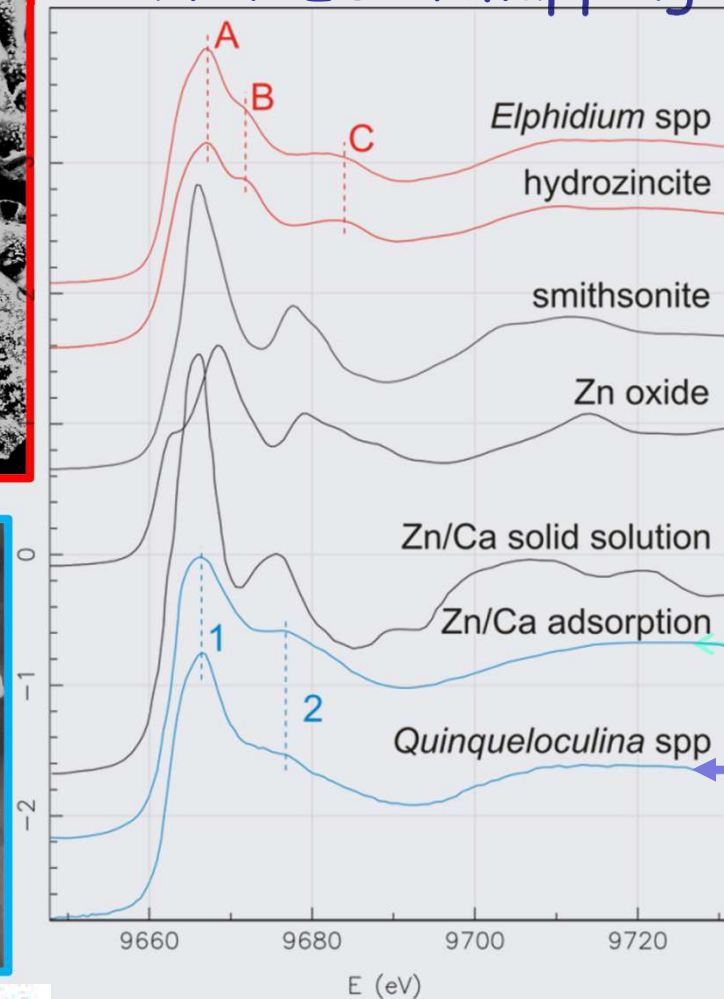
Elphidium



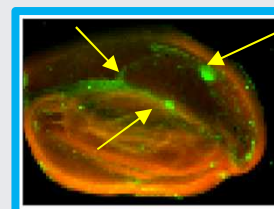
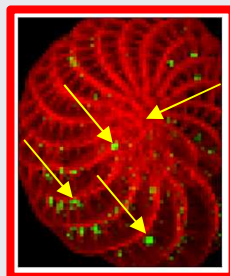
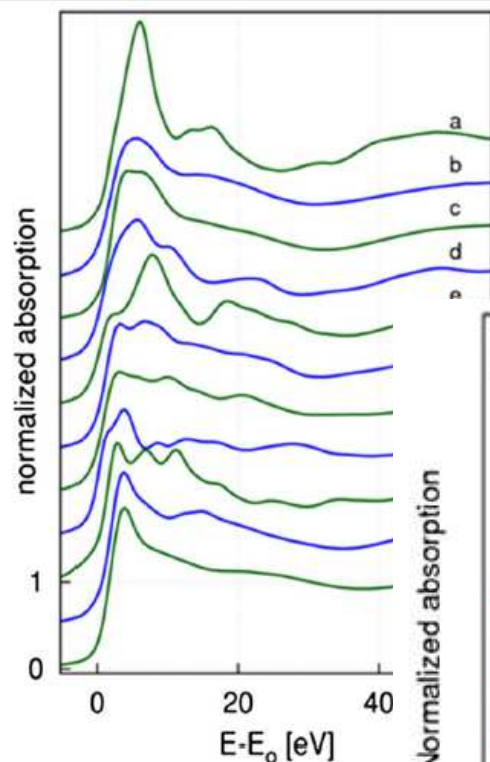
Quinquenoculina



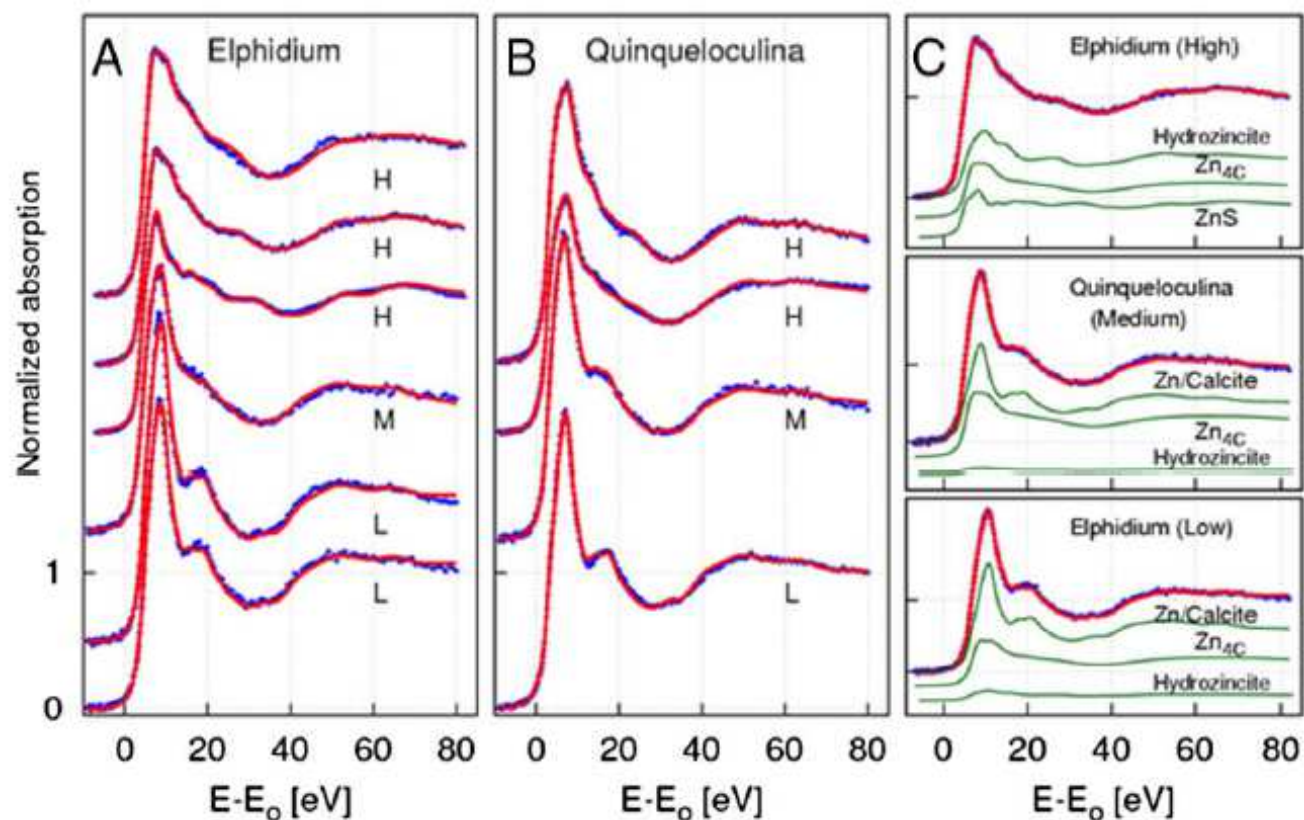
XANES & mapping



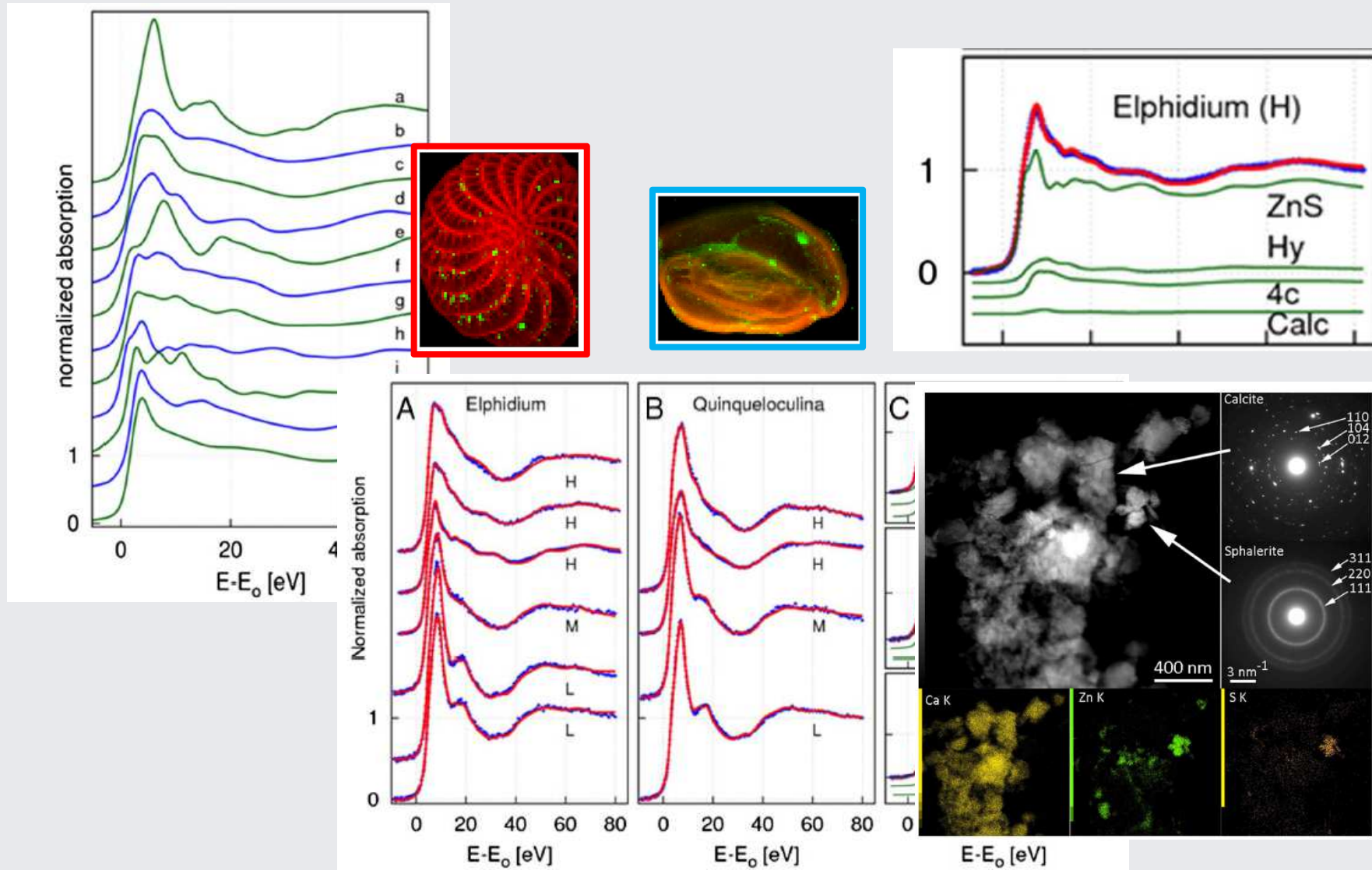
Bio- e Fito-Remediation or:
Plants and (micro-)organisms employed to regulate pollutant mobility (i.e. heavy metals) within the ecosystem and environment



μ -XANES



Bio- e Fito-Remediation or:
Plants and (micro-)organisms employed to regulate pollutant mobility (i.e. heavy metals) within the ecosystem and environment



Time resolved XA(NE)S: experimental set-up

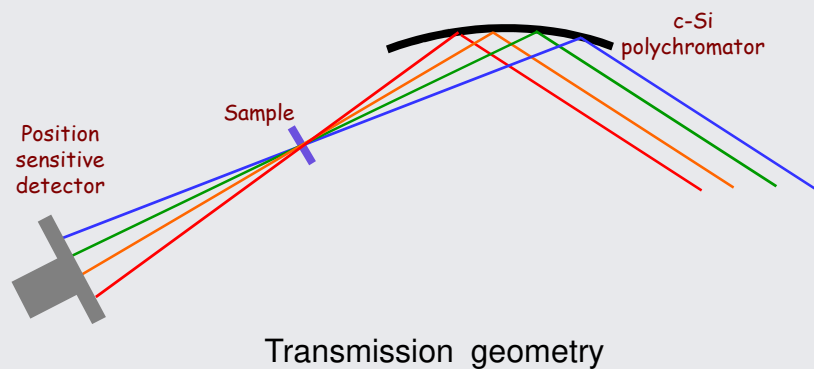
Quick XAFS

$$\Delta t = 10^{-10} - 10^{-2} \text{ s}$$

any data collection geometry

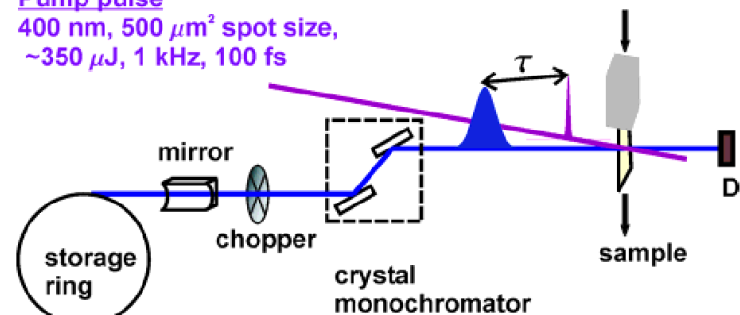
Dispersive XAFS

$$\Delta t = 10^{-3} - 1 \text{ s}$$



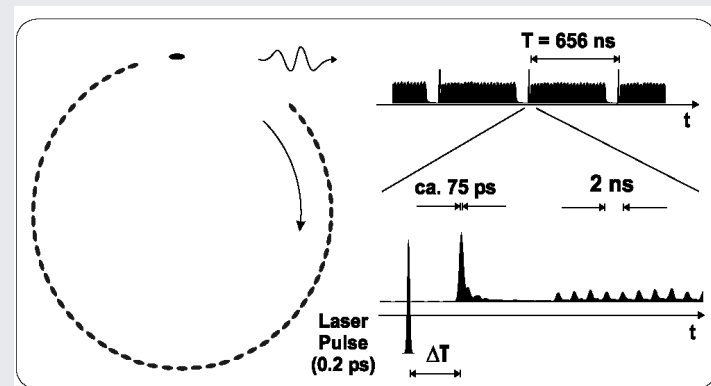
Pump probe

Pump pulse
400 nm, 500 μm^2 spot size,
~350 μJ , 1 kHz, 100 fs



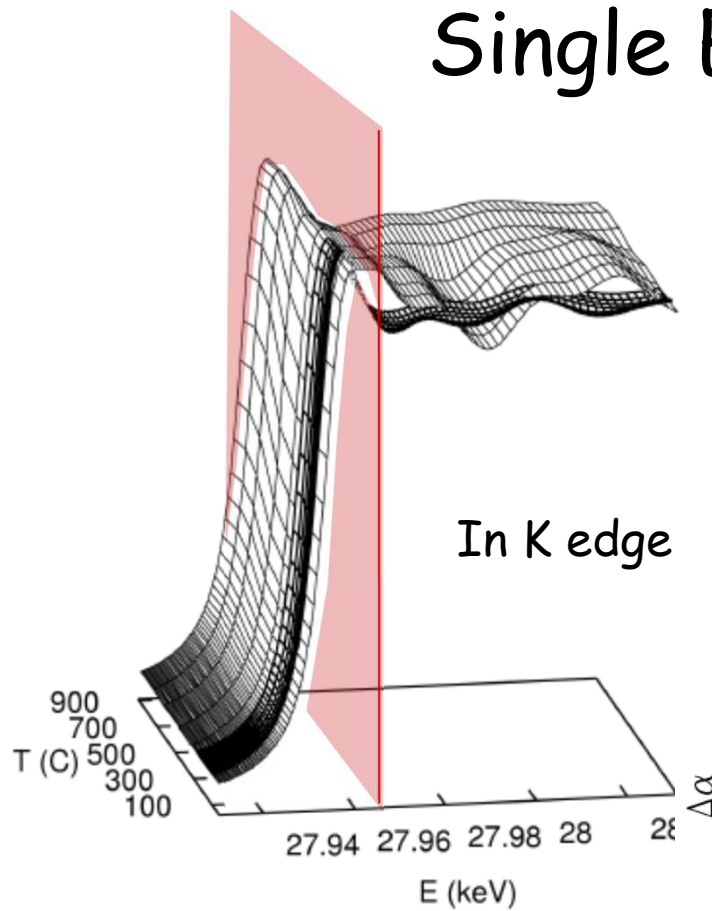
X-ray probe
7.1 keV, 300 μm^2 spot size, 70 ps
500-1000 photons/pulse/0.1% bandwidth

$$\Delta t \ll \text{ns}$$

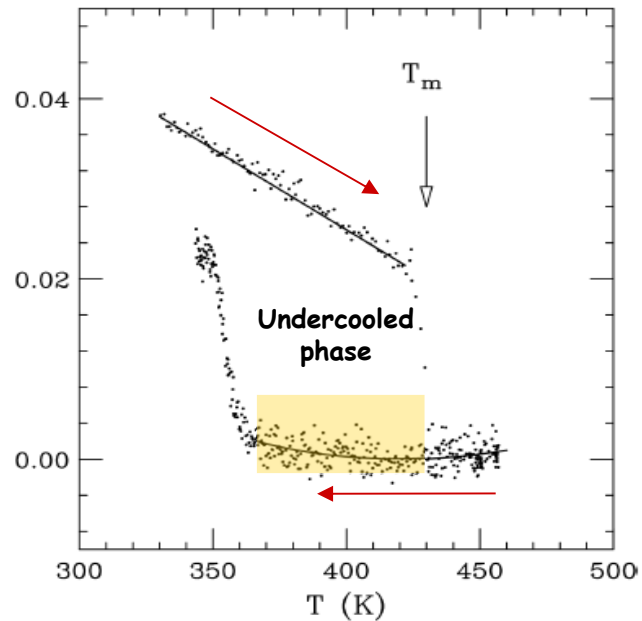


Time resolved XAS

Single Energy - XAS

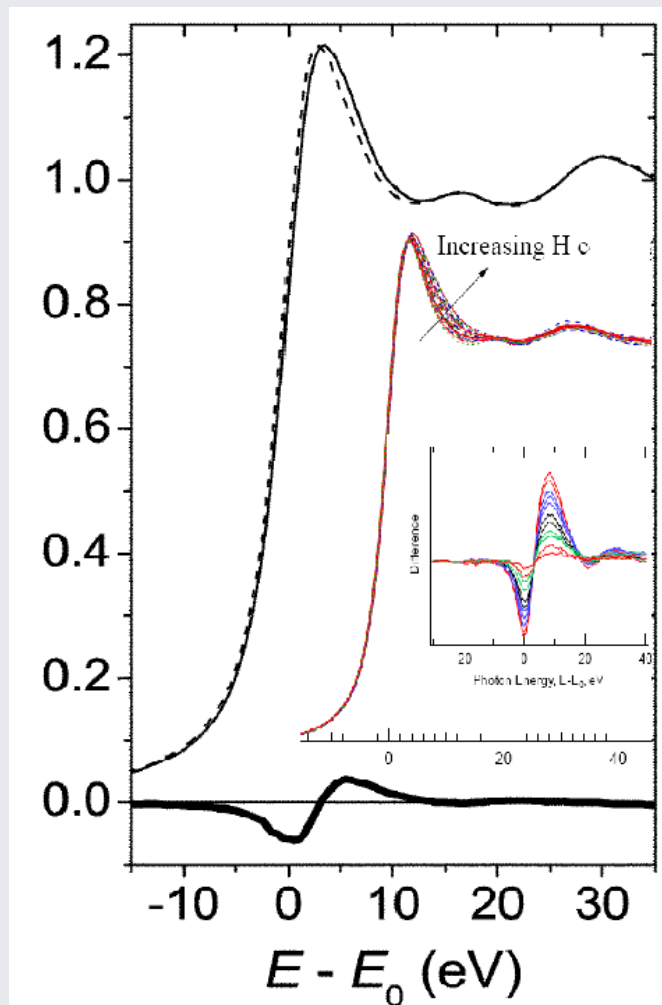


Melting of In μ -particles
and structure of
undercooled In phase



A. Filipponi et al. J. Synchr. Rad. 8, 81 (2001)

Light elements XANES

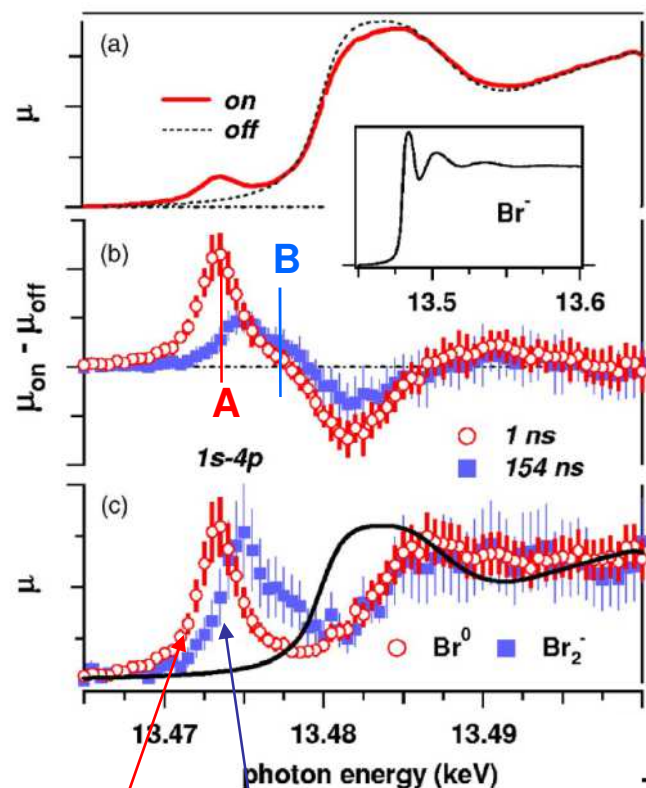


The Effect of H coverage on Pt nanoparticles:

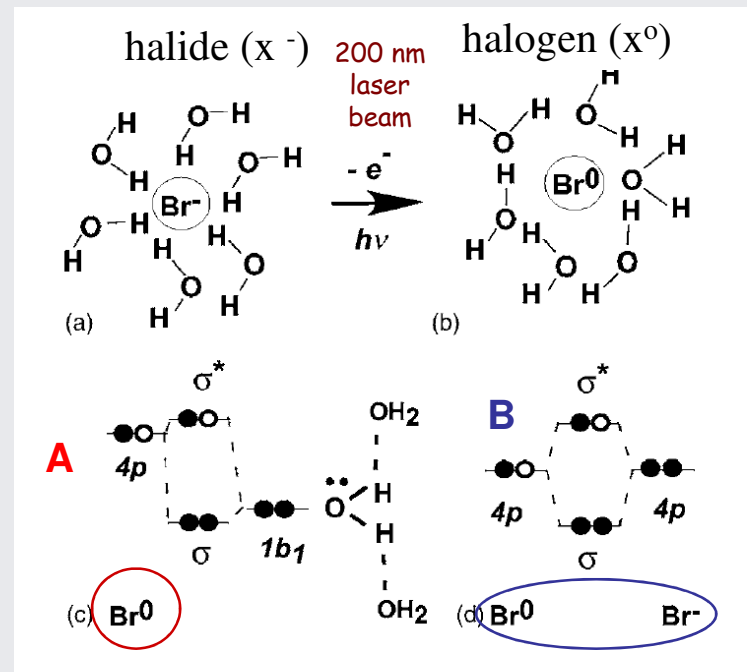
H is notably weakly visible to x-ray but becomes evident in the XANES region

At the Pt L_{III} edge the effect of H is evident and can be followed in-situ to monitor the catalytic activity of Pt clusters as a function of environmental parameters.

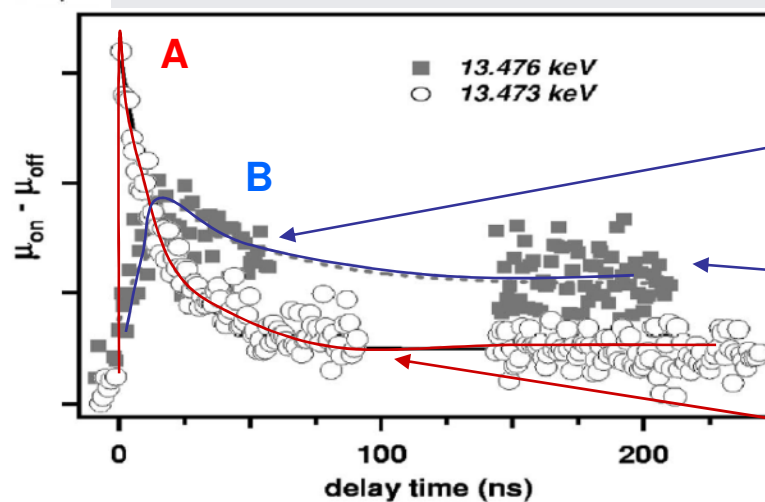
Example: transient states of photoexcited hydrated atoms $\Delta t \sim 1$ ns



Br₀ Br₂⁻



SE-XAS



production of Br₂
($\Delta t \sim 10$ ns)

Br₂ molecules survive
after $\Delta t > 150$ ns

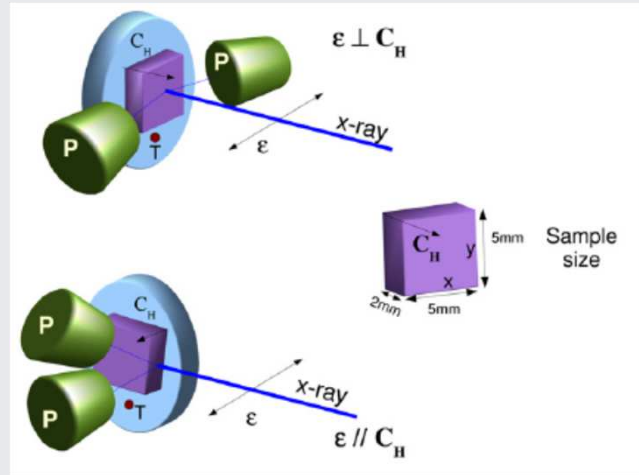
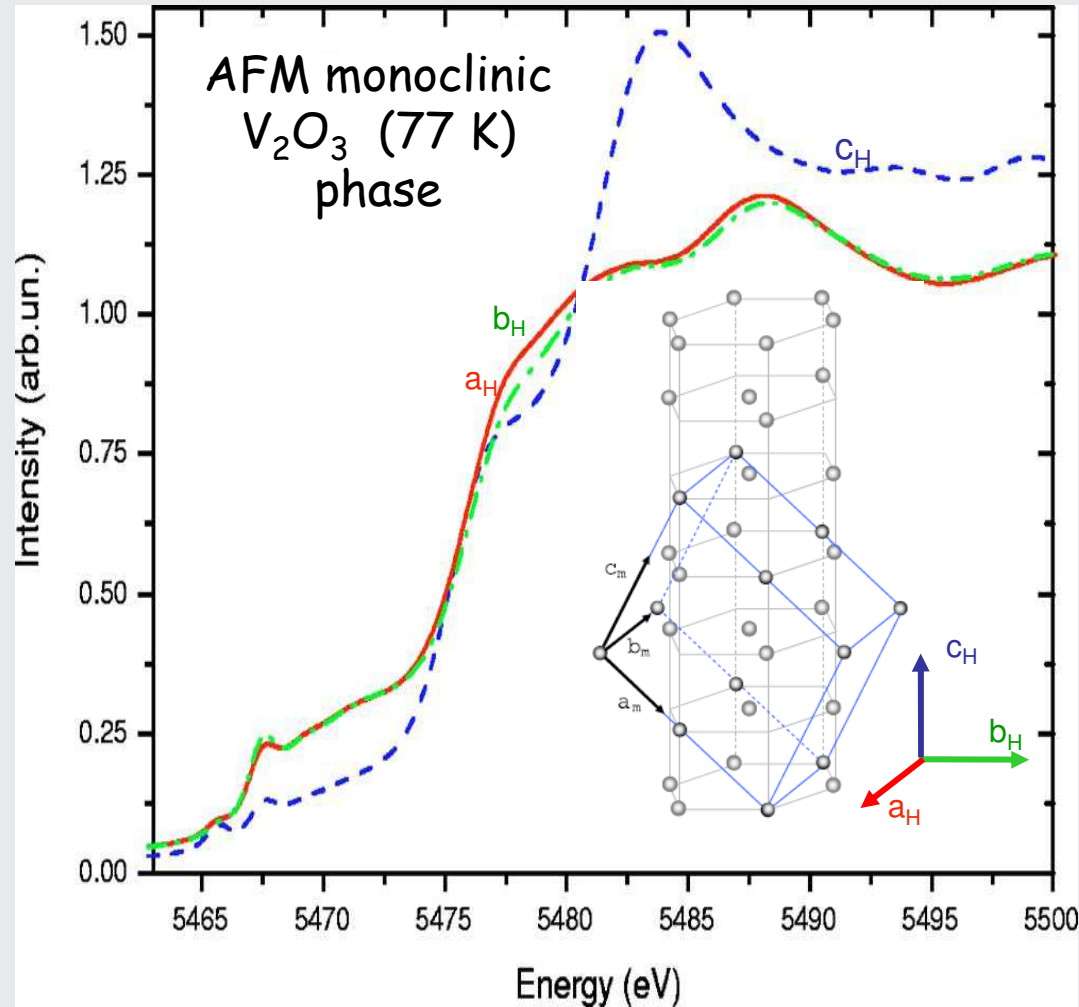
Reaction
with water
($\Delta t \sim 50$ ns)

THE JOURNAL OF CHEMICAL PHYSICS 128, 061102 (2008)

Transient x-ray absorption spectroscopy of hydrated halogen atom

Christopher G. Elles,^{a)} Ilya A. Shkrob,^{b)} and Robert A. Crowell^{c)} Dohn A. Arms and Eric C. Landahl

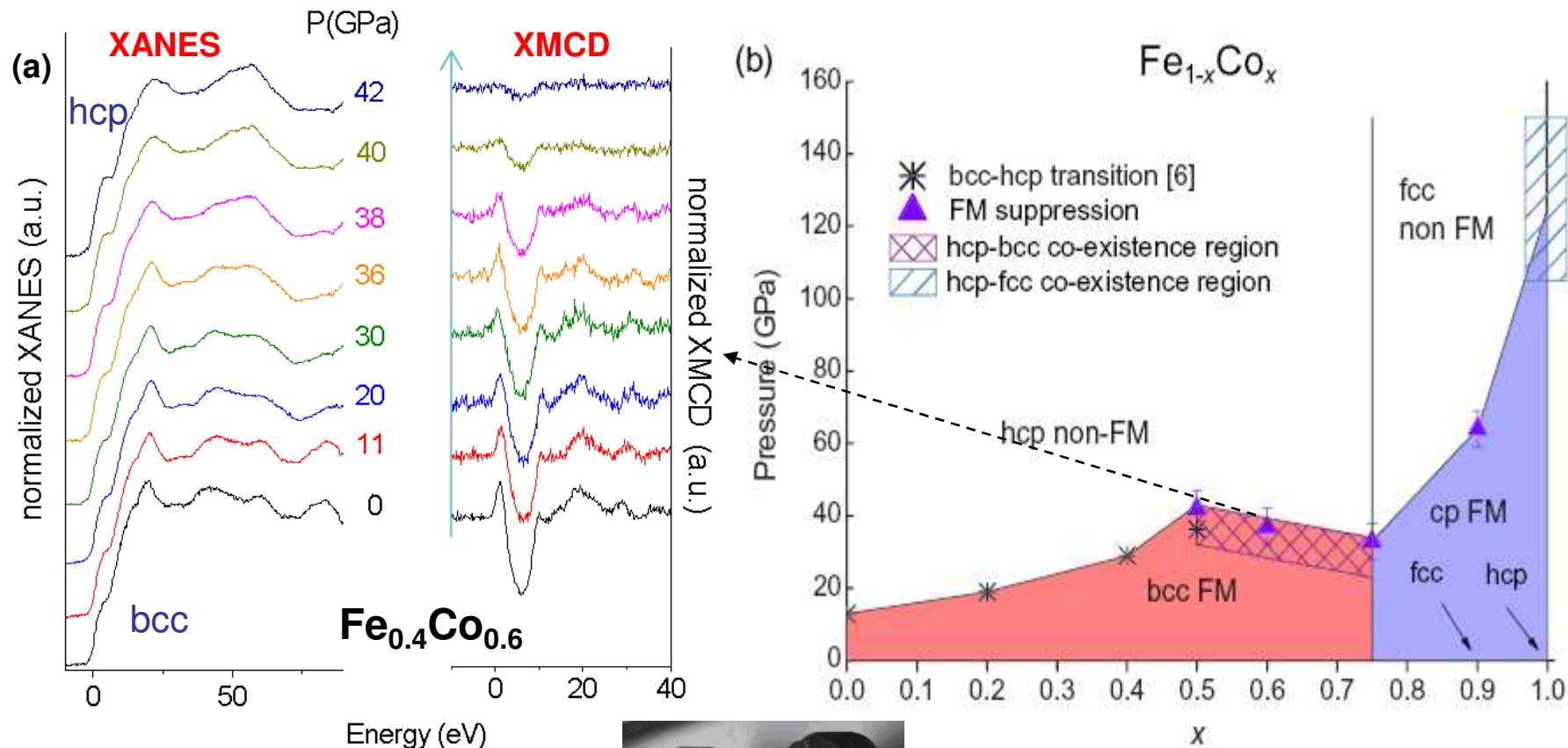
Polarized XANES: directional probe for not isotropic structures



Structural dichroism (absent in hexagonal HT phase) is the fingerprint of monoclinic distortion

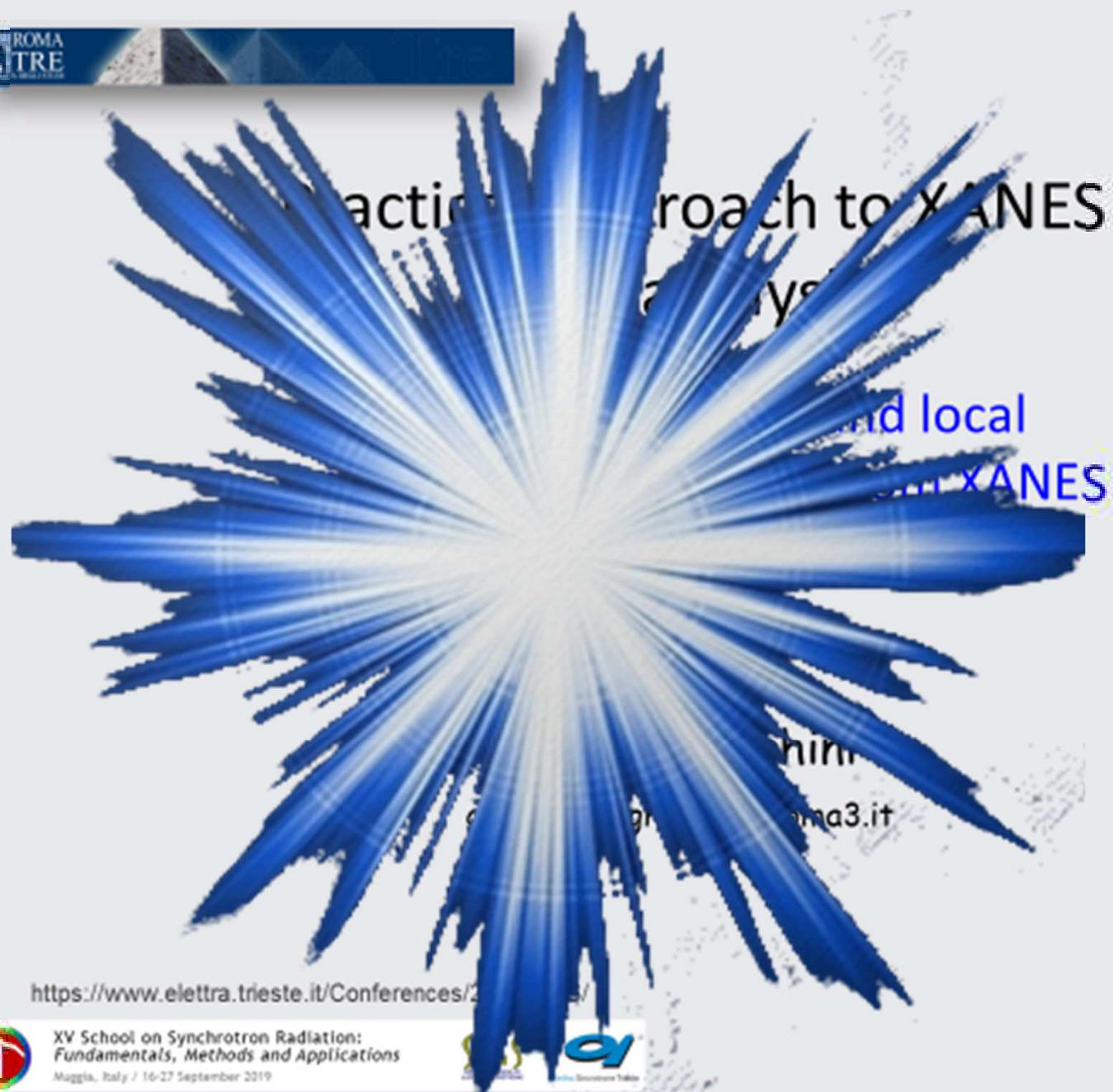
XMCD-XANES extreme condition studies

High pressure structural and magnetic diagram of phase of 3d metal alloys



R. Torchio et al. High Pressure Research 31, 148-152 (2011)





Reaction approach to XANES

analysis

and local
XANES

him

ma3.it

<https://www.elettra.trieste.it/Conferences/2019/09/16-27-September-2019/>



XV School on Synchrotron Radiation:
Fundamentals, Methods and Applications
Maggia, Italy / 16-27 September 2019

